

# CERTIFICATE OF INTERESTED PARTIES

FORM 1295

1 of 1

Complete Nos. 1 - 4 and 6 if there are interested parties.  
Complete Nos. 1, 2, 3, 5, and 6 if there are no interested parties.

## OFFICE USE ONLY CERTIFICATION OF FILING

Certificate Number:  
2016-135850

Date Filed:  
11/11/2016

Date Acknowledged:

1 Name of business entity filing form, and the city, state and country of the business entity's place of business.

Raftelis Financial Consultants, Inc.  
Charlotte, NC United States

2 Name of governmental entity or state agency that is a party to the contract for which the form is being filed.

City of Round Rock

3 Provide the identification number used by the governmental entity or state agency to track or identify the contract, and provide a description of the services, goods, or other property to be provided under the contract.

Water/Wastewater Rate Study  
Professional consulting for a Water and Wastewater Utility Rate Study for the City of Round Rock

4	Name of Interested Party	City, State, Country (place of business)	Nature of interest (check applicable)	
			Controlling	Intermediary
	Stannard, William G.	Kansas City, MO United States	X	
	Brandt, Peiffer A.	Charlotte, NC United States	X	
	Burns, Byron B.	Charlotte, NC United States	X	
	Davis, Jon P.	Charlotte, NC United States	X	
	Giardina, Richard D.	Greenwood Village, CO United	X	
	Rawls, Benjamin M.	Charlotte, NC United States	X	
	Readling, Ronald K.	Cary, NC United States	X	
	Thomas, Darin H.	Greensboro, NC United States	X	

5 Check only if there is NO Interested Party. ☐

## 6 AFFIDAVIT

I swear, or affirm, under penalty of perjury, that the above disclosure is true and correct.

Alexis F. Warmath, Corporate Secretary  
Signature of authorized agent of contracting business entity

AFFIX NOTARY STAMP / SEAL ABOVE

Sworn to and subscribed before me, by the said ALEXIS F. WARMATH, this the 11th day of November, 2016, to certify which, witness my hand and seal of office.

Bonnie R. Williams Bonnie R. Williams  
Signature of officer administering oath Printed name of officer administering oath

