



CITY OF ROUND ROCK CONTRACT FOR ENGINEERING SERVICES

FIRM:	<u>CP&Y, INC.</u>	("Engineer")
ADDRESS:	13809 Research Boulevard, Suite 300, Austin, TX 78750	
PROJECT:	Wastewater Siphon Rehabilitation	

THE STATE OF TEXAS	§
	§
COUNTY OF WILLIAMSON	§

THIS CONTRACT FOR ENGINEERING SERVICES ("Contract") is made and entered into on this the _____ day of ______, 2017 by and between the CITY OF ROUND ROCK, a Texas home-rule municipal corporation, whose offices are located at 221 East Main Street, Round Rock, Texas 78664-5299, (hereinafter referred to as "City"), and Engineer, and such Contract is for the purpose of contracting for professional engineering services.

RECITALS:

WHEREAS, V.T.C.A., Government Code §2254.002(2)(A)(vii) under Subchapter A entitled "Professional Services Procurement Act" provides for the procurement by municipalities of services of professional engineers; and

WHEREAS, City and Engineer desire to contract for such professional engineering services; and

WHEREAS, City and Engineer wish to document their agreement concerning the requirements and respective obligations of the parties;

NOW, THEREFORE, WITNESSETH:

That for and in consideration of the mutual promises contained herein and other good and valuable considerations, and the covenants and agreements hereinafter contained to be kept and performed by the respective parties hereto, it is agreed as follows:

CONTRACT DOCUMENTS

The Contract Documents consist of this Contract and any exhibits attached hereto (which exhibits are hereby incorporated into and made a part of this Contract) and all Supplemental Contracts (as defined herein in Article 13) which are subsequently issued. These form the entire contract, and all are as fully a part of this Contract as if attached to this Contract or repeated herein.

ARTICLE 1 CITY SERVICES

City shall perform or provide services as identified in Exhibit A entitled "City Services."

ARTICLE 2 ENGINEERING SERVICES

Engineer shall perform Engineering Services as identified in Exhibit B entitled "Engineering Services."

Engineer shall perform the Engineering Services in accordance with the Work Schedule as identified in Exhibit C entitled "Work Schedule." Such Work Schedule shall contain a complete schedule so that the Engineering Services under this Contract may be accomplished within the specified time and at the specified cost. The Work Schedule shall provide specific work sequences and definite review times by City and Engineer of all Engineering Services. Should the review times or Engineering Services take longer than shown on the Work Schedule, through no fault of Engineer, Engineer may submit a timely written request for additional time, which shall be subject to the approval of the City Manager.

ARTICLE 3 CONTRACT TERM

(1) Term. The Engineer is expected to complete the Engineering Services described herein in accordance with the above described Work Schedule. If Engineer does not perform the Engineering Services in accordance with the Work Schedule, then City shall have the right to terminate this Contract as set forth below in Article 20. So long as the City elects not to terminate this Contract, it shall continue from day to day until such time as the Engineering Services are completed. Any Engineering Services performed or costs incurred after the date of termination shall not be eligible for reimbursement. Engineer shall notify City in writing as soon as possible if he/she/it determines, or reasonably anticipates, that the Engineering Services will not be completed in accordance with the Work Schedule.

(2) Work Schedule. Engineer acknowledges that the Work Schedule is of critical importance, and agrees to undertake all necessary efforts to expedite the performance of Engineering Services required herein so that construction of the project will be commenced and completed as scheduled. In this regard, and subject to adjustments in the Work Schedule as provided in Article 2 herein, Engineer shall proceed with sufficient qualified personnel and consultants necessary to fully and timely accomplish all Engineering Services required under this Contract in a professional manner.

(3) Notice to Proceed. After execution of this Contract, Engineer shall not proceed with Engineering Services until authorized in writing by City to proceed as provided in Article 7.

ARTICLE 4 COMPENSATION

City shall pay and Engineer agrees to accept the amount shown below as full compensation for all engineering services performed and to be performed under this Contract.

Engineer shall be paid on the basis of actual hours worked by employees performing work associated with this Contract, in accordance with the Fee Schedule attached hereto as Exhibit D. Payment of monies due for the Engineer's subconsultant's services shall be based on the actual amount billed to the Engineer by the subconsultant. Payment of monies due for direct cost expenses shall be based on the actual costs.

The maximum amount payable under this Contract, without modification of this Contract as provided herein, is the sum of <u>Ninety-Three Thousand Six Hundred Forty-Two and 50/100 Dollars</u>, (\$93,642.50). Engineer shall prepare and submit to City monthly progress reports in sufficient detail to support the progress of the work and to support invoices requesting monthly payment. Any preferred format of City for such monthly progress reports shall be identified in Exhibit B entitled "Engineering Services". Satisfactory progress of work shall be an absolute condition of payment.

The maximum amount payable herein may be adjusted for additional work requested and performed only if approved by written Supplemental Agreement.

ARTICLE 5 METHOD OF PAYMENT

Payments to Engineer shall be made while Engineering Services are in progress. Engineer shall prepare and submit to City, not more frequently than once per month, a progress report as referenced in Article 4 above. Such progress report shall state the percentage of completion of Engineering Services accomplished during that billing period and to date. Simultaneous with submission of such progress report, Engineer shall prepare and submit one (1) original and one (1) copy of a certified invoice in a form acceptable to City. This submittal shall also include a progress assessment report in a form acceptable to City.

Progress payments shall be made in proportion to the percentage of completion of Engineering Services identified in Exhibit D. Progress payments shall be made by City based upon Engineering Services actually provided and performed. Upon timely receipt and approval of each statement, City shall make a good faith effort to pay the amount which is due and payable within thirty (30) days. City reserves the right to withhold payment pending verification of satisfactory Engineering Services performed. Engineer has the responsibility to submit proof to City, adequate and sufficient in its determination, that tasks were completed.

The certified statements shall show the total amount earned to the date of submission and shall show the amount due and payable as of the date of the current statement. Final payment does not relieve

Engineer of the responsibility of correcting any errors and/or omissions resulting from his/her/its negligence.

ARTICLE 6 PROMPT PAYMENT POLICY

In accordance with Chapter 2251, V.T.C.A., Texas Government Code, payment to Engineer will be made within thirty (30) days of the day on which the performance of services was complete, or within thirty (30) days of the day on which City receives a correct invoice for services, whichever is later. Engineer may charge a late fee (fee shall not be greater than that which is permitted by Texas law) for payments not made in accordance with this prompt payment policy; however, this policy does not apply in the event:

- A. There is a bona fide dispute between City and Engineer concerning the supplies, materials, or equipment delivered or the services performed that causes the payment to be late; or
- B. The terms of a federal contract, grant, regulation, or statute prevent City from making a timely payment with federal funds; or
- C. There is a bona fide dispute between Engineer and a subcontractor or between a subcontractor and its supplier concerning supplies, materials, or equipment delivered or the Engineering Services performed which causes the payment to be late; or
- D. The invoice is not mailed to City in strict accordance with instructions, if any, on the purchase order, or this Contract or other such contractual agreement.

City shall document to Engineer the issues related to disputed invoices within ten (10) calendar days of receipt of such invoice. Any non-disputed invoices shall be considered correct and payable per the terms of Chapter 2251, V.T.C.A., Texas Government Code.

ARTICLE 7 NOTICE TO PROCEED

The Engineer shall not proceed with any task listed on Exhibit B until the City has issued a written Notice to Proceed regarding such task. The City shall not be responsible for work performed or costs incurred by Engineer related to any task for which a Notice to Proceed has not been issued.

ARTICLE 8 PROJECT TEAM

City's Designated Representative for purposes of this Contract is as follows:

Eddie Zapata Project Manager 2008 Enterprise Drive Round Rock, TX 78664 Telephone Number (512) 218-6605 Mobile Number (512) 801-2059 Fax Number (512) 218-5536 Email Address ezapata@roundrocktexas.gov

City's Designated Representative shall be authorized to act on City's behalf with respect to this Contract. City or City's Designated Representative shall render decisions in a timely manner pertaining to documents submitted by Engineer in order to avoid unreasonable delay in the orderly and sequential progress of Engineering Services.

Engineer's Designated Representative for purposes of this Contract is as follows:

Jesse Penn Associate 13809 Research Boulevard, Suite 300 Austin, TX 78750 Telephone Number (512) 241-2206 Fax Number (512) 349-0727 Email Address jpenn@cpyi.com

ARTICLE 9 PROGRESS EVALUATION

Engineer shall, from time to time during the progress of the Engineering Services, confer with City at City's election. Engineer shall prepare and present such information as may be pertinent and necessary, or as may be requested by City, in order for City to evaluate features of the Engineering Services. At the request of City or Engineer, conferences shall be provided at Engineer's office, the offices of City, or at other locations designated by City. When requested by City, such conferences shall also include evaluation of the Engineering Services.

Should City determine that the progress in Engineering Services does not satisfy the Work Schedule, then City shall review the Work Schedule with Engineer to determine corrective action required.

Engineer shall promptly advise City in writing of events which have or may have a significant impact upon the progress of the Engineering Services, including but not limited to the following:

- (1) Problems, delays, adverse conditions which may materially affect the ability to meet the objectives of the Work Schedule, or preclude the attainment of project Engineering Services units by established time periods; and such disclosure shall be accompanied by statement of actions taken or contemplated, and City assistance needed to resolve the situation, if any; and
- (2) Favorable developments or events which enable meeting the Work Schedule goals sooner than anticipated.

ARTICLE 10 SUSPENSION

Should City desire to suspend the Engineering Services, but not to terminate this Contract, then such suspension may be effected by City giving Engineer thirty (30) calendar days' verbal notification followed by written confirmation to that effect. Such thirty-day notice may be waived in writing by agreement and signature of both parties. The Engineering Services may be reinstated and resumed in full force and effect within sixty (60) days of receipt of written notice from City to resume the Engineering Services. Such sixty-day notice may be waived in writing by agreement and signature of both parties. If this Contract is suspended for more than thirty (30) days, Engineer shall have the option of terminating this Contract.

If City suspends the Engineering Services, the contract period as determined in Article 3, and the Work Schedule, shall be extended for a time period equal to the suspension period.

City assumes no liability for Engineering Services performed or costs incurred prior to the date authorized by City for Engineer to begin Engineering Services, and/or during periods when Engineering Services is suspended, and/or subsequent to the contract completion date.

ARTICLE 11 ADDITIONAL ENGINEERING SERVICES

If Engineer forms a reasonable opinion that any work he/she/it has been directed to perform is beyond the scope of this Contract and as such constitutes extra work, he/she/it shall promptly notify City in writing. In the event City finds that such work does constitute extra work and exceeds the maximum amount payable, City shall so advise Engineer and a written Supplemental Contract will be executed between the parties as provided in Article 13. Engineer shall not perform any proposed additional work nor incur any additional costs prior to the execution, by both parties, of a written Supplemental Contract. City shall not be responsible for actions by Engineer nor for any costs incurred by Engineer relating to additional work not directly associated with the performance of the Engineering Services authorized in this Contract or any amendments thereto.

ARTICLE 12 CHANGES IN ENGINEERING SERVICES

If City deems it necessary to request changes to previously satisfactorily completed Engineering Services or parts thereof which involve changes to the original Engineering Services or character of Engineering Services under this Contract, then Engineer shall make such revisions as requested and as directed by City. Such revisions shall be considered as additional Engineering Services and paid for as specified under Article 11.

Engineer shall make revisions to Engineering Services authorized hereunder as are necessary to correct errors appearing therein, when required to do so by City. No additional compensation shall be due for such Engineering Services.

ARTICLE 13 SUPPLEMENTAL CONTRACTS

The terms of this Contract may be modified by written Supplemental Contract if City determines that there has been a significant change in (1) the scope, complexity or character of the Engineering Services, or (2) the duration of the Engineering Services. Any such Supplemental Contract must be duly authorized by the City. Engineer shall not proceed until the Supplemental Contract has been executed. Additional compensation, if appropriate, shall be identified as provided in Article 4.

It is understood and agreed by and between both parties that Engineer shall make no claim for extra work done or materials furnished until the City authorizes full execution of the written Supplemental Contract and authorization to proceed. City reserves the right to withhold payment pending verification of satisfactory Engineering Services performed.

ARTICLE 14 USE OF DOCUMENTS

All documents, including but not limited to drawings, specifications and data or programs stored electronically, (hereinafter referred to as "Instruments of Service") prepared by Engineer and its subcontractors are related exclusively to the services described in this Contract and are intended to be used with respect to this Project. However, it is expressly understood and agreed by and between the parties hereto that all of Engineer's designs under this Contract (including but not limited to tracings, drawings, estimates, specifications, investigations, studies and other documents, completed or partially completed), shall be the property of City to be thereafter used in any lawful manner as City elects. Any such subsequent use made of documents by City shall be at City's sole risk and without liability to Engineer, and, to the extent permitted by law, City shall indemnify, defend and hold harmless Engineer from all claims, damages, losses and expenses, including but not limited to attorneys fees, resulting therefrom.

By execution of this Contract and in confirmation of the fee for services to be paid under this Contract, Engineer hereby conveys, transfers and assigns to City all rights under the Federal Copyright Act of 1976 (or any successor copyright statute), as amended, all common law copyrights and all other intellectual property rights acknowledged by law in the Project designs and work product developed under this Contract. Copies may be retained by Engineer. Engineer shall be liable to City for any loss or damage to any such documents while they are in the possession of or while being worked upon by Engineer or anyone connected with Engineer, including agents, employees, Engineers or subcontractors. All documents so lost or damaged shall be replaced or restored by Engineer without cost to City.

Upon execution of this Contract, Engineer grants to City permission to reproduce Engineer's work and documents for purposes of constructing, using and maintaining the Project, provided that City shall comply with its obligations, including prompt payment of all sums when due, under this Contract. Engineer shall obtain similar permission from Engineer's subcontractors consistent with this Contract. If and upon the date Engineer is adjudged in default of this Contract, City is permitted to authorize other similarly credentialed design professionals to reproduce and, where permitted by law, to make changes, corrections or additions to the work and documents for the purposes of completing, using and maintaining the Project.

City shall not assign, delegate, sublicense, pledge or otherwise transfer any permission granted herein to another party without the prior written contract of Engineer. However, City shall be permitted to authorize the contractor, subcontractors and material or equipment suppliers to reproduce applicable portions of the Instruments of Service appropriate to and for use in their execution of the Work. Submission or distribution of Instruments of Service to meet official regulatory requirements or for similar purposes in connection with the Project is permitted. Any unauthorized use of the Instruments of Service shall be at City's sole risk and without liability to Engineer and its Engineers.

Prior to Engineer providing to City any Instruments of Service in electronic form or City providing to Engineer any electronic data for incorporation into the Instruments of Service, City and Engineer shall by separate written contract set forth the specific conditions governing the format of such Instruments of Service or electronic data, including any special limitations not otherwise provided in this Contract. Any electronic files are provided by Engineer for the convenience of City, and use of them is at City's sole risk. In the case of any defects in electronic files or any discrepancies between them and any hardcopy of the same documents prepared by Engineer, the hardcopy shall prevail. Only printed copies of documents conveyed by Engineer shall be relied upon.

Engineer shall have no liability for changes made to the drawings by other engineers subsequent to the completion of the Project. Any such change shall be sealed by the engineer making that change and shall be appropriately marked to reflect what was changed or modified.

ARTICLE 15 PERSONNEL, EQUIPMENT AND MATERIAL

Engineer shall furnish and maintain, at its own expense, quarters for the performance of all Engineering Services, and adequate and sufficient personnel and equipment to perform the Engineering Services as required. All employees of Engineer shall have such knowledge and experience as will enable them to perform the duties assigned to them. Any employee of Engineer who, in the opinion of City, is incompetent or whose conduct becomes detrimental to the Engineering Services shall immediately be removed from association with the project when so instructed by City. Engineer certifies that it presently has adequate qualified personnel in its employment for performance of the Engineering Services required under this Contract, or will obtain such personnel from sources other than City. Engineer may not change the Project Manager without prior written consent of City.

ARTICLE 16 SUBCONTRACTING

Engineer shall not assign, subcontract or transfer any portion of the Engineering Services under this Contract without prior written approval from City. All subcontracts shall include the provisions required in this Contract and shall be approved as to form, in writing, by City prior to Engineering Services being performed under the subcontract. No subcontract shall relieve Engineer of any responsibilities under this Contract.

ARTICLE 17 EVALUATION OF ENGINEERING SERVICES

City, or any authorized representatives of it, shall have the right at all reasonable times to review or otherwise evaluate the Engineering Services performed or being performed hereunder and the premises on which it is being performed. If any review or evaluation is made on the premises of Engineer or a subcontractor, then Engineer shall provide and require its subcontractors to provide all reasonable facilities and assistance for the safety and convenience of City or other representatives in the performance of their duties.

ARTICLE 18 SUBMISSION OF REPORTS

All applicable study reports shall be submitted in preliminary form for approval by City before any final report is issued. City's comments on Engineer's preliminary reports shall be addressed in any final report.

ARTICLE 19 VIOLATION OF CONTRACT TERMS/BREACH OF CONTRACT

Violation of contract terms or breach of contract by Engineer shall be grounds for termination of this Contract, and any increased costs arising from Engineer's default, breach of contract, or violation of contract terms shall be paid by Engineer.

ARTICLE 20 TERMINATION

This Contract may be terminated as set forth below.

- (1) By mutual agreement and consent, in writing, of both parties.
- (2) By City, by notice in writing to Engineer, as a consequence of failure by Engineer to perform the Engineering Services set forth herein in a satisfactory manner.
- (3) By either party, upon the failure of the other party to fulfill its obligations as set forth herein.
- (4) By City, for reasons of its own and not subject to the mutual consent of Engineer, upon not less than thirty (30) days' written notice to Engineer.
- (5) By satisfactory completion of all Engineering Services and obligations described herein.

Should City terminate this Contract as herein provided, no fees other than fees due and payable at the time of termination shall thereafter be paid to Engineer. In determining the value of the Engineering Services performed by Engineer prior to termination, City shall be the sole judge. Compensation for Engineering Services at termination will be based on a percentage of the Engineering Services completed at that time. Should City terminate this Contract under Subsection (4) immediately above, then the amount charged during the thirty-day notice period shall not exceed the amount charged during the preceding thirty (30) days.

If Engineer defaults in the performance of this Contract or if City terminates this Contract for fault on the part of Engineer, then City shall give consideration to the actual costs incurred by Engineer in performing the Engineering Services to the date of default, the amount of Engineering Services required which was satisfactorily completed to date of default, the value of the Engineering Services which are usable to City, the cost to City of employing another firm to complete the Engineering Services required and the time required to do so, and other factors which affect the value to City of the Engineering Services performed at the time of default.

The termination of this Contract and payment of an amount in settlement as prescribed above shall extinguish all rights, duties, and obligations of City and Engineer under this Contract, except the obligations set forth herein in Article 21 entitled "Compliance with Laws." If the termination of this Contract is due to the failure of Engineer to fulfill his/her/its contractual obligations, then City may take over the project and prosecute the Engineering Services to completion. In such case, Engineer shall be liable to City for any additional and reasonable costs incurred by City.

Engineer shall be responsible for the settlement of all contractual and administrative issues arising out of any procurements made by Engineer in support of the Engineering Services under this Contract.

ARTICLE 21 COMPLIANCE WITH LAWS

(1) **Compliance.** Engineer shall comply with all applicable federal, state and local laws, statutes, codes, ordinances, rules and regulations, and the orders and decrees of any court, or administrative bodies or tribunals in any manner affecting the performance of this Contract, including without limitation, minimum/maximum salary and wage statutes and regulations, and licensing laws and regulations. Engineer shall furnish City with satisfactory proof of his/her/its compliance.

Engineer shall further obtain all permits and licenses required in the performance of the Engineering Services contracted for herein.

(2) **Taxes.** Engineer will pay all taxes, if any, required by law arising by virtue of the Engineering Services performed hereunder. City is qualified for exemption pursuant to the provisions of Section 151.309 of the Texas Limited Sales, Excise, and Use Tax Act.

ARTICLE 22 INDEMNIFICATION

Engineer shall save and hold harmless City and its officers and employees from all claims and liabilities due to activities of his/her/itself and his/her/its agents or employees, performed under this Contract, which are caused by or which result from the negligent error, omission, or negligent act of Engineer or of any person employed by Engineer or under Engineer's direction or control.

Engineer shall also save and hold City harmless from any and all expenses, including but not limited to reasonable attorneys fees which may be incurred by City in litigation or otherwise defending claims or liabilities which may be imposed on City as a result of such negligent activities by Engineer, its agents, or employees.

ARTICLE 23 ENGINEER'S RESPONSIBILITIES

Engineer shall be responsible for the accuracy of his/her/its Engineering Services and shall promptly make necessary revisions or corrections to its work product resulting from errors, omissions, or negligent acts, and same shall be done without compensation. City shall determine Engineer's responsibilities for all questions arising from design errors and/or omissions. Engineer shall not be relieved of responsibility for subsequent correction of any such errors or omissions in its work product, or for clarification of any ambiguities until after the construction phase of the project has been completed.

ARTICLE 24 ENGINEER'S SEAL

The responsible engineer shall sign, seal and date all appropriate engineering submissions to City in accordance with the Texas Engineering Practice Act and the rules of the State Board of Registration for Professional Engineers.

ARTICLE 25 NON-COLLUSION, FINANCIAL INTEREST PROHIBITED

(1) Non-collusion. Engineer warrants that he/she/it has not employed or retained any company or persons, other than a bona fide employee working solely for Engineer, to solicit or secure this Contract, and that he/she/it has not paid or agreed to pay any company or engineer any fee, commission, percentage, brokerage fee, gifts, or any other consideration, contingent upon or resulting from the award or making of this Contract. For breach or violation of this warranty, City reserves and shall have the right to annul this Contract without liability or, in its discretion and at its sole election, to deduct from the contract price or compensation, or to otherwise recover, the full amount of such fee, commission, percentage, brokerage fee, gift or contingent fee.

(2) Financial Interest Prohibited. Engineer covenants and represents that Engineer, his/her/its officers, employees, agents, consultants and subcontractors will have no financial interest, direct or indirect, in the purchase or sale of any product, materials or equipment that will be recommended or required for the construction of the project.

ARTICLE 26 INSURANCE

(1) **Insurance.** Engineer, at Engineer's sole cost, shall purchase and maintain during the entire term while this Contract is in effect professional liability insurance coverage in the minimum amount of One Million Dollars per claim from a company authorized to do insurance business in Texas and

otherwise acceptable to City. Engineer shall also notify City, within twenty-four (24) hours of receipt, of any notices of expiration, cancellation, non-renewal, or material change in coverage it receives from its insurer.

(2) **Subconsultant Insurance.** Without limiting any of the other obligations or liabilities of Engineer, Engineer shall require each subconsultant performing work under this Contract to maintain during the term of this Contract, at the subconsultant's own expense, the same stipulated minimum insurance required in Article 26, Section (1) above, including the required provisions and additional policy conditions as shown below in Article 26, Section (3).

Engineer shall obtain and monitor the certificates of insurance from each subconsultant in order to assure compliance with the insurance requirements. Engineer must retain the certificates of insurance for the duration of this Contract, and shall have the responsibility of enforcing these insurance requirements among its subconsultants. City shall be entitled, upon request and without expense, to receive copies of these certificates of insurance.

(3) **Insurance Policy Endorsements.** Each insurance policy shall include the following conditions by endorsement to the policy:

(a) Engineer shall notify City thirty (30) days prior to the expiration, cancellation, nonrenewal or any material change in coverage, and such notice thereof shall be given to City by certified mail to:

> City Manager, City of Round Rock 221 East Main Street Round Rock, Texas 78664

(b) The policy clause "Other Insurance" shall not apply to any insurance coverage currently held by City, to any such future coverage, or to City's Self-Insured Retentions of whatever nature.

(4) **Cost of Insurance.** The cost of all insurance required herein to be secured and maintained by Engineer shall be borne solely by Engineer, with certificates of insurance evidencing such minimum coverage in force to be filed with City. Such Certificates of Insurance are evidenced as Exhibit E herein entitled "Certificates of Insurance."

ARTICLE 27 COPYRIGHTS

City shall have the royalty-free, nonexclusive and irrevocable right to reproduce, publish or otherwise use, and to authorize others to use, any reports developed by Engineer for governmental purposes.

ARTICLE 28 SUCCESSORS AND ASSIGNS

This Contract shall be binding upon and inure to the benefit of the parties hereto, their successors, lawful assigns, and legal representatives. Engineer may not assign, sublet or transfer any interest in this Contract, in whole or in part, by operation of law or otherwise, without obtaining the prior written consent of City.

ARTICLE 29 SEVERABILITY

In the event any one or more of the provisions contained in this Contract shall for any reason be held to be invalid, illegal or unenforceable in any respect, then such invalidity, illegality or unenforceability shall not affect any other provision thereof and this Contract shall be construed as if such invalid, illegal or unenforceable provision had never been contained herein.

ARTICLE 30 PRIOR AGREEMENTS SUPERSEDED

This Contract constitutes the sole agreement of the parties hereto, and supersedes any prior understandings or written or oral contracts between the parties respecting the subject matter defined herein. This Contract may only be amended or supplemented by mutual agreement of the parties hereto in writing.

ARTICLE 31 ENGINEER'S ACCOUNTING RECORDS

Records pertaining to the project, and records of accounts between City and Engineer, shall be kept on a generally recognized accounting basis and shall be available to City or its authorized representatives at mutually convenient times. The City reserves the right to review all records it deems relevant which are related to this Contract.

ARTICLE 32 NOTICES

All notices to either party by the other required under this Contract shall be personally delivered or mailed to such party at the following respective addresses:

City:

City of Round Rock Attention: City Manager 221 East Main Street Round Rock, TX 78664 and to:

Stephan L. Sheets City Attorney 309 East Main Street Round Rock, TX 78664

Engineer:

Jesse Penn Associate 13809 Research Boulevard, Suite 300 Austin, TX 78750

ARTICLE 33 GENERAL PROVISIONS

(1) Time is of the Essence. Engineer understands and agrees that time is of the essence and that any failure of Engineer to complete the Engineering Services for each phase of this Contract within the agreed Work Schedule may constitute a material breach of this Contract. Engineer shall be fully responsible for his/her/its delays or for failures to use his/her/its reasonable efforts in accordance with the terms of this Contract and the Engineer's standard of performance as defined herein. Where damage is caused to City due to Engineer's negligent failure to perform City may accordingly withhold, to the extent of such damage, Engineer's payments hereunder without waiver of any of City's additional legal rights or remedies.

(2) Force Majeure. Neither City nor Engineer shall be deemed in violation of this Contract if prevented from performing any of their obligations hereunder by reasons for which they are not responsible or circumstances beyond their control. However, notice of such impediment or delay in performance must be timely given, and all reasonable efforts undertaken to mitigate its effects.

(3) Enforcement and Venue. This Contract shall be enforceable in Round Rock, Williamson County, Texas, and if legal action is necessary by either party with respect to the enforcement of any or all of the terms or conditions herein, exclusive venue for same shall lie in Williamson County, Texas. This Contract shall be governed by and construed in accordance with the laws and court decisions of the State of Texas.

(4) Standard of Performance. The standard of care for all professional engineering, consulting and related services performed or furnished by Engineer and its employees under this Contract will be the care and skill ordinarily used by members of Engineer's profession practicing under the same or similar circumstances at the same time and in the same locality. Excepting Articles 25 and 34 herein, Engineer makes no warranties, express or implied, under this Contract or otherwise, in connection with the Engineering Services.

(5) Opinion of Probable Cost. Any opinions of probable project cost or probable construction cost provided by Engineer are made on the basis of information available to Engineer and on the basis of Engineer's experience and qualifications and represents its judgment as an experienced and qualified professional engineer. However, since Engineer has no control over the cost of labor, materials, equipment or services furnished by others, or over the contractor(s') methods of determining prices, or over competitive bidding or market conditions, Engineer does not guarantee that proposals, bids or actual project or construction cost will not vary from opinions of probable cost Engineer prepares.

(6) Opinions and Determinations. Where the terms of this Contract provide for action to be based upon opinion, judgment, approval, review, or determination of either party hereto, such terms are not intended to be and shall never be construed as permitting such opinion, judgment, approval, review, or determination to be arbitrary, capricious, or unreasonable.

ARTICLE 34 SIGNATORY WARRANTY

The undersigned signatory for Engineer hereby represents and warrants that the signatory is an officer of the organization for which he/she has executed this Contract and that he/she has full and complete authority to enter into this Contract on behalf of the firm. The above-stated representations and warranties are made for the purpose of inducing City to enter into this Contract.

IN WITNESS WHEREOF, the City of Round Rock has caused this Contract to be signed in its corporate name by its duly authorized City Manager or Mayor, as has Engineer, signing by and through its duly authorized representative(s), thereby binding the parties hereto, their successors, assigns and representatives for the faithful and full performance of the terms and provisions hereof.

CITY OF ROUND ROCK, TEXAS

APPROVED AS TO FORM:

By: _____ Craig Morgan, Mayor

Stephan L. Sheets, City Attorney

ATTEST:

By: ___

_____ Sara L. White, City Clerk

CP&Y, INC.

By: ___

Signature of Principal Printed Name:

LIST OF EXHIBITS ATTACHED

- (2) Exhibit B Engineering Services
- (3) Exhibit C Work Schedule
- (4) Exhibit D Fee Schedule
- (5) Exhibit E Certificates of Insurance

EXHIBIT A

City Services

The City will furnish the following information to the Engineer and/or perform the following tasks:

- 1. Provide existing reports or data the City has on file concerning the project, if available.
- 2. Provide any available as-built plans for subject wastewater lines and siphon boxes.
- 3. Provide any available as-built plans for elements impacting the project.
- 4. Provide any available utility, parcel and/or topographic mapping information of the project area.
- 5. Provide inspection records, rehabilitation history and cleaning records for siphon structures.
- 6. Provide peak dry weather and peak wet weather flow data for bypass pumping purposes.
- 7. Assist the Engineer, as necessary, in obtaining any required data and information from the State, County, neighboring Cities and/or other franchise utility companies.
- 8. Assist the engineer with access to siphon box sites as needed for the duration of design.
- 9. Give prompt written notice to the Engineer whenever the City observes or otherwise becomes aware of any development that affects the scope of the Engineer's services.
- 10. Meet on an as needed basis to answer questions, provide guidance and offer comment.
- 11. Provide construction inspection and construction testing services including coordination and scope of services.
- 12. Pay all fees associated with approvals and/or permits from entities when such approvals and/or permits are necessary as determined by the City.
- 13. Pay for costs associated with newspaper or electronic public notice for bid advertisement.
- 14. Distribute bid documents and maintain plan holders' list.
- 15. Review qualifications of low bidder and/or others as necessary.
- 16. Assist with property owner coordination for Right-of Entry, if necessary.
- 17. Secure temporary construction easement if needed for construction of improvements.
- 18. Review the Application for Payment and supporting documentation submitted by the Contractor.

EXHIBIT B

Engineering Services

GENERAL

The Engineer shall provide engineering and technical services for the design, bidding and construction phase services for the Chandler Creek Siphon Box Rehabilitation, hereinafter referred to as the "Project." The Project includes rehabilitation of siphon boxes for three (3) depressed sewers (hereinafter referred to as "inverted siphons") in the City of Round Rock (City) wastewater collection system – two (2) on the 48" Chandler Creek Wastewater Interceptor and one (1) on the 12" Forest Bluff Wastewater line. Each inverted siphon includes two (2) siphon boxes. The general scope of the Project will include siphon box and pipe cleaning, gate replacement and coating/liner replacement within the siphon boxes. Per City direction, it is assumed that the Project design is limited to the aforementioned scope items. All work on the Project will be completed in accordance with City of Round Rock standards and TCEQ requirements where applicable.

The tasks and products are fully described in the following TASK OUTLINE.

TASK OUTLINE

I. BASIC SERVICES

A. PROJECT MANAGEMENT

1. Attend, conduct and document up to three (3) project meetings. Prepare meeting minutes including action items to help maintain project schedule.

B. DESIGN

The design will include complete replacement of the slide gates and support brackets (including associated structural modifications of top slab required for gate replacement) and recoating the interior walls. Bypass pumping will be outlined as a performance specification, and the Contractor's detailed plan proposal will be reviewed in the Construction Phase. Design documents will be based on available record documents.

- 1. Data Review and Coordination
 - (a) Review record drawings for siphon boxes and connecting pipelines.
 - (b) Review and coordinate site access and staging area needs for construction, including one (1) site visit.
- 2. 75% Design Documents
 - (a) Drawings
 - (i) Cover Sheet, Location Plan, General Notes
 - (ii) Plan Sheet showing gate replacement and interior rehabilitation
 - (iii) Structural plans for gate replacement
 - (iv) Standard Details
 - (b) Project Manual
 - (i) Table of Contents
 - (ii) Technical Specifications
 - (c) Develop Engineer's Opinion of Probable Construction Cost
 - (d) Perform internal Quality Control review prior to submittal
- 3. 95% Design Documents
 - (a) Drawings
 - (i) Revise 75% Drawings per City comments
 - (ii) Detailed Plan Sheet showing gate replacement and interior rehabilitation
 - (iii) Detailed Structural plans for gate replacement

- (iv) Erosion and Sedimentation Control plans
- (v) Traffic Control standard details
- (vi) Standard Details
- (vii) Project Specific Details
- (b) Project Manual
 - (i) Table of Contents
 - (ii) General Requirements
 - (iii) Technical Specifications
- (c) Revised Engineer's Opinion of Probable Construction Cost
- (d) Perform internal Quality Control review prior to submittal
- 4. Issued for Bid Documents
 - (a) Revise 95% Drawings based on City Comments
 - (b) Revise 95% Project Manual based on City Comments
 - (c) Provide final Engineer's Opinion of Probable Construction Cost
 - (d) Perform internal Quality Control review prior to signing and sealing

C. BID PHASE SERVICES

- 1. Conduct Pre-Bid meeting with City for interested contractors. Provide an agenda and sign-in sheet.
- 2. Address and respond to questions and interpretation of bid documents.
- 3. Prepare and issue addenda to the bid documents (if necessary).
- 4. Conduct bid opening at City location, tabulate and review all bids.
- 5. Provide the City a letter indicating the apparent low bidder.

D. CONSTRUCTION PHASE SERVICES

- 1. Attend one (1) Pre-Construction Conference with the Owner and the Contractor, review the Contractor's construction schedule and issue meeting notes to the Owner.
- 2. Engineer will incorporate Contract Documents and Addenda Items to the bidding document and issue Conformed Set of documents prior to or at the pre-construction conference. Print three (3) 11x17 copies for the City and three (3) 11x17 copies for the Contractor.
- 3. Engineer will attend monthly construction progress meetings at the request of the Owner. Engineer will attend a maximum of three (3) meetings (in addition to the Pre-Construction Conference); fee is based on this number of meetings.
- 4. The Engineer will make periodic visits (typically combined with progress meetings) to the Project sites at intervals appropriate to the various stages of construction to observe the progress and quality of the Contractor's work. Engineer will visit the sites up to once a month, two (2) hours per visit, for a total of four (4) months. Based on the information obtained during such visits, the Engineer will endeavor to determine if the Contractor's work is proceeding in accordance with the Contract Documents. The purpose of such project site visits and such observations is to keep the Owner generally informed of the progress of the Contractor's work and to determine if the contractor conforms in general to the design concept indicated in the Construction Contract Documents. On the other hand, the Engineer shall not, during such visits or as a result of such observations, supervise, direct, or have control over the Contractor's work nor shall the Engineer have authority over or responsibility for the means, methods, techniques, sequences or procedures of construction selected by the Contractor, for safety precautions and programs incident to the work of the Contractor or for any failure of the Contractor to comply with rules, regulations, ordinances, codes or orders applicable to the Contractor's performance of the work. The Contractor shall have sole authority over and responsibility for:
 - (a) The means, methods, techniques, sequences, and procedures of construction;
 - (b) Safety precautions and programs incident to the construction; and
 - (c) Compliance with rules, regulations, ordinances, codes and orders applicable to the construction. The Engineer neither guarantees the performance of the Contractor nor assumes any responsibility for the Contractor's failure to furnish and perform its work in accordance with the Construction Contract Documents.
- 5. Issue necessary clarifications and interpretations of the Construction Contract Documents as appropriate to the orderly completion of the Contractor's work. Such clarifications and interpretations will be

consistent with the intent and reasonably inferable from the Construction Contract Documents. Up to eight (8) Requests for Information (RFIs) are included.

- 6. Make recommendations to the Owner regarding change orders as appropriate and when directed by the Owner, and prepare up to two (2) Change Orders as reasonably required. Preparation of Change Orders, which result from significant changes in the scope, extent, or character of the Project designed by the Engineer, is not included in this scope of services.
- 7. Review samples, catalog data, schedules, submittals, shop drawings, laboratory, shop and mill tests of material and test equipment and other data as required by the Construction Contract Documents, but only for conformance with the design concept indicated in the Construction Contract Documents. Such reviews will not extend to means, methods, techniques, sequences or procedures of construction or to safety precautions and programs incident thereto. Up to twelve (12) submittals are included.
- 8. Upon notice from the Contractor that the Contractor's work is ready for its intended use, conduct, in company with the Owner's representative and the Contractor, an observation to determine if the work is substantially complete. If the Owner and the Engineer consider the work substantially complete, issue a certificate of substantial completion containing a list of required tasks for the Contractor to complete prior to issuance of certificate of final completion. Conduct a final walk through together with the Owner and the Contractor to determine if the work has reached final completion so that the Engineer may recommend final payment to the Contractor. If appropriate, make recommendations to the Owner for final payment to the Contractor.
- 9. Receive, review and transmit to the Owner maintenance and operating instructions, warranties and guarantees, marked up As-built documents received from the Contractor, which reflect field changes to the bid documents. The Engineer will review the documents to ascertain, to the best of the Engineer's knowledge and belief, that the reflected field changes are complete and correct.
- 10. Prepare Project record drawings incorporating compiled change orders and field changes that are received from the Owner and the Contractor. Three (3) sets of prints of "Record Drawings" will be submitted by the Engineer to the Owner on 11x17 paper copies.

II. SPECIAL SERVICES

A. None

III. ADDITIONAL SERVICES

- A. Work not described in the basic services must be approved by supplemental amendment to this Contract by the City before the Engineer undertakes it. If the Engineer is of the opinion that any work is beyond the scope of this Contract and constitutes additional work, the Engineer shall promptly notify the Owner of that opinion, in writing. In the event the City finds that such work does constitute additional work, then the City shall so advise the Engineer, in writing, and shall provide extra compensation to the Engineer for the additional work as provided under a supplemental agreement.
- B. The following items are excluded from the Basic Services provided in this Contract:
 - 1. Completing a condition assessment to observe existing conditions of the four (4) siphon boxes and two (2) siphon manholes;
 - 2. Preparing a Technical Memorandum summarizing siphon box condition and description of gate replacement and siphon box re-lining;
 - 3. Preparing a bypass pumping plan and details;
 - 4. Cleaning and/or condition assessment of inverted siphon piping or air piping during the Design phase;
 - 5. Providing a hydraulic evaluation of the siphon systems;
 - 6. Providing hydraulic improvement recommendations;
 - 7. Providing siphon box access evaluations;
 - 8. Providing gate alternative evaluation for the existing siphon boxes;
 - 9. Completing flow measurement of the existing siphons; and
 - 10. Topographic survey and/or developing easement documentation
 - 11. Coordination and/or acquisition of temporary or permanent easements

EXHIBIT C

Work Schedule

Task	Duration (weeks)	Completion Date
NTP	-	10/16/2017
75% Design	10	12/22/2017
95% Design	8	2/16/2018
Issued for Bid Documents	4	3/16/2018
Bid Phase	8	4/11/2018
Construction Phase	16	8/31/2018

EXHIBIT D

Fee Schedule

Attached Behind This Page

Chandler Creek Siphon Box Rehabilitation

Task Description	Total Labor Hours	Total Loaded Labor Cost
L BASIC SERVICES		
A. PROJECT MANAGEMENT	18	\$ 2,130.00
B. DESIGN	522	\$ 64,120.00
C. BID PHASE SERVICES	58	\$ 7,250.00
D. CONSTRUCTION PHASE SERVICES	160	\$ 19,660.00
EXPENSES	-	\$ 482.50
SUB-TOTAL BASIC ENGINEERING SERVICES	758	\$ 93,642.50
II. SPECIAL SERVICES		
SUB-TOTAL SPECIAL SERVICES	-	\$ -
TOTAL (BASIC & SPECIAL SERVICES & EXPENSES)	758	\$ 93,642.50
III. ADDITIONAL SERVICES		
SUB-TOTAL ADDITIONAL SERVICES	-	\$-
GRAND TOTAL (BASIC, SPECIAL & ADDITIONAL SERVICES, EXPENSES)	758	\$ 93,642.50

	Chandler Cre	ek Siphon	Box Reha	bilitation							
City of Round Rock											
Fee Schedule/Budget for CP&Y, Inc.											
Task Description	Project Principal	Project Manager	Senior Engineer	Project Engineer	CADD Operator	E.I.T.	Admin / Clerical	Total Labor Hours	Total Direct Labor Costs		
	\$230.00	\$165.00	\$185.00	\$145.00	\$90.00	\$95.00	\$75.00				
I. BASIC SERVICES											
A. PROJECT MANAGEMENT											
1 Attend, conduct and document 3 project meetings		6				12		18	\$ 2,130.00		
	0	6	0	0	0	12	0	18	\$ 2,130.00		

Chandler Creek Siphon Box Rehabilitation

Fee Schedule/Budget for CP&Y, Inc.				-							
Task Description	Project Principal	Project Manager	Senior Engineer	Project Engineer	CADD Operator	E.I.T.	Admin / Clerical	Total Labor Hours	Total Direct Labor Costs		
	\$230.00	\$165.00	\$185.00	\$145.00	\$90.00	\$95.00	\$75.00				
I. BASIC SERVICES											
<u>B. DESIGN</u>											
1. Data Review and Coordination											
a. Review Existing Data		2	-	2	-	2	-	6	\$ 810.00		
b. Site Access Coordination		4		6		8		18	\$ 2,290.00		
2. 75% Design											
a. Drawings											
a. Drawings i. Cover Sheet. Location Plan. General Notes				2	6	2		10	\$ 1.020.00		
ii. Gate Replacement Plan Sheets	2	10		10	16	16		54	\$ 6.520.00		
iii. Structural Plans (preliminary level)		2	12	4	16	16		50	\$ 6.090.00		
iv. Standard Details		2	12		6	2		10	\$ 1,060.00		
b. Project Manual		-			, v	-		10	φ 1,000.00		
i. Table of Contents		1		2		2		5	\$ 645.00		
ii. Technical Specifications		8	8	8		16	6	46	\$ 5.930.00		
c. Engineer's OPCC		2	4	4		8		18	\$ 2,410.00		
d. Internal QC Review of Documents		_	6					6	\$ 1,110.00		
									• ,,		
3. 95% Design											
a. Drawings											
i. Revise 75% Drawings per City Comments		2		6	8	6		22	\$ 2,490.00		
ii. Siphon Box gate replacement and interior rehabilitation	2	6		10	16	12		46	\$ 5,480.00		
iii. Structural Plans and Details		2	12	10	16	16		56	\$ 6,960.00		
iv. ESC Plan, Notes and Details		2		4	8	4		18	\$ 2,010.00		
v. Traffic Control Standard Details				2	4	4		10	\$ 1,030.00		
vi. Standard Details		1			2	2		5	\$ 535.00		
vii. Project Specific Details		4		4	8	4		20	\$ 2,340.00		
b. Project Manual											
i. Table of Contents		1		2		2		5	\$ 645.00		
ii. General Requirements			4	4		6	2	16	\$ 2,040.00		
iii. Technical Specifications		4	2	2		8	4	20	\$ 2,380.00		
c. OPCC		2	2	4		8		16	\$ 2,040.00		
d. Perform Internal Quality Control Review prior to Submittal			8					8	\$ 1,480.00		
4. Issued for Bid Documents											
a. Revise 95% Drawings based on City Comments		4		6	12	10		32	\$ 3,560.00		
b. Revise 95% Project Manual based on City Comments		2		4		8		14	\$ 1,670.00		
c. Provide OPCC		1		2		4		7	\$ 835.00		
d. Perform internal Quality Control review prior to signing/sealing			4					4	\$ 740.00		
	4	62	62	98	118	166	12	522	\$ 64,120.00		

Chandler Creek Siphon Box Rehabilitation

Fee Schedule/Budget for CP&Y, Inc.											
Task Description	Project Principal	Project Manager	Senior Engineer	Project Engineer	CADD Operator	E.I.T.	Admin / Clerical	Total Labor Hours	Total Direct Labor Costs		
	\$230.00	\$165.00	\$185.00	\$145.00	\$90.00	\$95.00	\$75.00				
I. BASIC SERVICES											
C. BID PHASE SERVICES											
 Conduct pre-bid meeting and provide agenda/sign in sheet. 		4				6		10	\$ 1,230.00		
Address and respond to questions and interpretation of bid documents.		4		4		8		16	\$ 2,000.00		
Prepare and issue addenda to the bid documents if necessary.		4		4	4	4		16	\$ 1,980.00		
Conduct bid opening at City location, tabulate and review all bids.		4				6		10	\$ 1,230.00		
Provide City a letter indicating the apparent low bidder.		2		2		2		6	\$ 810.00		
	0	18	0	10	4	26	0	58	\$ 7,250.00		

Chandler Creek Siphon Box Rehabilitation

Fee Sche	dule/Budget for CP&Y, Inc.									
	Task Description		Project Manager	Senior Engineer	Project Engineer	CADD Operator	E.I.T.	Admin / Clerical	Total Labor Hours	Direct Labor Costs
		\$230.00	\$165.00	\$185.00	\$145.00	\$90.00	\$95.00	\$75.00		
I. BASIC SE	RVICES									
	D. CONSTRUCTION PHASE SERVICES									
	Attend one (1) pre-construction conference, review construction schedule and									
1.	issue meeting notes.		3				6		9	\$ 1,065.00
2.	Prepare, Review Project conformed drawings; submit three (3) 11x17 sets		2		2	8	4		16	\$ 1,720.00
3.	Construction Meetings Combined with Site Visits and Admin (3)		4		4		8		16	\$ 2,000.00
4.	Periodic Site Visits; 1 x per month @ 4 months		8				8		16	\$ 2,080.00
	Issue necessary clarifications and interpretations of construction documents,									
5.	RFIs		4	4	8		8		24	\$ 3,320.00
6.	Make recommendations regarding change orders		4		4		4		12	\$ 1,620.00
7.	Review submittals for conformance with design concept		3	6	6		12		27	\$ 3,615.00
8.	Substantial Completion Walk and Punch List				4		8		12	\$ 1,340.00
9.	Receive, review and transmit to Owner bid documents with field changes				2		2		4	\$ 480.00
10.	Prepare, Review Project record drawings; submit three (3) 11x17 sets				4	12	8		24	\$ 2,420.00
	• • • • • • • • • • • • • • • • • • • •	0	28	10	34	20	68	0	160	\$ 19,660.00

EXHIBIT E

Certificates of Insurance

Attached Behind This Page

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THIS CENTIFICATE IN SUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CENTIFICATE HOLDER. THE CERTIFICATE DOES NOT AFRAMINELY OR REATIVELY AMEN EXTEND OR ALTER THE COVERAGE AFFORDED BY THE FOLLOWES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUNG INSURERS), AUTHORIZED BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUNG INSURERS), AUTHORIZED INFORMATION OF REAL PROPERTIES AND THE CERTIFICATE HOLDER. IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policylesis must be endorsed. If SUBROGATION IS WAVED, subject to the terms and cenders of the policylesis may require an endorsement. A statement on this certificate does not conter rights to the Proceedings of the processing and the processing of the policylesis may require an endorsement. A statement on this certificate does not conter rights to the Proceedings of the processing of the policylesis and require and the policylesis and the policyles	ACORD	CER	ΓIF	IC	ATE OF LIA	BILIT	Y IN	ISURA	NCE		. ,	
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