

**EXHIBIT
"A"**



CDBG 2016-2017 DRAFT ANNUAL ACTION PLAN

October 1, 2016 to September 30, 2017

Prepared by:

The Office of Community Development

221 East Main Street

Round Rock, TX 78664

www.roundrocktexas.gov

512.218.3295



ROUND ROCK CITY COUNCIL

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Mayor

Council Members

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Laurie Hadley, City Manager



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A. Maps:

- Non-Project Map
- Project Maps
- Alternate Projects Map
- Map 1: Low and Moderate Income (LMI) Areas
- Map 2: Concentration of Ethnic Minorities
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Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The City of Round Rock (the City) is a federal entitlement community under the U.S. Department of Housing and Urban Development's (HUD) Community Development Block Grant (CDBG) Program. As a HUD entitlement community, the City is required to prepare an Annual Action Plan in order to implement the CDBG program that funds housing, community development and economic development within the community. The City of Round Rock Annual Action Plan covers the period from October 1, 2016 to September 30, 2017. The City of Round Rock will receive \$572,999 in CDBG funds for the 2016-2017 program year. This is the third year to implement the high priority needs that are identified in the City of Round Rock Five Year Consolidated Plan (2014-2018). In this third year, Community Development Block Grant funds are allocated to Public Services, Public Facilities and Improvements and Program Administration. (see projects in Section AP 35)

During the development of this plan, the City held two public hearings to solicit input from residents and social service providers in Round Rock. Comments regarding the need for mental health counseling were received at the first public hearing.

The City may obligate up to 20% of the current year's allocation (\$114,600) for eligible and reasonable planning administrative costs. [25 CFR 570.200 (g)]

The City may obligate up to 15% of the current year's allocation (\$85,950) for public services. [24 CFR 570.201 (e)]

In addition to funding caps, other federal requirements will be considered to determine if a project is eligible for CDBG funding. Any project or activity must meet one of the three (3) National Objectives to be eligible [24 CFR 570.200 (a)]: 1) Benefit low to moderate income persons, or 2) Prevent slum or blight; or 3) Meet an urgent need (per HUD's definition).

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The City of Round Rock is using the three (3) main components of the HUD Outcome Performance Measurement System: Objectives, Outcomes and Indicators.

The HUD Outcome Performance Measurement System offers three (3) possible objectives for each activity: Decent housing, a suitable living environment and expanded economic opportunities.

The second component of HUD's Performance Measurement System is closely related to the objectives. The program outcome helps further refine the grantee's objective and is designed to capture the nature of the change or the expected result of the objective that a grantee seeks to achieve. The three outcomes are: Availability, Affordability and Sustainability.

There are some common indicators that will be reported for nearly all program activities. The four common indicators are:

1. Amount of money leveraged from other Federal, State, local, and private sources, per activity.
2. Number of persons, households, businesses, units or beds assisted as appropriate.
3. Income levels of persons or households by 30 percent, 50 percent, 60 percent, or 80 percent of area median income.
4. Race, ethnicity, and disability rate for activities that currently report these data elements.

The City has been awarded CDBG funds in the amount of \$572,999 for the 2016-2017 program year. The City has an additional \$150,000 from the general fund to fully fund social services projects that exceed the 15% cap for public services. In accordance with the priorities identified and outlined in the City's Consolidation Plan, two infrastructure projects and four public facility projects were identified for PY16 allocations. The projects will serve low to moderate income areas and households within the City. The Action Plan covers the period of October 1, 2016 through September 30, 2017. The projects meet national goals and supports ongoing efforts in the community to address the growing population.

The City has developed these performance measures in accordance with the Final Rule for 24 CFR Parts 91.220 and 91 as well as utilizing IDIS to track the outputs and outcomes of each project and activity.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The City of Round Rock has a history of successful programs funded through the Community Development Block Grant Program. Of particular importance to the health of the City have been

programs that address the condition of the housing stock. The City has successfully funded CDBG projects which have improved the overall quality of life and the community serving low- and moderate-income persons. The City has successfully funded housing rehabilitation activities targeting lower income and elderly households unable to properly maintain their homes. The City also funds social service agencies that provide services aimed at helping low to moderate income persons with housing/mortgage assistance and access to a food pantry.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The City of Round Rock's goal for citizen participation is to ensure a broad participation of City residents, housing, economic and service providers in the planning and implementation of community development and housing programs. Citizen Participation takes the form of advertised public hearings.

For the development of the 2016-2017 Annual Action Plan, a public notice was published in the Round Rock Leader on February 18, 2016.

Notice of this "Needs Assessment" public hearing was also posted on the bulletin outside city hall on February 19, 2016 and posted in the "City News" section of the City of Round Rock's website (www.roundrocktexas.gov).

Residents were notified of the draft plan comment period (May 10, 2016 to June 22, 2016) in the Round Rock Leader on May 7, 2016 and notice was posted on the bulletin outside City Hall and on the City website as well.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

At the Annual Action Plan Needs Assessment public hearing, the following comments were received:

Speaker 1 - Reverend Catherine Curly - She is a pastor from Round Rock Presbyterian Church. The church has partnered with Gordon Butler to assist people with mental illness. Mr. Butler wrote the manual for the State of Texas on how people with mental illnesses secure jobs. She introduced Mr. Butler.

Speaker 2 - Gordon Butler - He is a faculty consultant with the UT Health Science Center for the Psychiatry Department. He discussed the Clubhouse Program which currently runs part-time and asked for assistance to bring the program to full-time status. The Clubhouse Program does not work with medications. They help people with mental illnesses to help them get back into the workforce. The

program helps move people from disability to independence. He also shared success stories of this program.

Speaker 3 - Paul Jordan - He is a charter member of the Round Rock Presbyterian Church. He has been in law enforcement for over 40 years, in the intelligence unit, mostly dealing with narcotics and sex offenders. He stated that not everyone that goes to jail needs to go to jail. Through the Clubhouse Program, he is hoping they can create a diversion program to help these types of criminals get jobs.

These comments were taken into consideration.

During the comment period from May 10, 2016 - June 22, 2016, the following comments were received:

One phone call was received from Mr./Mrs. Charles Hamilton. They saw the ad in the paper relating to the annual action plan. They inquired as to where they could obtain more information. Our Finance department directed them to the City of Round Rock's webpage and instructed them on how to get to the CDBG page. We also informed them of the various locations where the draft action plan could be viewed. Lastly, we told them the date/time of the public hearing if they wanted to provide any feedback.

At the public hearing to receive comments over the Draft Consolidated Annual Action Plan for Fiscal Year 2016-2017, no comments were received.

6. Summary of comments or views not accepted and the reasons for not accepting them

All comments were taken into consideration. None were not accepted. See above for details on commentary.

7. Summary

The 2016 Draft Annual Action Plan was placed in the Central Library, the offices of the Housing and Community Development, the Alan R. Baca Senior Center, the Round Rock Housing Authority and online for public review and comment during the period May 10, 2016 to June 22, 2016 at 5pm.

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role		Name	Department/Agency
CDBG Administrator		ROUND ROCK	Office of Community Development/Finance Department

Table 1 – Responsible Agencies

Narrative (optional)

The City of Round Rock Office of Community Development is the lead agency for the preparation of the Annual Action Plan and the administration of the CDBG program. Annual funding requests open in February with applications due in March. Funding decisions are approved by City Council in July with the decision-making process beginning in March and continuing through final approval in July.

Consolidated Plan Public Contact Information

Office of Community Development

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Round Rock, TX 78664

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Telephone: 512-218-3295

Email: llankford@roundrocktexas.gov

AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

The City of Round Rock CDBG Office is the lead agency in implementing the Consolidated Plan and Annual Plan. The CDBG Administrator works closely with local social service agencies and other City agencies and committees to meet the needs of LMI residents. These efforts will continue in the 2016-2017 plan year.

The City works with a wide variety of agencies, organizations and service providers in an effort to identify local housing and service needs. On-going relationships, focused on specific needs designed to bring public input into the Annual Action Plan, are another way the City utilizes outside organizations in the consultation and development process.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

The City of Round Rock attends the Williamson County Monthly Networking Meetings held the first Tuesday of every month. At these networking meetings, non-profits, social service providers, public housing agencies, and health and human service providers discuss the needs of their clients as well as resources and services that their agency provides for the community. The City also works closely with the Housing Authority of Round Rock who organizes resources from the federal government to address the housing needs of City's lowest income households.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

The City of Round Rock Office of Community Development works closely with local homeless providers, including Hope Alliance and the Round Rock Area Serving Center to address the needs of the homeless persons.

In the past, the City of Round Rock has funded the Round Rock Area Serving Center. Funding was used for the purchase of food for the food pantry. The Round Rock Area Serving Center assisted over 1,000 households with food during program year 2015. In an effort to prevent homelessness, the Round Rock Area Serving Center also provides mortgage assistance to the residents of Round Rock. The City will continue to fund the Round Rock Area Serving Center for PY2016.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate

outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

The City of Round Rock does not receive ESG funds. The City works closely with the Williamson Burnet Counties Opportunities (WBCO) organization, the recipient of ESG funds for the area that includes the City of Round Rock. The City of Round Rock is also a member of the Texas Homeless Network, the lead agency for Continuum of Care in Williamson County.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	ROUND ROCK AREA SERVING CENTER
	Agency/Group/Organization Type	Services - Housing Services - Homeless Services - Education
	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City of Round Rock has an on-going relationship with the Round Rock Area Serving Center. During the year, the Office of Community Development often receives calls from residents asking for information on resources for homeless or homeless prevention. The City works throughout the year with the Serving Center to meet the needs of these residents.
2	Agency/Group/Organization	ROUND ROCK HOUSING AUTHORITY
	Agency/Group/Organization Type	PHA Services-Education Services-Employment
	What section of the Plan was addressed by Consultation?	Public Housing Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Round Rock Housing Authority has three (3) locations in Round Rock that provide housing to 100 families. The Round Rock Housing Authority issues approximately 125 housing vouchers a year. In addition to receiving CDBG funds for their Neighborhood Outreach Center, they also receive a yearly Capital Fund Grant from the U.S. Department of Housing and Urban Development that allows them to maintain and rehab existing units. This funding also allows for the training of staff.

3	Agency/Group/Organization	HOPE ALLIANCE
	Agency/Group/Organization Type	Services-Victims of Domestic Violence
	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City of Round Rock works closely with Hope Alliance throughout the year. In addition to awarding CDBG funds for shelter rehabilitation, the City also awards Hope Alliance with social service funds to pay the salary for a counselor.
4	Agency/Group/Organization	BLUEBONNET TRAILS COMMUNITY MENTAL HEALTH AND MENTAL RETARDATION
	Agency/Group/Organization Type	Services-Health Health Agency
	What section of the Plan was addressed by Consultation?	Transitional Housing
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff networks with providers of different programs at Blue Bonnet Trails Mental Health and Mental Retardation.
5	Agency/Group/Organization	Habitat for Humanity of Williamson County
	Agency/Group/Organization Type	Housing Services - Housing
	What section of the Plan was addressed by Consultation?	Actions to foster/maintain affordable housing
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	City of Round Rock is currently funding Habitat for Humanity for their Affordable Home Repair Program. CDBG staff refers clients needing home repair and housing to Habitat for Humanity.

6	Agency/Group/Organization	ABUSED & NEGLECTED CHILDREN-CASA
	Agency/Group/Organization Type	Services - Children Services - Homeless Services - Victims
	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff works with CASA during the program year because CASA is a CDBG funded agency.
7	Agency/Group/Organization	City of Round Rock
	Agency/Group/Organization Type	Housing Other government - Local Planning organization Grantee Department Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Housing Needs Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff consults during the program year and during the development of the action plan with the City of Round Rock Code Enforcement, Planning, Development Services, Inspection, and Neighborhood Services departments through phone calls, emails, and meetings.
8	Agency/Group/Organization	AUSTIN TENANTS COUNCIL
	Agency/Group/Organization Type	Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff consults with the Austin Tenants Council during the program year. Residents needing assistance with tenant/landlord issues or wanting to file a fair housing complaint are referred to the Austin Tenants Council.
9	Agency/Group/Organization	WILLIAMSON COUNTY HEALTH DISTRICT
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with HIV/AIDS Services-Health Health Agency Other government - County
	What section of the Plan was addressed by Consultation?	health care needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff networks during the program year with the Williamson County Health District. Coordination, client needs, and resource information is exchanged at the Williamson County monthly networking meeting.
10	Agency/Group/Organization	WILLIAMSON BURNET COUNTIES OPPORTUNITIES (WBCO)
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons
	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff networked and consulted with the Williamson County CDBG Coordinator during the program year.
11	Agency/Group/Organization	Williamson County Commissioners Court
	Agency/Group/Organization Type	Other government - County

	What section of the Plan was addressed by Consultation?	Networking
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff networked and consulted with the Williamson County CDBG Coordinator during the program year.

Identify any Agency Types not consulted and provide rationale for not consulting

A wide array of agencies were invited to consult in the development of the Annual Action Plan. No individual agency was intentionally omitted.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Texas Homeless Network	Coordinating homelessness services with Continuum of Care priorities.

Table 3 – Other local / regional / federal planning efforts

Narrative (optional)

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

Citizen participation was obtained through public hearings at City Council meetings and public notices in the local newspaper. The City of Round Rock Citizen Participation Plan details procedures to follow for public hearings, plan amendments, reporting information and public accountability.

The Action Plan was available on the City website, at the public library, at the Alan R. Baca Senior Center, at City Hall, and the Round Rock Housing Authority, and all public notices listed these places along with the address to each location.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Hearing	Persons with disabilities Residents of Public and Assisted Housing Seniors	Reverend Catherine Curly, pastor from Round Rock Presbyterian Church; Gordon Butler, faculty consultant with the UT Health Science Center for the Psychiatry Department; and Paul Jordan, charter member of the Round Rock Presbyterian Church were in attendance.	Speaker 1 - Reverend Catherine Curly's comments: The church has partnered with Gordon Butler to assist people with mental illness. Mr. Butler wrote the manual for the State of Texas on how people with mental illnesses secure jobs. She introduced Mr. Butler. Speaker 2 - Gordon Butler's comments: The Clubhouse Program currently runs part-time and he asked for assistance to bring the program to full-time status. The Clubhouse Program does not work with medications. They help people with mental illnesses to help them get back into the workforce. The program helps move people from disability to independence. He also shared success stories of this program.	These comments were taken into consideration. No comments were rejected.	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
				Speaker 3 - Paul Jordan's comments: He has been in law enforcement for over 40 years, in the intelligence unit, mostly dealing with narcotics and sex offenders. He stated that not everyone that goes to jail needs to go to jail. Through the Clubhouse Program, he is hoping they can create a diversion program to help these types of criminals get jobs.		

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Newspaper Ad	Persons with disabilities Residents of Public and Assisted Housing Seniors	No response	During the comment period from May 10, 2016 - June 22, 2016, the following comments were received: One phone call was received from Mr./Mrs. Charles Hamilton. They saw the ad in the paper relating to the annual action plan. They inquired as to where they could obtain more information. Our Finance department directed them to the City of Round Rock's webpage and instructed them on how to get to the CDBG page. We also informed them of the various locations where the draft action plan could be viewed. Lastly, we told them the date/time of the public hearing if they wanted to provide any feedback.	N/A - comment was inquiry only	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
3	Internet Outreach	Persons with disabilities Residents of Public and Assisted Housing Seniors	No response	No comments received	N/A	www.roundrocktexas.gov
4	posting of public notice on city bulletin outside City Hall	Persons with disabilities Residents of Public and Assisted Housing Seniors	No response	No comments received	N/A	

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c) (1, 2)

Introduction

It is anticipated that the City of Round Rock will receive CDBG funds totaling \$572,999 for FY 2016-2017.

Priority Table

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	572,999	7,065	0	580,064	1,138,726	CDBG funds will be used to address housing and non-housing community development needs. Program income is generated by Habitat for Humanity Land Acquisition.

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

In an effort to leverage funds, the City has combined the CDBG Annual Allocation and General Funds to assist the social service funding process. By combining these two processes, the City assures the funding of social service programs providing high priority needs with General

Funds that could not be funded with CDBG funds due to the 15% funding cap. This also eliminates the duplication of services. The process for selecting projects for funding social services is as follows: the scoring team, consisting of Council members, an Assistant City Manager, City Finance staff and CDBG staff, review agency applications using set criteria and performance measurement. Funding recommendations are presented to the City Council through the budget process. Awarded agencies are contracted to deliver the specific services to residents of Round Rock.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

Sidewalk improvements in the Greenhill Subdivision in publicly owned land and downtown sidewalks will address the need in the community for improved public facilities.

Discussion

The City of Round Rock developed Program Income Policies and Procedures. These policies and procedures are included with every CDBG agreement as an attachment. The policy details the following:

- definition and examples of program income
- disposition of income and reports
- income more than \$25,000 or income less than \$25,000

Should an agency or activity generate program income, the City will use this policy to determine how these funds will be spent.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Public Facility and Infrastructure Improvements	2014	2018	Non-Housing Community Development		Non-housing Community Development	CDBG: \$372,450	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 819 Persons Assisted
2	Public Services: Housing Assistance	2014	2018	Affordable Housing		Affordable Housing	CDBG: \$25,000	Public service activities for Low/Moderate Income Housing Benefit: 550 Households Assisted
3	Public Services: Food Banks	2014	2018	Public Services		Affordable Housing	CDBG: \$25,000	Public service activities for Low/Moderate Income Housing Benefit: 950 Households Assisted
4	Public Services: CASA Child Advocacy	2014	2018			Non-housing Community Development	CDBG: \$20,000	Public service activities other than Low/Moderate Income Housing Benefit: 88 Persons Assisted
5	Public Services: After School Tutoring	2014	2018	Public Services		Non-housing Community Development	CDBG: \$15,949	Public service activities other than Low/Moderate Income Housing Benefit: 100 Persons Assisted

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	Public Facility and Infrastructure Improvements
	Goal Description	Greenhill sidewalks and downtown sidewalk improvements.
2	Goal Name	Public Services: Housing Assistance
	Goal Description	Housing and emergency shelter needs, help the elderly maintain independence, help families avoid eviction and homelessness.
3	Goal Name	Public Services: Food Banks
	Goal Description	Purchase food from the Capital Area Food Bank for \$0.13 a pound to provide food to indigent, low income, homelessness and transient people.
4	Goal Name	Public Services: CASA Child Advocacy
	Goal Description	Court appointed volunteers who advocate for the abused or neglected children in court.
5	Goal Name	Public Services: After School Tutoring
	Goal Description	Personnel and supplies for the Neighborhood Outreach Center

Table 7 – Goal Descriptions

Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b):

The City of Round Rock does not receive HOME funds.

AP-35 Projects – 91.220(d)

Introduction

The Annual Action Plan reflects the City's funding priorities and identifies the projects that the City proposed to implement with funds from the U.S. Department of Housing and Urban Development's Community Development Block Grant (CDBG) Program. The funding is allocated according to the community's highest priority needs.

#	Project Name
1	Public Services
2	Public Facilities and Improvements
3	City of Round Rock Program Administration

Table 8 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

Allocation priorities were based upon the Needs Assessment undertaken as part of the planning process and demand for projects and services in the past. The City identified two low-to-moderate income neighborhoods where pedestrian transportation is minimal. The City has a number of areas of town that are without sidewalks. Unfortunately, the need for infrastructure far exceeds the amount of CDBG funding available. The primary obstacle to addressing underserved needs is the limited resources available to address such needs throughout the City. The Social Services fund of 15% is also oversubscribed at far beyond what is available. The City encourages CDBG applicants to seek other resources from other public and private entities in an effort to leverage the limited amount of CDBG funds available.

Projects

AP-38 Projects Summary

Project Summary Information

Table 9 – Project Summary

1	Project Name	Public Services
	Target Area	
	Goals Supported	Public Services: Housing Assistance Public Services: Food Banks Public Services: CASA Child Advocacy
	Needs Addressed	Non-housing Community Development Affordable Housing
	Funding	CDBG: \$85,949
	Description	Funding will pay for public service activities that were approved in the 2016-2017 Annual Action Plan. Matrix codes: 05N, 05W,05Q,05A,05
	Target Date	9/30/2017

<p>Estimate the number and type of families that will benefit from the proposed activities</p>	<p>The estimated number and type of families that will benefit from the proposed activities for applicants selected for CDBG funding are as follows:</p> <ul style="list-style-type: none"> • Round Rock Housing Authority - Neighborhood Outreach Center: 100 unduplicated clients Eligibility Criteria: 24 CFR 570.201(e) Public Services National Objective: 24 CFR 570.208(a)(2)(B)LMI • Round Rock Area Serving Center - Food Pantry: 950 unduplicated clients Eligibility Criteria: 24 CFR 570.201(e) Public Services National Objective: 24 CFR 570.208(a)(2)(B)LMI • Round Rock Area Serving Center - Housing Assistance: 550 unduplicated clients Eligibility Criteria: 24 CFR 570.201(e) Public Services National Objective: 24 CFR 570.208(a)(2)(B)LMI • CASA of Williamson County, Texas - Court Advocacy for Abused or Neglected Children: 88 unduplicated clients Eligibility Criteria: 24 CFR 570.201(e) Public Services National Objective: 24 CFR 570.208(a)(2)(B)LMI
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	Location Description	<p>The location description for applicants selected for CDBG funding are as follows:</p> <ul style="list-style-type: none"> • Round Rock Housing Authority - Neighborhood Outreach Center 1505 Lance Lane, Round Rock, TX 78664 1100 Westwood Drive, Round Rock, TX 78664 • Round Rock Area Serving Center - Food Pantry 1099 E Main Street, Round Rock, TX 78664 • Round Rock Area Serving Center - Housing Assistance 1099 E Main Street, Round Rock, TX 78664 • CASA of Williamson County, Texas - Court Advocacy for Abused or Neglected Children Williamson County
	Planned Activities	<p>The planned activities for applicants selected for CDBG funding are as follows:</p> <ul style="list-style-type: none"> • Round Rock Housing Authority - Neighborhood Outreach Center: Funding in the amount of \$15,949 will provide after school tutoring for the kids at the Round Rock Housing Authority. • Round Rock Area Serving Center - Food Pantry: Funding in the amount of \$25,000 will assist with feeding residents with food from their food pantry. • Round Rock Area Serving Center - Housing Assistance: Funding in the amount of \$25,000 will provide rent or mortgage payments for up to \$100 per client. • CASA of Williamson County, Texas - Court Advocacy for Abused or Neglected Children: Funding in the amount of \$20,000 will pay for the partial salary for the Volunteer Recruiter/Trainer Coordinator position that will recruit and train volunteers that will advocate for abused and neglected children in court.
2	Project Name	Public Facilities and Improvements
	Target Area	

Goals Supported	Public Facility and Infrastructure Improvements
Needs Addressed	Non-housing Community Development
Funding	CDBG: \$372,450
Description	Funds will be used to pay for public facilities and improvements that were approved in the 2016-2017 Annual Action Plan.
Target Date	9/30/2017
Estimate the number and type of families that will benefit from the proposed activities	<p>Sidewalks at Greenhill Subdivision will benefit 238 households.</p> <p>Eligibility Criteria: 24 CFR 570.201 (c) Public Facilities and Improvements</p> <p>National Objective: 24 CFR 570.208 (a)(1)(i) Low to Moderate Income Area</p> <p>Sidewalks in downtown area will benefit households.</p> <p>Eligibility Criteria: 24 CFR 570.201 (c) Public Facilities and Improvements</p> <p>National Objective: 24 CFR 570.208 (a)(1)(i) Low to Moderate Income Area</p>
Location Description	<p>Greenhill subdivision (construction of new sidewalks): sidewalks along the west sides of Cameo Drive, Easton Drive, and Farnswood Drive.</p> <p>Downtown (construction of new sidewalks): sidewalks on the south side of Austin Avenue from Nelson Street to approximately 100 feet east of Pecan Lane.</p>

	Planned Activities	<p>Planned activities for projects selected for CDBG funding are as follows:</p> <ul style="list-style-type: none"> • Round Rock Transportation Department - Greenhill Sidewalk Improvements: to construct 13,235 square feet of 4 foot, and 5 foot-wide sidewalks along the west sides of Cameo Drive, Easton Drive, and Farnswood Drive. This will complete the sidewalk improvements project in the Greenhill subdivision. Previously CDBG funds have been used to do phase I of sidewalks, neighborhood clean-up, street improvements, and the spring park improvements Greenhill Park. • Round Rock Transportation Department - Downtown Sidewalk Improvements: to construct sidewalks on the south side of Austin Avenue from Nelson Street to approximately 100 feet east of Pecan Lane.
3	Project Name	City of Round Rock Program Administration
	Target Area	
	Goals Supported	
	Needs Addressed	Non-housing Community Development Affordable Housing
	Funding	CDBG: \$114,600
	Description	Funds will be used for the oversight and administration of the CDBG program for the City of Round Rock.
	Target Date	9/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	N/A: administrative activity
	Location Description	221 East Main Street, Round Rock, TX 78664
	Planned Activities	Administration and management of the CDBG program

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

Priority CDBG funding areas in the City of Round Rock include those areas where there is a high rate of low to moderate income (LMI) persons.

The following are the LMI block groups within the City of Round Rock:

Census tract:18.51/Block group:3/Persons:1165/50% LMI

Census tract:18.51/Block group:4/Persons:1000/66.45% LMI

Census tract:205.04/Block group:1/Persons:1055/66.14% LMI

Census tract:205.04/Block group:2/Persons:920/49.33% LMI

Census tract:205.04/Block group:4/Persons:1655/75.74% LMI

Census tract:206.02/Block group:2/Persons:265/47.75% LMI

Census tract:207.01/Block group:1/Persons:710/57.96% LMI

Census tract:207.03/Block group:2/Persons:2025/54.22% LMI

Census tract:207.04/Block group:2/Persons:1045/53.18% LMI

Census tract:207.04/Block group:3/Persons:750/70.42% LMI

Census tract:207.07/Block group:2/Persons:1095/71.34% LMI

Census tract:208.03/Block group:1/Persons:1250/53.19% LMI

Census tract:215.02/Block group:1/Persons:2425/62.02% LMI

Census tract:215.02/Block group:2/Persons:850/79.44% LMI

Census tract:215.03/Block group:1/Persons:1205/59.21% LMI

Census tract:215.05/Block group:1/Persons:795/54.27% LMI

Percentage of Funds: 100%

Geographic Distribution

Target Area	Percentage of Funds

Table 10 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

The City of Round Rock estimates that 100% of the funds will be dedicated to projects in the target areas and that these funds assist a large number of low to moderate income residents.

Target Area: Low to Moderate Income (LMI) Areas

Percentage of Funds: 100%

Discussion

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

The City of Round Rock will support two programs in FY 2016 (2016-2017) that supports affordable housing.

The Housing Assistance Program offered by the Round Rock Area Serving Center will be supported by FY 2016 CDBG funds. This program will assist up to 550 households with \$100 for rent or mortgage per household. This program provides housing and emergency shelter needs, helps elderly maintain independence, and helps families avoid eviction and homelessness.

Habitat for Humanity of Williamson County will be supported by FY 2015 CDBG funds. This program provides minor home repair of low income residents of Round Rock up to \$10,000 per unit. Funds will be spent on the purchase of materials; volunteers will do the actual home repairs.

One Year Goals for the Number of Households to be Supported	
Homeless	0
Non-Homeless	0
Special-Needs	0
Total	0

Table 11 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	550
The Production of New Units	0
Rehab of Existing Units	3
Acquisition of Existing Units	0
Total	553

Table 12 - One Year Goals for Affordable Housing by Support Type

Discussion

The Habitat for Humanity will be funded with prior 2015 funds. They propose to rehab 3 existing units.

The Round Rock Area Serving Center's Housing Assistance Program provides assistance with rent and temporary shelter to help families in crisis when they have fallen on hard times and fall behind on their bills. To avoid an eviction, serving center assists the family to stay current. Assistance includes help for low-income families and fixed-income elderly people with rental assistance and provides rental or mortgage assistance for indigent families to prevent eviction. Assistance also includes short-term

temporary shelter for homeless and stranded people.

AP-60 Public Housing – 91.220(h)

Introduction

The Round Rock Housing Authority was established in 1966, with the first development built in 1972. Today, the Housing Authority owns 100 units and administers 209 Section 8 Housing Choice Vouchers.

Actions planned during the next year to address the needs to public housing

The Round Rock Housing Authority receives approximately \$97,040 annually in Capital Fund Grant from HUD to provide for the maintenance and renovation needs of its public housing stock, which it considers to be in good condition.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

A five member board, including a resident board member, oversees the Round Rock Housing Authority. The board is appointed by the Mayor and City Council. Each board member serves a two year term.

To meet RRHA's goal to promote self-sufficiency, the housing authority administers the family self-sufficiency (FSS) program. The FSS program continues to serve families in the Housing Choice Voucher (HCV) and Public Housing (PH) Programs. Present participants have completed the following goals:

- obtaining or maintaining employment
- continuing to pursue higher education
- continue to maintain first time businesses with supportive mentorship and education

The unique value of the FSS program is that clients are able to receive supportive case management to reach their individual goals towards self-sufficiency.

Currently there are no public housing homeownership initiatives in place either under the public housing program or the Section 8 program.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

N/A - the Round Rock Housing Authority is no longer in "troubled status." Rather, they are now

considered a "high performer" as their overall REAC Score is 90 and their REAC Inspection score is 96.

Discussion

The housing authority continues to partner with several local agencies, faith-based organizations, the City, and the school district in order to bring resources to residents.

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

The City of Round Rock and Williamson County are part of the Texas Balance of State Continuum of Care (BoS CoC). The BoS CoC is part of the Texas Homeless Network (THN), a non-profit membership-based organization helping Texas communities to prevent and end homelessness. THN is a 501(c)(3) organization that is partially funded through the Texas Department of Housing and Community Affairs and Texas Department of State Health Services.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The City of Round Rock funds the Round Rock Area Serving Center with CDBG funds to assist residents in jeopardy of becoming homeless with housing and mortgage assistance. Sometimes the loss of a job or an illness can cause a family to fall behind on rent or mortgage which can lead to eviction. Funding the housing/mortgage assistance program is an action and goal that will assist with reducing homelessness.

The following agencies reach out to homeless persons and assess their individual needs such as the need for temporary shelter, transitional housing and other services:

- The Williamson County Crisis Center (Hope Alliance) offers two housing programs to assist its clients. They offer a short-term, transitional housing program that helps families who are transitioning out of the shelter and a long term, supporting housing program.
- STARRY Emergency Shelter serves children who need immediate protection, typically after being removed from their home by court order due to life-threatening abuse or neglect.
- Lifeworks provides emergency shelter and transitional housing as well as counseling, education/workforce and youth development to youth and their families.
- Round Rock Area Serving Center provides emergency shelter, food and clothing vouchers, as well as mortgage and utility assistance, in an effort to prevent homelessness.
- CASA provides trained, court-appointed volunteers to advocate in the best interest of abused and neglected children on their journey to a safe, permanent home.

Addressing the emergency shelter and transitional housing needs of homeless persons

Hope Alliance and Williamson Burnet Counties Opportunities (WBCO) were both awarded Emergency Food and Shelter Program (EFSP) Grants from FEMA. Hope Alliance is using these funds to provide emergency shelter, purchase food and aid toward the purchase of bedding and cleaning supplies and other like items for the domestic violence shelter. WBCO is using the EFSP funds to purchase food for

the Senior Nutrition Program.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The City of Round Rock will continue to fund the Round Rock Area Serving Center for program year 2016-2017. Funding will be used to purchase food for the food pantry at the Round Rock Area Serving Center. The Round Rock Area Serving Center assists over 1,000 households with food every program year. In an effort to prevent homelessness, CDBG funding will also be allocated to the Round Rock Area Serving Center to provide mortgage and rent assistance to the residents of Round Rock.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

Homelessness is a regional issue and is best addressed countywide through the efforts of both Williamson and Travis Counties and local jurisdictions. Since Round Rock's emergency shelter only provides temporary facilities for displaced women and children, the City will work with area providers such as Bluebonnet Trails MHMR to address its share of the homeless need through facilities and services provided at the regional level.

Discussion

Though Round Rock has a very small visible homeless population, the loss of a job, an increase in rent, an eviction or domestic violence situation can easily lead to homelessness for many low income individuals and families. Round Rock will continue to fund high priority activities that are concentrated on homeless prevention and non-homeless special needs such as the Round Rock Area Serving Center

Food Pantry and Housing Assistance Program.

One year goals for the number of households to be provided housing through the use of HOPWA for:
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family
Tenant-based rental assistance
Units provided in housing facilities (transitional or permanent) that are being developed, leased, or operated
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds
Total

AP-75 Barriers to affordable housing – 91.220(j)

Introduction

In 2000, consultants from the University of Texas prepared a report titled "Analysis of Impediments to Fair Housing, Round Rock, Texas" (AI). This document provided a comprehensive review of the City's administrative and judicial policies. This study's assessment of the location, availability, and accessibility of housing will assist in planning to address impediments to fair and affordable housing. This analysis profiled the housing market in Round Rock, addressed housing affordability, physical condition of housing stock, and public housing. Barriers to affordable housing identified through the City's citizen participation process were:

- Lack of options
- Lack of transitional support, assistance needed for move-in costs/deposits
- Land prices
- Lack of community involvement and creativity in problem solving
- Negative neighborhood perception regarding low income housing
- Lack of public transportation

Identified policies impacting the development of affordable housing included the following:

- Inflexible development standards
- Lack of incentives for developers to construct affordable housing
- High development fees

The City of Round Rock CDBG staff refers anyone needing to file a housing discrimination complaint or needing information on fair housing to the Austin Tenants Council. The Austin Tenants Council Fair Housing Program helps any person who has been discriminated against in the rental, sale, financing or appraisal of housing. The state and federal Fair Housing Act prohibits discrimination because of a person's race, color, national origin, religion, sex, disability (mental or physical) or familial status. The Fair Housing Program documents and investigates complaints; provides advice about remedies under fair housing laws, and coordinates legal services to assist victims of housing discrimination. The Fair Housing Program provides fair housing seminars and presentations to interested parties.

The City of Round Rock CDBG Program planned to hire a consultant during the 2013 program year to conduct an updated Analysis of Impediments to Fair Housing. CDBG staff worked with the City purchasing department and requested proposals from consultants. Due to the high dollar amount of the proposals and a tight budget, it was decided to conduct the study during program year 2014. The City of Round Rock has now decided to wait until the new AFFH Assessment Tool for CPD entitlement grantees is approved to complete an Assessment of Fair Housing (AFH) under the proposed Affirmatively Furthering Fair Housing (AFFH) rule. CDBG staff discussed this option with Joe Castillo, director of FHEO

in the San Antonio HUD field office.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

See above.

Discussion

The City of Round Rock Mayor, Alan McGraw and City Council proclaimed April 2016 as Fair Housing Month. A proclamation was signed for the CDBG office to display.

AP-85 Other Actions – 91.220(k)

Introduction

The City of Round Rock will continue to work with partners in the region to improve the housing and employment opportunities for low and moderate income persons and other special populations.

Actions planned to address obstacles to meeting underserved needs

Despite ongoing efforts, there still remains a number of significant obstacles to meeting underserved needs. The following obstacles to meeting these needs in Round Rock include:

- Population growth
- Cut backs in state and federal funding for basic needs and services
- High cost of housing
- Need for transportation to existing services and childcare available services

To address these needs, the City of Round Rock dedicated CDBG funds to the following:

- Round Rock Area Serving Center for the purchase and distribution of food and to provide rent and mortgage assistance
- Round Rock Housing Authority to pay for partial salaries for the resident services coordinator/facilitator and teachers at the Neighborhood Outreach Center (NOC) at the Round Rock Housing Authority.

Actions planned to foster and maintain affordable housing

The City of Round Rock will support two programs in FY 16 (2016-2017) that support affordable housing. These include the housing assistance program through the Round Rock Area Serving Center (RRASC) to assist residents with rent or mortgage at \$100 a household, and a program through Habitat for Humanity for the minor home repair of low income residents of Round Rock up to \$10,000 per unit. Funds will be spent on the purchase of materials and volunteers will do the actual home repairs. A plumber or electrician will be hired if needed.

Habitat for Humanity will be funded by FY15 CDBG funds.

Additionally, two sidewalk projects will be funded with FY16 CDBG funds.

- Greenhill sidewalk improvements involve constructing 13,235 square feet of 4 foot, and 5 foot wide sidewalks along the west sides of Cameo Drive, Easton Drive, and Farnswood Drive. This is Phase II of the Greenhill sidewalks project. This will complete the sidewalk improvements project in the Greenhill subdivision. Previously CDBG funds have been used to perform Phase I

of the sidewalks, neighborhood clean-up, street improvements, and the spring park improvements at Greenhill Park.

- Downtown sidewalk improvements involve constructing sidewalks on the south side of Austin Avenue from Nelson Street to approximately 100 feet east of Pecan Lane.

Actions planned to reduce lead-based paint hazards

The CDBG program has procedures in place to comply with the Residential Lead Based Paint Hazard Reduction Act of 1992 (Title X) and subsequent changes in September 1999. These procedures include notification, identification, and treatment (if necessary).

Most of the development in Round Rock occurred after the use of the lead based paint was banned. HUD estimates that as many as 540 housing units in Round Rock built prior to 1970 and occupied by extremely low, low and moderate income households could contain lead-based paint.

Actions planned to reduce the number of poverty-level families

The City's anti-poverty strategy is based on attracting a range of businesses and providing workforce development including job training services for low income residents.

Planned economic development and anti-poverty programs include:

- Friendly Rock Program to assist residents with utility bills
- Food Pantry Program provides residents access to food
- Foundation Communities Tax Center provides free tax preparation
- YMCA provides after school and summer scholarship dollars to low and moderate income families in Round Rock

Actions planned to develop institutional structure

The City relies on a network of public sector, private sector, and non-profit organizations to implement the Strategic Plan, particularly to address homelessness and special needs.

Actions planned to enhance coordination between public and private housing and social service agencies

In an effort to enhance coordination between public and private housing and social service agencies, the City of Round Rock CDBG staff will continue to attend the Williamson County Monthly Networking Meetings. These meetings are held the first Tuesday of every month at the Alan R. Baca Senior Center. Collaboration and coordination between agencies is important to ensure that the needs in the

community are being addressed.

Discussion

The City of Round Rock, Office of Community Development is responsible for the administration of the City's programs funded with CDBG funds. This office has the primary responsibility for managing and implementing the City's affordable housing and infrastructure program, the Consolidated and Annual Plans and related documents.

The City of Round Rock has developed a monitoring system to ensure that the activities carried out in the Plan are done so in a timely manner in accordance with the federal monitoring requirements of [24 CFR 570.501 (v)] and [24 CFR 85.40] and all other applicable laws, regulations, policies and sound management and accounting practices.

Sub-recipients are monitored to ensure that they have implemented and administered their CDBG funded activities according to applicable federal requirements. Particular attention is paid to compliance with management systems, procurement practices and compliance with civil rights requirements.

When applicable, sub-recipients are required to submit copies of paid receipts, timesheets, income documentation, client data and self-certification forms with their monthly requests. This information is used to determine the number of unduplicated beneficiaries. Monthly reports are submitted by each sub-recipient enabling staff to monitor the progress of each activity, provide technical assistance or consultation when needed and to ensure that all objectives are met.

The City of Round Rock conducts monitoring of sub-recipients every program year during the summer. Particular attention is paid to compliance with eligibility and national objective requirements. The HUD monitoring checklist is used during these on-site monitoring visits. The monitoring visits are conducted by the CDBG Program Coordinator. Technical assistance is provided for sub-recipients at the beginning of the program year and anytime during the program year upon request.

Throughout the year, staff conducts mini desk reviews of all sub-recipients. Sub-recipients are required to submit monthly progress reports and reimbursement requests by the 15th of every month. This allows the City to monitor the sub-recipient's progress and spending on a monthly basis.

The City of Round Rock Office of Community Development maintains an open door policy for all agencies and frequent unofficial visits are made at participating agencies throughout the year.

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(l)(1,2,4)

Introduction

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.220(l)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	7,065
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	7,065

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	100.00%

Discussion

Attachments

NEEDS ASSESSMENT PUBLIC HEARING NOTICE

CITY OF ROUND ROCK

The City of Round Rock will hold a public hearing for the purpose of soliciting comments for the Community Development Block Grant (CDBG) Program. Comments received will be considered for the City of Round Rock 2016-2017 Annual Action Plan. Interested parties are encouraged to attend and make their views known.

The Public Hearing will be as follows: **Thursday, March 10, 2016 at 7:00 P.M.**

City Hall – Council Chambers

221 East Main Street

Round Rock, Texas 78664

The City anticipates a proposed 2016 allocation of \$570,575 for the Community Development Block Grant (CDBG) Program. Below is a partial list of eligible activities.

Public Facilities Needs Senior Centers Youth Centers Neighborhood Facilities Parks and Recreation Facilities Health Facilities Child Care Centers Parking Facilities	Infrastructure Improvement Needs Flood Drain Improvements Water Improvements Sewer Improvements Street Improvements Accessibility Economic Development Needs Technical Assistance
Public Service Needs Senior Services Handicapped Services Youth Services Child Care Services	Lead Based Paint/Hazard Abatement Code Enforcement Emergency Shelter Needs Housing Needs Community Planning

Accommodations for individuals with handicaps shall be provided upon request.

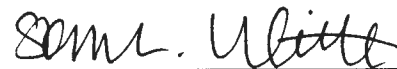
Las presentaciones se haran en español para aquellas personas que así lo deseen.

For more information, please visit the Community Development Department Office, 221 East Main Street Round Rock, TX or call (512) 341-3328. Written comments may be forwarded to: Elizabeth G. Alvarado, CDBG Coordinator

City of Round Rock
221 East Main Street
Round Rock, TX 78664
(512) 341-3328
alvarado@roundrocktexas.gov

POSTING CERTIFICATION

I certify that this notice of a public hearing was posted on this 19th day of February 2016 at 5:00 p.m. as required by law in accordance with Section 551.043 of the Texas Government Code.



Sara L. White, TRMC, City Clerk

Date of Publication: Thursday, February 18, 2016

AVISO DE AUDENCIA PÚBLICA PARA EVALUACIÓN DE LAS NECESIDADES

CIUDAD DE ROUND ROCK

La Ciudad de Round Rock tendra una audiencia pública con el propósito de solicitar comentarios para el Program de Desarrollo Comunitario (CDBG). Los comentarios recibidos serán considerados para la Plan de Acción Anual 2016-2017 de la Ciudad de Round Rock.

La audiencia pública será el siguiente: **Jueves, 10 de Marzo 2016 a las 7:00 P.M.**

City Hall – Council Chambers

221 East Main Street

Round Rock, Texas 78664

La Ciudad anticipa a una asignación de \$570,575 para el Programa de Desarrollo Comunitario (CDBG). A continuación se muestra una lista parcial de las actividades elegibles.

Necesidades de Instalaciones Públicas Centros de Personas Mayores Centros Juveniles Centros del Barrio Parques y Recreación Instituciones de Salud Centros de Cuidado Infantil Facilidades de Estacionamiento	Necesidades de Infraestructura Mejoras de Drenaje de Inundaciones Mejoras de Agua y Alcantarillado Mejoras de la Calle Accesibilidad Necesidades de Desarrollo Económico Asistencia técnica
Necesidades de Servicios Público Servicios para Personas Mayores Servicios para discapacitados Servicios para la Juventud	Necesidades Refugios de Emergencia Necesidades de Vivienda Planificación de la Comunidad

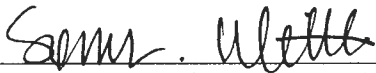
Acomodaciones para personas incapacitadas deberán ser disponibles bajo petición.

Las presentaciones se haran en español para aquellas personas que asi lo deseen.

Para obtener más información or para enviar comentarios escritos, por favor visite la Oficina del Departamento de Desarrollo Comunitario, 221 East Main Street Round Rock, TX 78664 or llame a Elizabeth G. Alvarado al (512) 341-3328 or por correo electronico alvarado@roundrocktexas.gov

CERTIFICATION DE REGISTRO

Certifico que este aviso de una audencia pública fue publicada el día 19 de Febrero 2016 a las 5:00 p.m. como lo require la ley, de conformidad con la Sección 551.043 del Código de Gobierno de Texas.


Sara L. White, TRMC, City Clerk

Fecha de publicación: Jueves, 18 de Febrero 2016

Notice of 30 Day Comment Period and Public Hearing Draft Consolidated Annual Action Plan Fiscal Year 2016-2017

In order to receive certain grants from the U.S Department of Housing and Urban Development (HUD), the City of Round Rock must submit a Consolidate Annual Action Plan to HUD which describes community needs, resources, priorities and proposed activities with regard to housing, community development, economic development and public services. The plan is due to the U.S. Department of Housing and Urban Development on August 15, 2016. In Fiscal year 2016-2017, the City of Round Rock is expected to receive approximately \$572,999 through the Community Development Block Grant (CDBG) program.

The Draft Consolidated Annual Action Plan will be available for public review and written comment beginning May 10, 2016 until June 22, 2016 at 5 p.m. at the office of community development at the address below, at the Round Rock Public Library at 216 East Main St., at the Alan R. Baca Senior Center at 301 W. Bagdad Ave., Round Rock Housing Authority 1505 Lance Lane, and on the city website at www.roundrocktexas.gov . A public hearing will be held to receive comments. All interested persons are invited to attend at the location and time listed below:

Thursday, June 23, 2016
City Council Meeting
City Council Chambers
221 East Main Street
Round Rock, TX 78664
Time: 7:00 PM

This hearing is sponsored by the Office of Community Development. For more information concerning the hearing, please contact the Community Development office, Monday through Friday between 8:00 a.m. and 5:00 p.m. at 341-3328 (voice) or 711 (TDD).

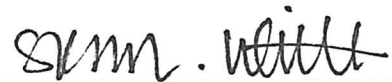
The City of Round Rock is committed to compliance with the Americans with Disabilities Act (ADA) and Section 504 of the Rehabilitation Act of 1973, as amended. Reasonable modifications and equal access to communications will be provided upon request. Please call 218-5491 (voice) or 711 (TDD) for assistance. For a sign language interpreter, please call Lorie Lankford at 218-3295 at least 24 hours in advance.

The City does not discriminate on the basis of disability in the admission or access to, or treatment or employment in, its programs and activities. The City Human Resources Department has been designated as the City's ADA/Section 504 Office. This office is located at 231 East Main Street, 1st Floor. If you have any questions or complaints regarding your ADA/Section 504 Rights, please call the City of Round Rock Human Resources Office at 318-5643 (voice)

This publication is available in alternative formats. Please call 218-3295 (voice) or 711 (TDD) for assistance.

POSTING CERTIFICATION

I certify that this notice of a public hearing was posted on this 2nd day of May 2016 at 5:00 p.m. as required by law in accordance with Section 551.043 of the Texas Government Code.



Sara L. White, TRMC, City Clerk

Date of Publication: Saturday, May 7, 2016

Aviso de 30 días de período de comentarios y la audiencia pública CDBG Plan Anual de Acción del año fiscal 2016-2017

Para recibir la concesión de subvenciones del Departamento de Vivienda y Desarrollo Urbano (HUD), la Ciudad de Round Rock debe presentar una plan de acción anual de HUD, que describe las necesidades de la comunidad, recursos, prioridades y actividades propuestas en materia de vivienda, comunidad el desarrollo, el desarrollo económico y los servicios públicos. El plan se debe al Departamento de Vivienda y Desarrollo Urbano de EE.UU., el 15 de Agosto de 2016. En el año fiscal 2016-2017, se espera que la Ciudad de Round Rock va a recibir aproximadamente \$ 572,999 a través del programa de Desarrollo de la Comunidad (CDBG).

El Plan de Acción Anual Consolidado estará disponible para su revisión y comentarios por escrito a partir del 10 de Mayo de, el año 2016 hasta el 22 de Junio de, 2016 a las 5 pm en la oficina de desarrollo de la comunidad en la dirección indicada más abajo, en la Biblioteca Pública de Round Rock en 216 East Main St., en el Centro de Mayores Alan R. Baca en el 301 W. Bagdad Ave., Autoridad de Vivienda de Round rock 1505 a Lance Lane, y en el sitio de la ciudad en www.roundrocktexas.gov. Una audiencia pública se llevará a cabo para recibir comentarios. Todas las personas interesadas están invitados a participar en el lugar y hora indicados a continuación:

Jueves, 23 de Junio 2016
City Council Meeting
City Council Chambers
221 East Main Street
Round Rock, TX 78664
Hora: 7:00 PM

Esta audiencia es patrocinado por la Oficina de Desarrollo de la Comunidad. Para obtener más información relativa a la audiencia, por favor, póngase en contacto con la oficina de Desarrollo de la Comunidad, de lunes a viernes 08 a.m.-05:00 p.m. a 341 a 3328 (voz) o 711 (TDD).

La Ciudad de Round Rock está comprometida con el cumplimiento de los Americanos con Discapacidades (ADA) y la Sección 504 de la Ley de Rehabilitación de 1973, según enmendada. modificaciones razonables e igual acceso a comunicaciones disponibles bajo petición. Por favor, llame al 218-5491 (voz) o 711 (TDD) para obtener ayuda. Para un intérprete de lenguaje de signos, por favor llame al 218-3295 Lorie Lankford al menos 24 horas de antelación. Round Rock no discrimina sobre la base de la discapacidad en la admisión o acceso a, o tratamiento o empleo en sus programas y actividades. El Departamento de Recursos Humanos de la ciudad ha sido designada como ADA / Sección 504 Oficina de la Ciudad. Esta oficina está ubicada en 231 East Main Street, primer piso. Si usted tiene alguna pregunta o queja con respecto a su ADA / Sección 504 Derechos, por favor llame a la Ciudad de la Oficina de Recursos Humanos de Round Rock en 318-5643 (voz).

Esta publicación está disponible en formatos alternativos. Por favor, llame al 218-3295 (voz) o 711 (TDD) para obtener ayuda.

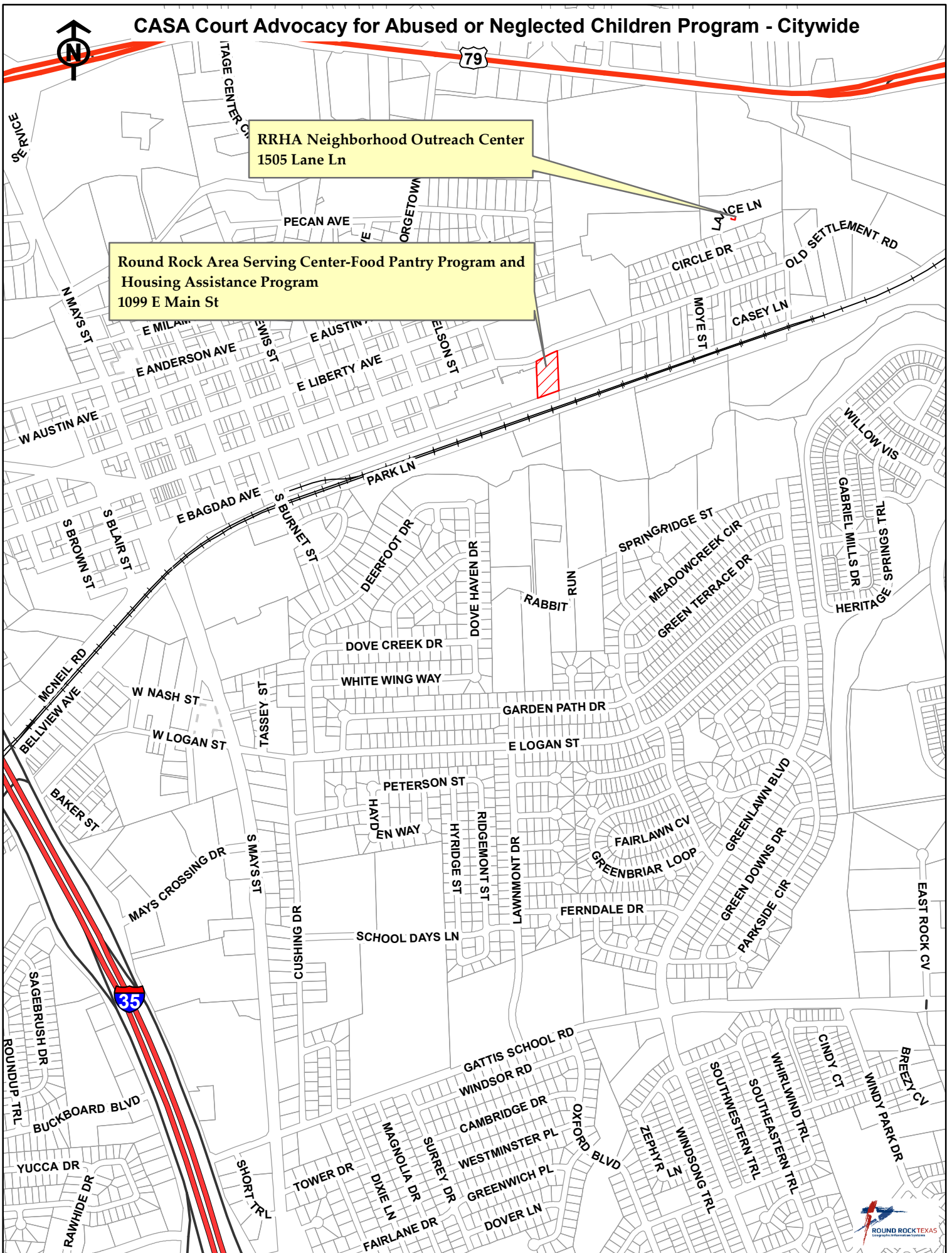
CERTIFICACION DE DESPLAZAMIENTO

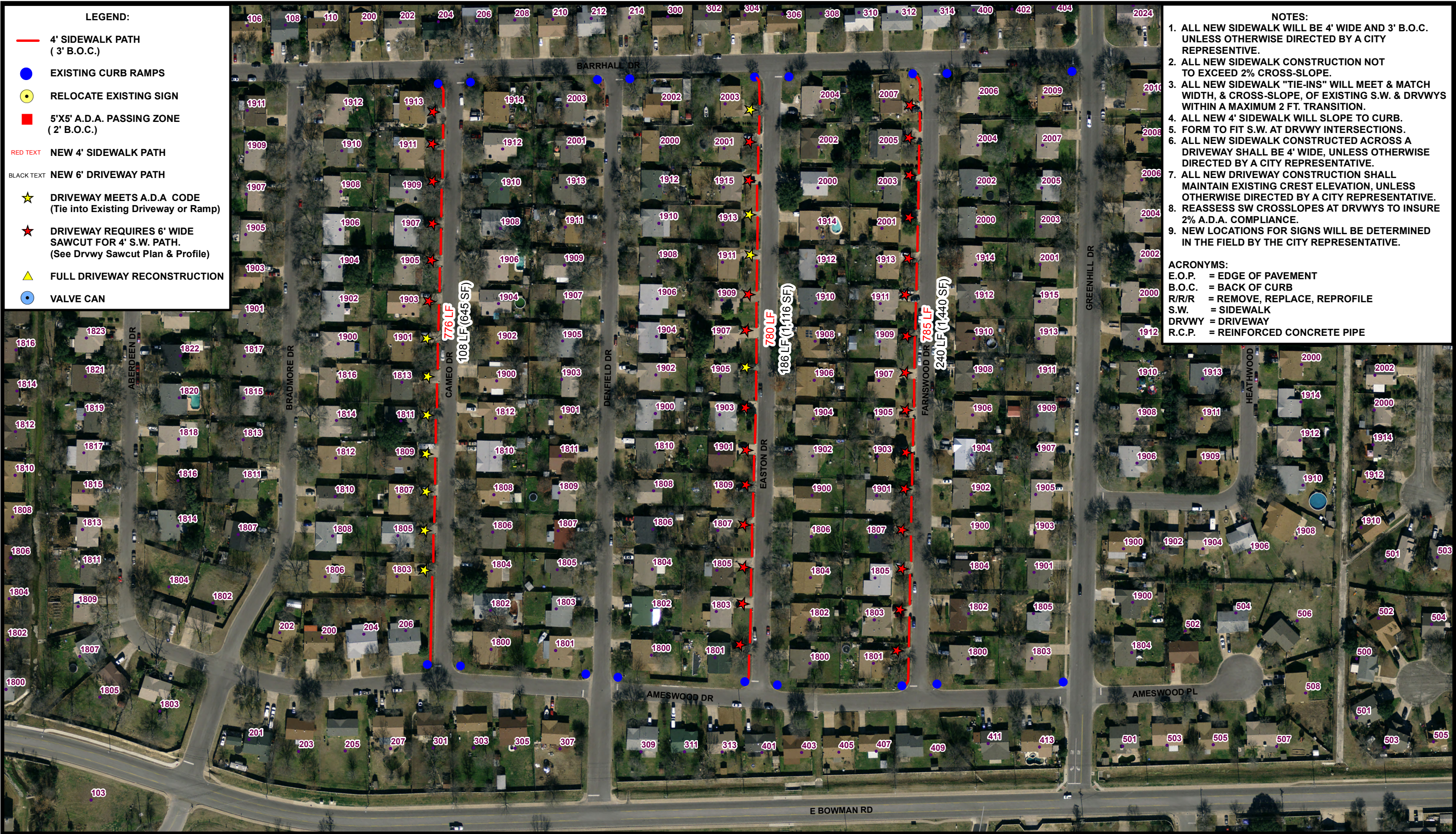
Certifico que este aviso de una audiencia pública fue publicada hoy 2 de Mayo, 2016 a 5:00 pm como lo requiere la ley de conformidad con la Sección 551.043 del Código de Gobierno de Texas.


Sara L. White, TRMC, Secretario de la Ciudad

Fecha de publicación: Sábado, 7 de mayo de, el año 2016

CASA Court Advocacy for Abused or Neglected Children Program - Citywide





LEGEND:

- 4' SIDEWALK PATH
(3' B.O.C.)
- EXISTING CURB RAMPS
- RELOCATE EXISTING SIGN
- 5'X5' A.D.A. PASSING ZONE
(2' B.O.C.)
- NEW 4' SIDEWALK PATH
- NEW 6' DRIVEWAY PATH
- DRIVEWAY MEETS A.D.A CODE
(Tie into Existing Driveway or Ramp)
- DRIVEWAY REQUIRES 6' WIDE
SAWCUT FOR 4' S.W. PATH.
(See Drvwy Sawcut Plan & Profile)
- FULL DRIVEWAY RECONSTRUCTION
- VALVE CAN

NOTES:

1. ALL NEW SIDEWALK WILL BE 4' WIDE AND 3' B.O.C. UNLESS OTHERWISE DIRECTED BY A CITY REPRESENTATIVE.
2. ALL NEW SIDEWALK CONSTRUCTION NOT TO EXCEED 2% CROSS-SLOPE.
3. ALL NEW SIDEWALK "TIE-INS" WILL MEET & MATCH WIDTH, & CROSS-SLOPE, OF EXISTING S.W. & DRVWYS WITHIN A MAXIMUM 2 FT. TRANSITION.
4. ALL NEW 4' SIDEWALK WILL SLOPE TO CURB.
5. FORM TO FIT S.W. AT DRVWY INTERSECTIONS.
6. ALL NEW SIDEWALK CONSTRUCTED ACROSS A DRIVEWAY SHALL BE 4' WIDE, UNLESS OTHERWISE DIRECTED BY A CITY REPRESENTATIVE.
7. ALL NEW DRIVEWAY CONSTRUCTION SHALL MAINTAIN EXISTING CREST ELEVATION, UNLESS OTHERWISE DIRECTED BY A CITY REPRESENTATIVE.
8. REASSESS SW CROSSLOPES AT DRVWYS TO INSURE 2% A.D.A. COMPLIANCE.
9. NEW LOCATIONS FOR SIGNS WILL BE DETERMINED IN THE FIELD BY THE CITY REPRESENTATIVE.

ACRONYMS:

- E.O.P. = EDGE OF PAVEMENT
- B.O.C. = BACK OF CURB
- R/R/R = REMOVE, REPLACE, REPROFILE
- S.W. = SIDEWALK
- DRVWY = DRIVEWAY
- R.C.P. = REINFORCED CONCRETE PIPE

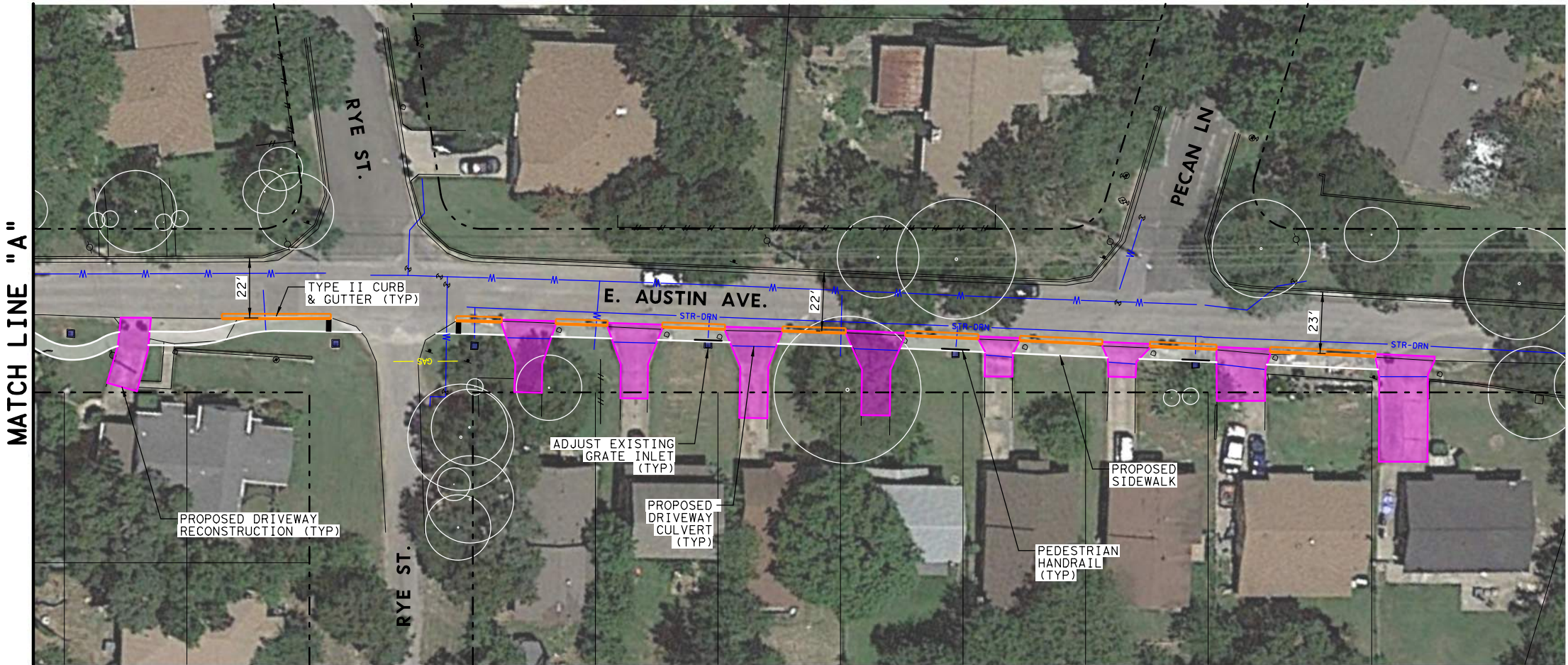
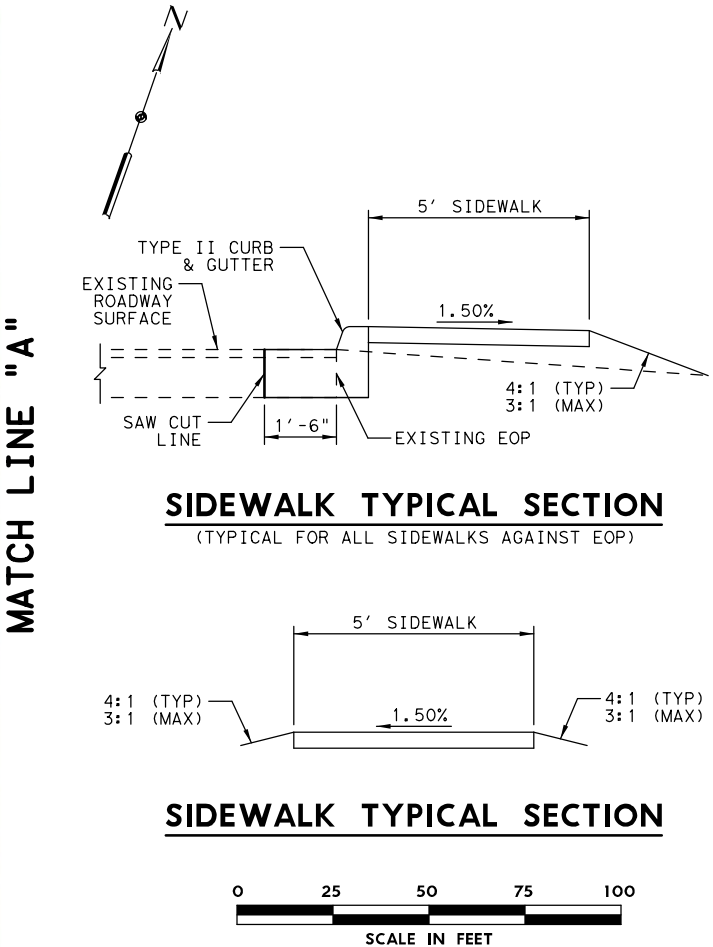
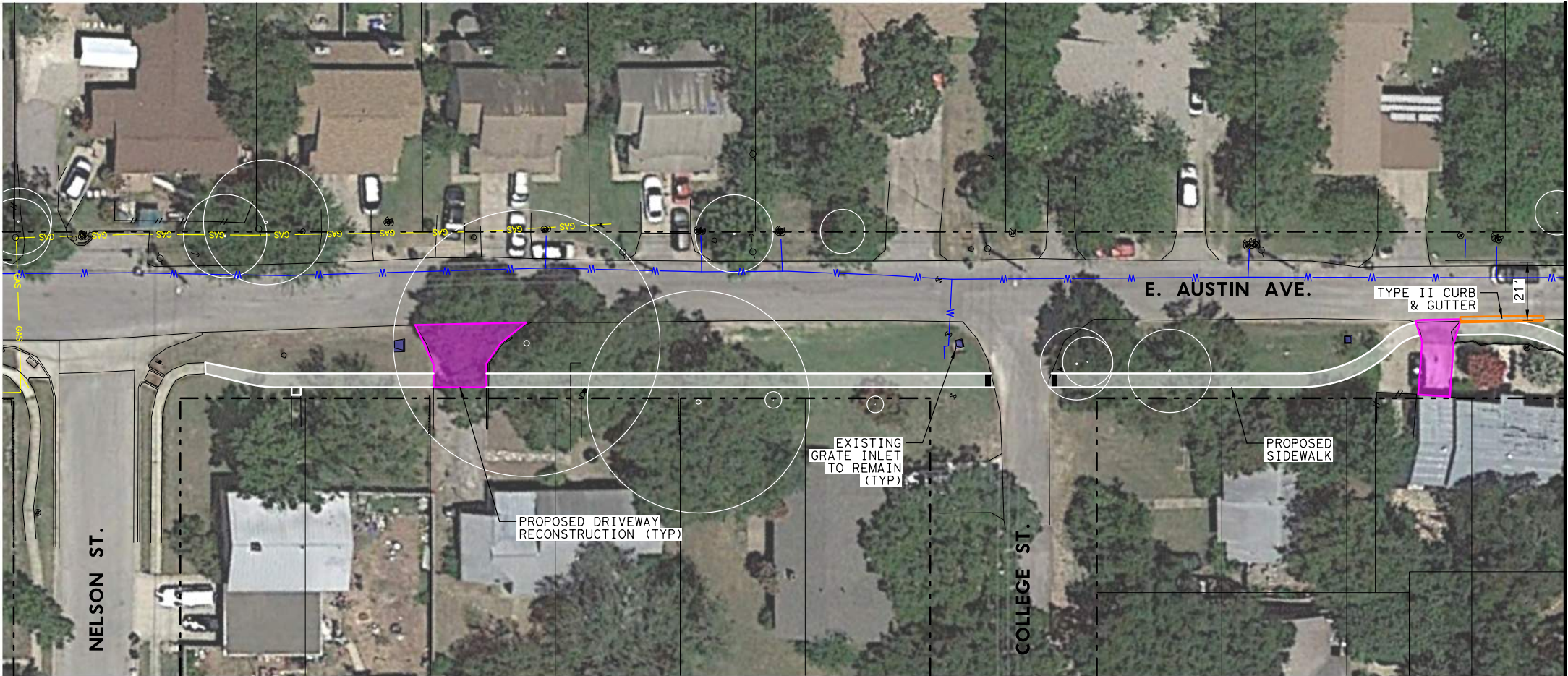


1 in = 150 ft
(N.T.S. - Reference Only)

2016 CDBG Sidewalks Project



PLOT DRIVER: TXDOT_PDF_COLOR.plt
PENTABLE: 00000000235581.tbl
USER: jwalker
DATE: 3/11/2016
TIME: 4:05:55 PM
SCALE: 1/50
FILE: City_of_Round_Rock\CDRR_Improvement\3.00_CAD\Exhibits\T03-East Austin Ave Sidewalk Layout\Exhibit\T03.dgn



PRELIMINARY-FOR INFORMATION ONLY

THIS DOCUMENT IS RELEASED FOR THE PURPOSE OF INTERIM REVIEW AND IS NOT TO BE USED FOR CONSTRUCTION, BIDDING, OR PERMITTING PURPOSES.

Supervised By: JACOB E. WALKER

P.E. Serial No. 122057

Date: 3/11/2016

NO.	DATE	REVISION	APPROVED

HDR HDR Engineering, Inc.
810 Hesters Crossing, Suite 120
Round Rock, Texas 78681
Texas Registered Engineering Firm F-754

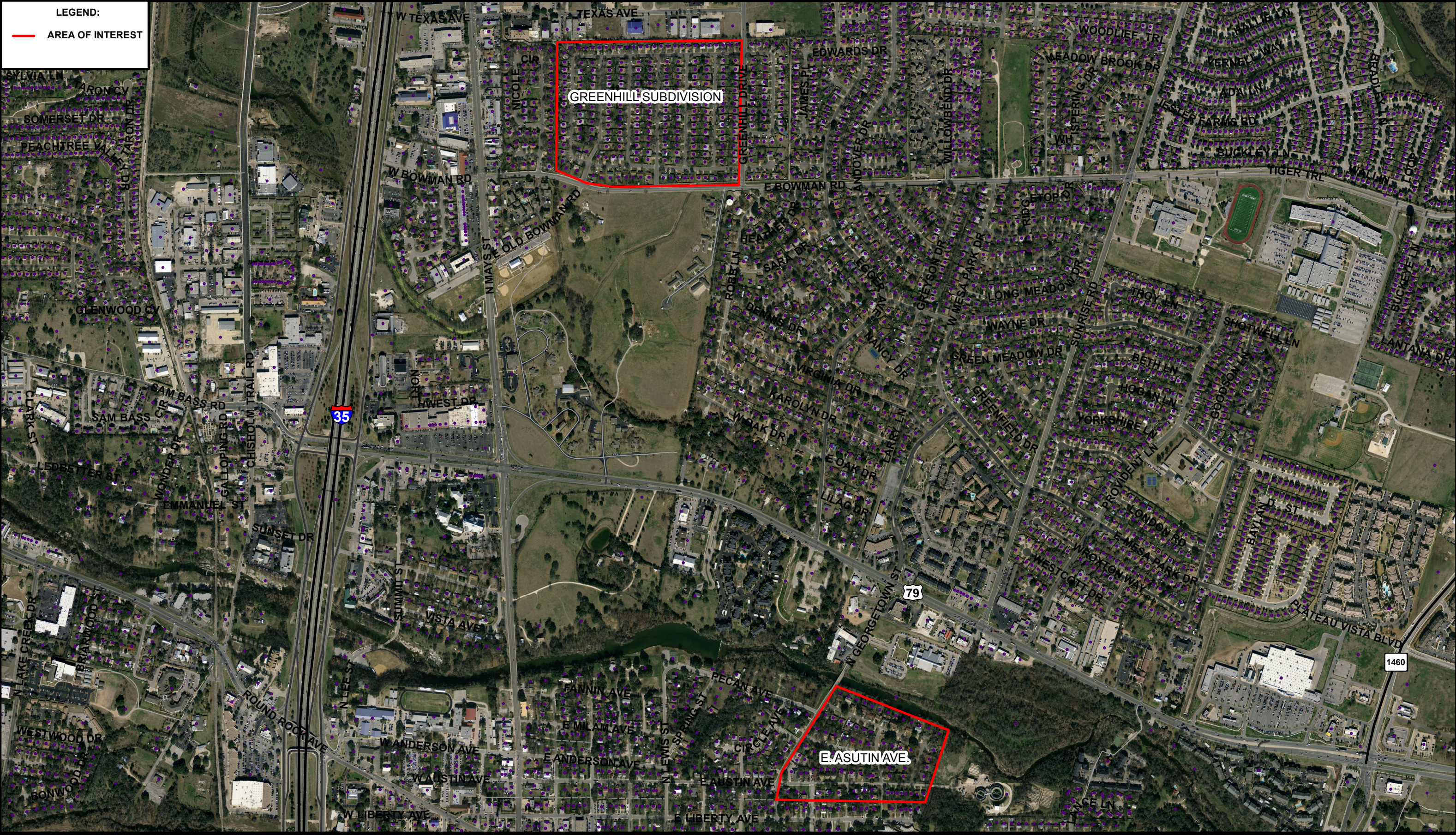


THE CITY OF ROUND ROCK, TEXAS

EAST AUSTIN AVENUE

PROPOSED
SIDEWALK LAYOUT

SCALE: 1"=50'		SHEET 1 OF 1		
DSN:	CK:	DATE	JOB NO.	SHEET NO.
DRN:	CK:	3/11/2016		

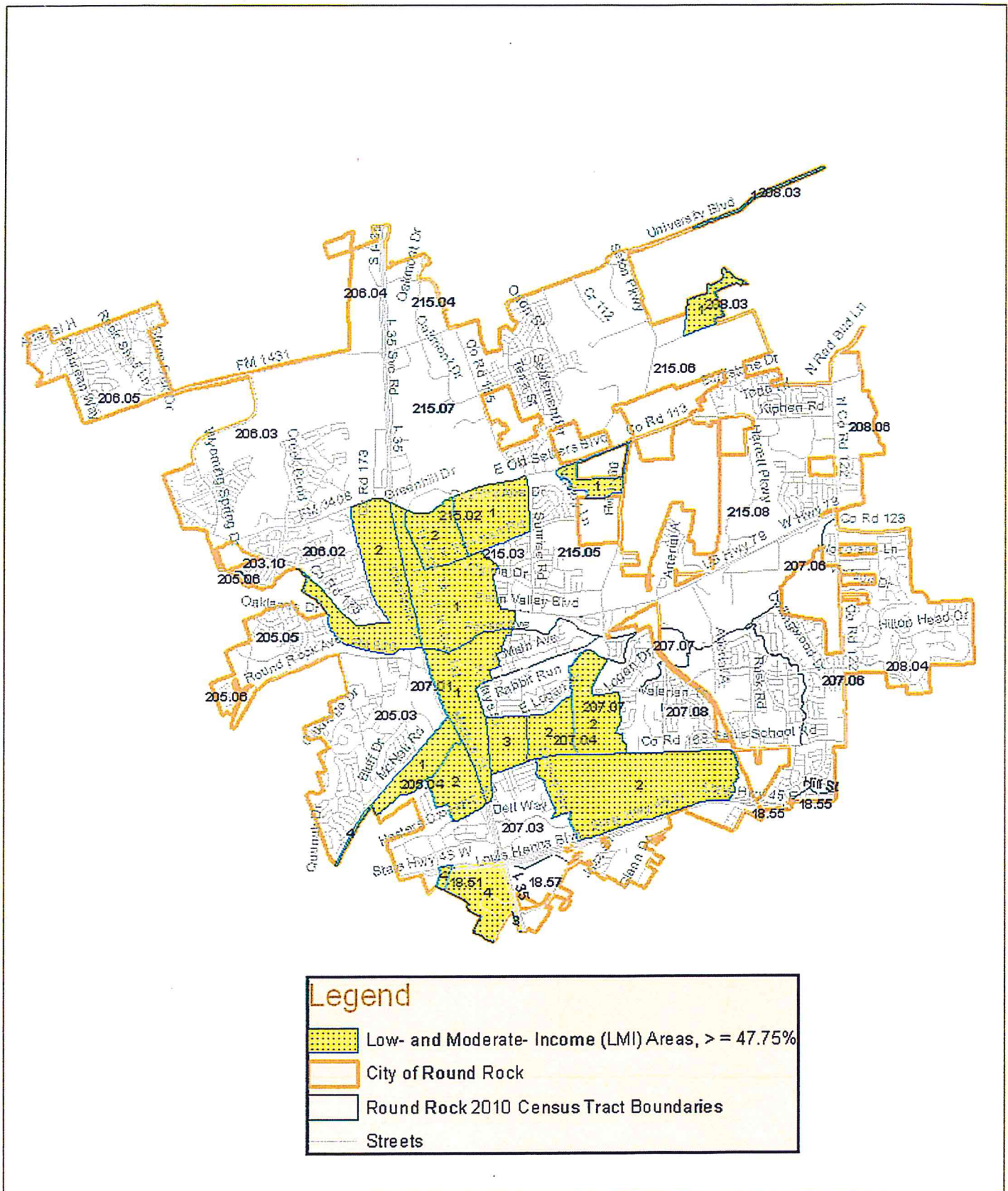


CDBG PY 2016-2017 Alternate Projects



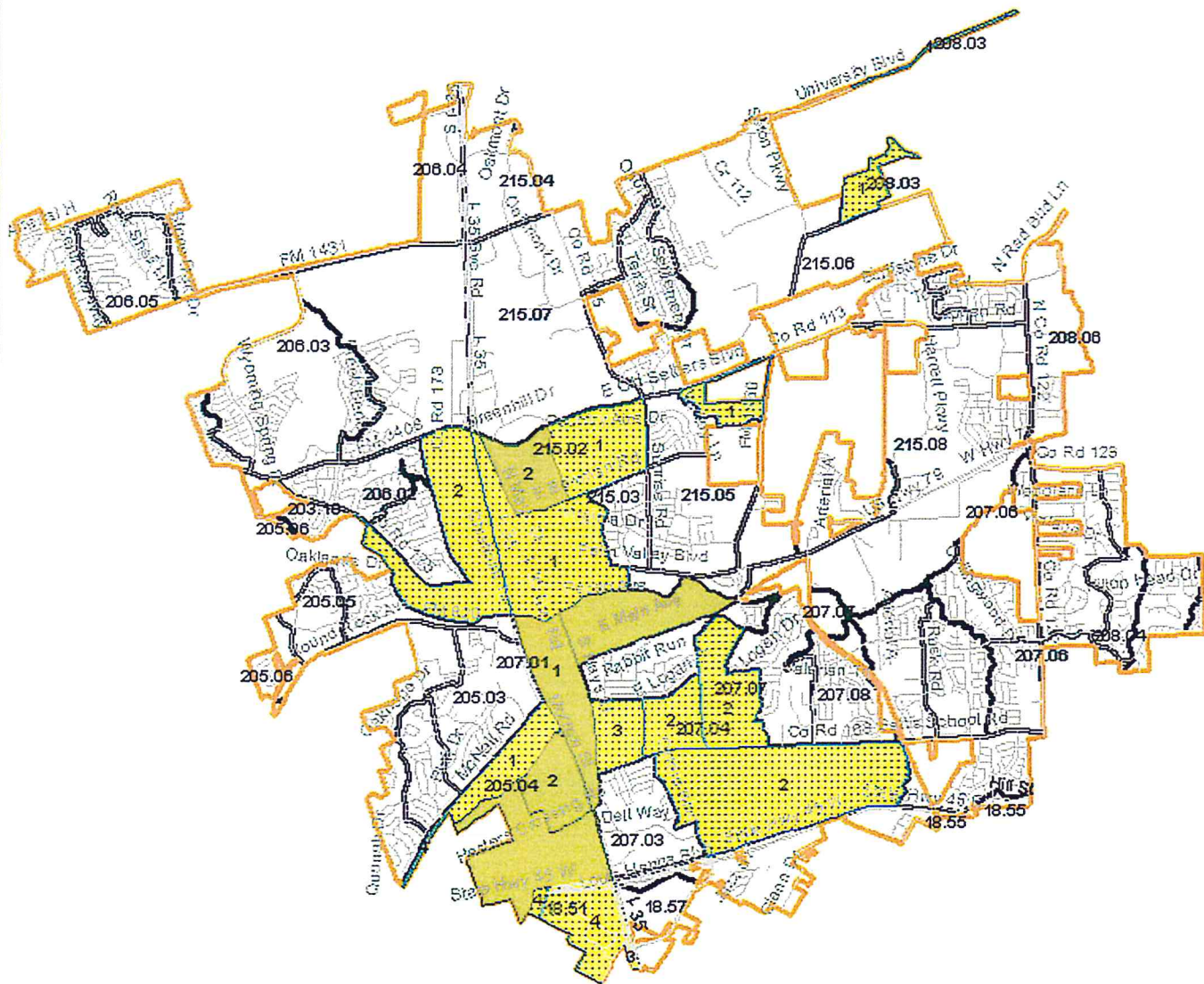
City of Round Rock, Texas

Map 1: Low- and Moderate- Income (LMI) Areas









City of Round Rock, Texas

Map 2: Concentration of Ethnic Minorities

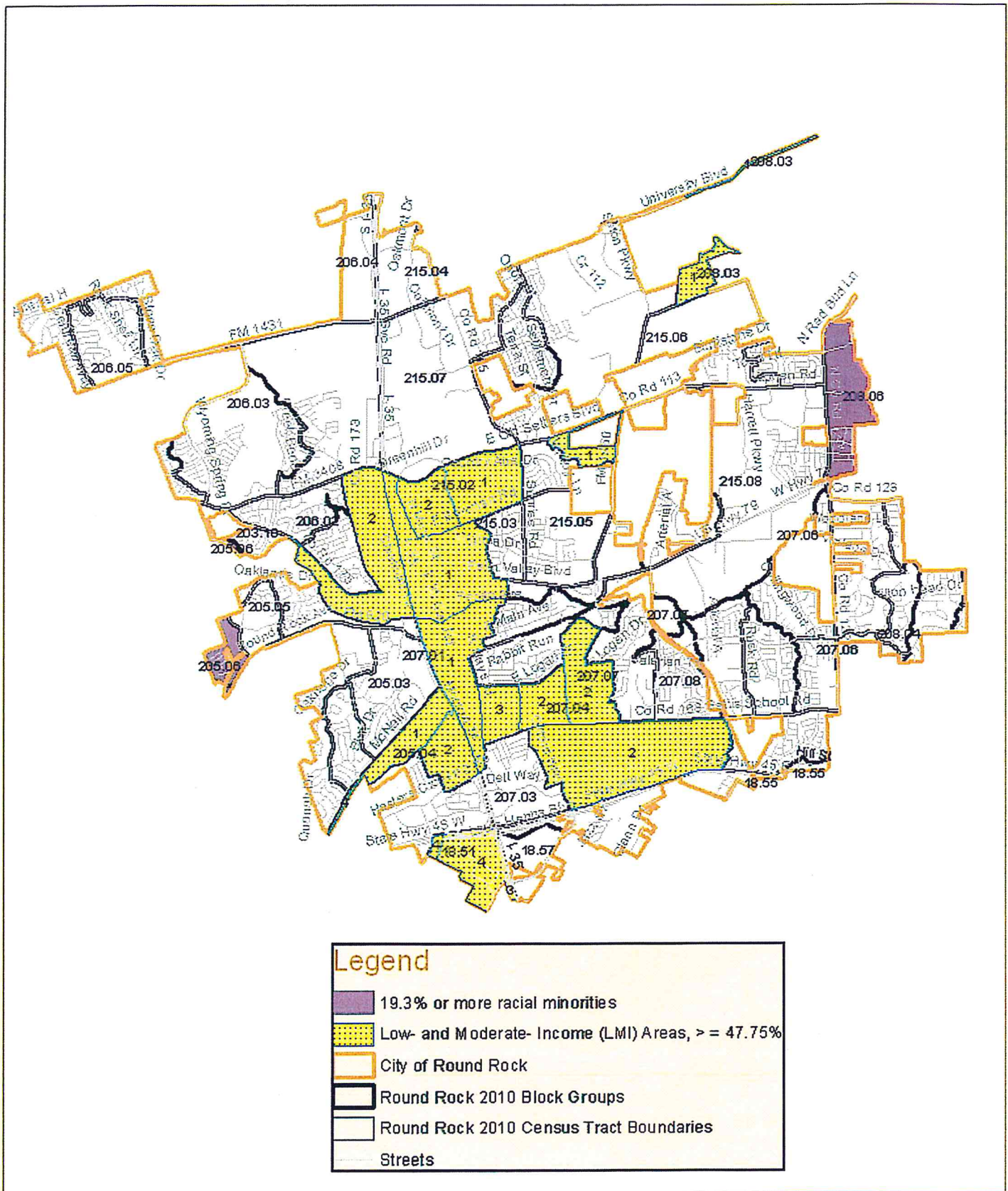


Legend

-  38.9% or more ethnic minorities
 Low- and Moderate- Income (LMI) Areas, $\geq 47.75\%$
 Streets
 City of Round Rock
 Round Rock 2010 Block Groups
 Round Rock 2010 Census Tract Boundaries

City of Round Rock, Texas

Map 3: Concentration of Racial Minorities



Application for Federal Assistance SF-424

*** 1. Type of Submission:**

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

*** 2. Type of Application:**

- ☐ New
☒ Continuation
☐ Revision

*** If Revision, select appropriate letter(s):**

*** Other (Specify):**

*** 3. Date Received:**

07/08/2016

4. Applicant Identifier:

City of Round Rock

5a. Federal Entity Identifier:

TX484674

5b. Federal Award Identifier:

B16MC480514

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

*** a. Legal Name:**

City of Round Rock

*** b. Employer/Taxpayer Identification Number (EIN/TIN):**

74-6017485

*** c. Organizational DUNS:**

1027407920000

d. Address:

*** Street1:**

221 East Main Street Round Rock, TX 78664

Street2:

*** City:**

Round Rock

County/Parish:

Williamson

*** State:**

TX: Texas

Province:

*** Country:**

USA: UNITED STATES

*** Zip / Postal Code:**

78664-5244

e. Organizational Unit:

Department Name:

Finance Department

Division Name:

CDBG

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

*** First Name:**

Lorie

Middle Name:

*** Last Name:**

Lankford

Suffix:

Title:

Deputy Chief Financial Officer

Organizational Affiliation:

*** Telephone Number:**

512/218-3295

Fax Number:

*** Email:**

llankford@roundrocktexas.gov

Application for Federal Assistance SF-424

* 9. Type of Applicant 1: Select Applicant Type:

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

* 10. Name of Federal Agency:

Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.218

CFDA Title:

Community Development Block Grant program for Entitlement Communities

* 12. Funding Opportunity Number:

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

* 15. Descriptive Title of Applicant's Project:

FY 2016-2017 Annual Action Plan

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424**16. Congressional Districts Of:**

* a. Applicant 31st

* b. Program/Project 31st

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date: 10/01/2016

* b. End Date: 09/30/2017

18. Estimated Funding (\$):

* a. Federal	572,999.00
* b. Applicant	0.00
* c. State	0.00
* d. Local	0.00
* e. Other	0.00
* f. Program Income	0.00
* g. TOTAL	572,999.00

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- ☐ a. This application was made available to the State under the Executive Order 12372 Process for review on .
- ☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- ☒ c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes ☒ No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: Mr. * First Name: Alan

Middle Name:

* Last Name: McGraw

Suffix:

* Title: Mayor

* Telephone Number: 512/218-5410 Fax Number: 512/218-7097

* Email: mayormcgraw@roundrocktexas.gov

* Signature of Authorized Representative: * Date Signed:

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official

Date

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2016-2017 (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws -- It will comply with applicable laws.

Signature/Authorized Official

Date

Title

APPENDIX TO CERTIFICATIONS INSTRUCTIONS CONCERNING LOBBYING:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

**OPTIONAL CERTIFICATION
CDBG**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Signature/Authorized Official

Date

Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance – If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs – it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance – before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

N/A

Signature/Authorized Official

Date

Title

HOPWA Certifications

The HOPWA grantee certifies that:

Activities – Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building – Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

N/A

Signature/Authorized Official

Date

Title

ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long as the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for such individuals.

Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction’s consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities,

or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

N/A

Signature/Authorized Official

Date

Title