

Grant File Checklist
To be Completed by the Department Applying for Grant

Complete the checklist below for new and continuation grants. Once completed, please submit a copy to Finance, along with the Intent to Apply for Grant Funding Form to Finance for review and filing with the grant records. This should occur prior to submitting the grant application to the oversight entity.

| Check Box | Checklist Item | Cross-reference to Grant Acquisition, Management and Compliance Document |
|---|--|--|
| Pre-Award (prior to grant being awarded to the City) | | |
| <input checked="" type="checkbox"/> | 1. Complete Intent to Apply for Grant Funding Form and submit to Finance for review and approval prior to submittal of grant application. | Section 6.1.4 and 6.1.5 |
| <input checked="" type="checkbox"/> | 2. I understand that the department needs to obtain proper approval for grant applications. Grant applications for funds up to \$50,000 require City Manager approval. Over \$50,000 requires City Council approval. (threshold is consistent with the City's purchasing guidelines) | Section 6.1.7 |
| <input checked="" type="checkbox"/> | 3. I understand that the department needs to submit a copy of the grant application and supporting documents to Finance at the time of application submission for tracking and monitoring grant compliance requirements. | Section 6.1.8 |
| <input checked="" type="checkbox"/> | 4. I have read the Grant Acquisition, Management and Compliance process provided by Finance. | |
| Post-Award (after the grant is awarded to the City) | | |
| | 5. If the grant is awarded to the City: | |
| <input checked="" type="checkbox"/> | 5a. I understand that the department is responsible for reviewing the grant agreement and forwarding all information to Finance. | Section 6.1.9 |
| <input checked="" type="checkbox"/> | 5b. I understand that the department is responsible for following the City procurement policies when procuring goods or services with grant funds and following the Federal procurement rules when procuring goods or services with Federal funds. | Section 6.1.18 and 6.1.19 |
| <input checked="" type="checkbox"/> | 5c. I understand that the department needs to comply with requirements of the grant. | Section 6.1.10 |
| <input checked="" type="checkbox"/> | 6. For purchases and contracts \$25,000 or more (cumulatively in any fiscal year), I understand that the City shall not enter into contracts or procure goods from vendors or contractors that are suspended or debarred. | Section 6.1.17 |
| <input checked="" type="checkbox"/> | 7. I understand that all records related to the grant program must be retained for a minimum of five years from the end of the grant closeout. However, if any equipment was purchased, then the grant records must be retained for five years from the date of transfer, replacement, sale, or disposal of the equipment. | Section 6.1.13 |
| <input checked="" type="checkbox"/> | 8. The department needs to inform Finance when an external review over the grant is scheduled to be performed, whether on-site or a desk review. | Section 6.1.14 |
| <input checked="" type="checkbox"/> | 8a. The department needs to communicate any potential audit findings from external reviews and provide any reports issued or correspondence from the reviewing agency to Finance. | Section 6.1.14 |
| <input checked="" type="checkbox"/> | 9. I understand that if equipment is purchased with grant proceeds, the department needs to assist Finance with the City-wide physical inventory of the equipment as requested, at least once every two years. <i>Required for Federally funded assets.</i> | Section 6.1.16 |
| <input checked="" type="checkbox"/> | 10. I will work with Finance to submit reimbursement requests to grantors. For certain grants, it may be appropriate and practical for the Department to submit. In most instances, the Grants Compliance Team will handle these request with the department's assistance. | Section 6.1.20 |
| <input checked="" type="checkbox"/> | 11. I understand the department is responsible for fulfilling closeout requirements of the grant, including coordination with Finance on any final financial information and reporting needed. | Section 6.1.21 |

Please print, sign, and return this form to Finance @ _Grants Compliance Team

Adam Levy, Staff Engineer

Department Contact Name and Title (Please Print)

Adam Levy

Department Contact Signature

Michelle Tran

Department Director Signature

Utilities and Environmental Services

Department Name

10/18/2023

Date Form Completed

10/23/23

Date Signed

(This section is to be completed by the Finance Department, Grants Team)

Becca Thibodaux

10/24/23

Received by (Grants Team Member)

Date Received

Becca Thibodaux

10/26/23

Approved by (Grants Team Member)

Date Approved



Intent to Apply for Grant Funding

Attachment B

Grant name: WaterSMART: Title XVI Congressionally Authorized Water Reclamation and Reuse Projects for Fiscal Years 2023 and 2024

Grant application deadline: 12/07/2023 This grant application is: [X] New [] Grant Continuation

Funding/project period: Start Date: 05/01/2024 End Date: 05/01/2027 Multi-year grant: [] Yes [X] No

CFDA # for grant, if applicable. If not applicable, please note N/A: 15.504 -- Water Recycling and Desalination Construction Programs

Purpose of the grant: (provide project summary)

Through the Title XVI Water Reclamation and Reuse Program, authorized by P.L. 102-575 in 1992, the Bureau of Reclamation provides financial and technical assistance to local water agencies for the planning, design, and construction of water reclamation and reuse projects. The City of Round Rock is an eligible participant and anticipates electrical improvements to the reclaimed pumping station, a new reclaimed water ground storage tank at the Brushy Creek Wastewater Treatment Plant (WWTP), and extending the reclaimed water system from the WWTP to Dell Computers & the Switch Data Center to provide reclaimed water service that all may be eligible for grant funding and wishes to apply.

What will the funds be used for? (i.e., construction, design, equipment, etc..)

Design, construction, and grant administration services for reclaimed water system expansions.

Who is providing the funds? Department of the Interior - Bureau of Reclamation

(i.e., name of Federal Awarding Agency or Pass-thru Agency)

Which of the following are these funds considered? If Other, please identify.

[X] Federal [] State [] Other:

Estimated grant funding amount: \$4,223,469 Funding basis: [] Advance [X] Reimbursement

Are matching funds required? [X] Yes [] No

If yes, please complete the following:

Funding source of match:

Match % 75

Match \$ 12,670,406

Self Financed Water Construction

Will the City be a direct recipient or subrecipient of the grant funds? [X] Direct Recipient [] Subrecipient

Are ongoing operational costs anticipated once the grant funds are depleted? [X] Yes [] No

If Yes, has the Budget Team been notified? [X] Yes [] No

Please print, sign, and return this form to Finance @ _Grants Compliance Team

Adam Levy, Staff Engineer

10/18/2023

Department Contact Name and Title (Please Print)

Date Form Completed

[Signature]

10/23/23

Department Director Signature

Date Signed

(This section to be completed by the Finance Department, Grants Team)

Becca Thibodaux

10/24/23

Received By (Grants Team Member)

Date Received:

Becca Thibodaux

10/26/23

Approved By (Grants Team Member)

Date Approved: