

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	6631-2020-1
Temporary Application Number	6631-2020-1
Application Name	FY 19 Section 5307 Round Rock Transit
Application Status	In-Progress
Application Budget Number	0

Part 1: Recipient Information

Name: Round Rock, City Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6631	City	CITY OF ROUND ROCK	102740792

Location Type	Address	City	State	Zip
Headquarters	221 E MAIN ST	ROUND ROCK	TX	786645271
Physical Address	221 E MAIN ST	ROUND ROCK	TX	78664
Mailing Address	221 E. MAIN STREET	ROUND ROCK	TX	78664

Union Information

Union Name	NO UNION
Address 1	
Address 2	
City	
State	
Zipcode	
Contact Name	NA NA
Telephone	
Fax	
E-mail	

Website

Part 2: Application Information

Title: FY 19 Section 5307 Round Rock Transit

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
6631-2020-1	In-Progress	Grant	11/20/2019	11/20/2019	No

Application Start Date

The start date will be set to the date of the award

Application End Date

2/28/2021

Application Executive Summary

The City of Round Rock is applying for \$667,591 for FY 2019 FTA Section 5307 funds. This project will continue to fund the fixed route and paratransit service, serving the citizens of Round Rock, with an emphasis on providing service to persons with disabilities and low income individuals with access to employment, education, medical and other needed services. The fixed route will continue to provide service for commuter and express service connecting with Capital Metro for service into Austin. The City of Round Rock's general fund will be used to match the grant.

Frequency of Milestone Progress Reports (MPR)

No Selection Made

Frequency of Federal Financial Reports (FFR)

No Selection Made

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is suballocated these apportioned funds and can apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No Selection Made

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
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Edna	Johnson	Assistant Director	ejohnson@roundrocktexas.gov	(512) 671-2869
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Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5307 - Urbanized Area Formula Grants (2013 and forward)	5307-2A	20507	\$667,591
Local			\$838,186
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,505,777

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
6631-2020-1-P1	117-00 (117-) OTHER CAPITAL ITEMS (BUS)	\$341,191.00	\$511,786.00	\$852,977.00	0
6631-2020-1-P1	11.71.12 CAPITAL COST OF 3RD PARTY CONTRACTING	\$341,191.00	\$511,786.00	\$852,977.00	0
6631-2020-1-P2	646-00 (646-) JARC PROJECTS	\$326,400.00	\$326,400.00	\$652,800.00	1
6631-2020-1-P2	30.09.05 JOB ACCESS AND REVERSE COMMUTE OPERATING ASSISTANCE	\$326,400.00	\$326,400.00	\$652,800.00	1

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: ADA Paratransit Service

Project Number	Temporary Project Number	Date Created	Start Date	End Date
6631-2020-1-P1	6631-2020-1-P1	11/20/2019	2/1/2021	2/1/2021

Project Description

The ADA Complimentary Paratransit service is provided to eligible persons who, because of a disability, are unable to use the fixed routes. The service will operate on the same dates and times as the fixed routes which are operated by Capital Metro within the City of Round Rock. The City of Round Rock does not provided the service directly but will contract with a service provider to operate under a full turnkey contract. The City of Round Rock will also offer reasonable modifications to ensure our program is accessible to individuals with disabilities.

Project Benefits

The benefits of the paratransit service is to provide transportation to persons with disabilities so that may live independently within our community.

Additional Information

None provided.

Location Description

The City of Round Rock is located approximately 20 miles north of downtown Austin.

Project Location (Urbanized Areas)

UZA Code	Area Name
480000	Texas
480790	Austin, TX

Congressional District Information

State	District	Representative
Texas	17	Bill Flores
Texas	31	John R Carter

Program Plan Information

STIP/TIP

Date: 3/19/2018

Description: STIP TxDOT, page 922.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5307 - Urbanized Area Formula Grants (2013 and forward)	5307-2A	20507	\$341,191
Local			\$511,786
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$852,977

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
6631-2020-1-P1	117-00 (117-) OTHER CAPITAL ITEMS (BUS)	\$341,191.00	\$511,786.00	\$852,977.00	0
6631-2020-1-P1	11.71.12 CAPITAL COST OF 3RD PARTY CONTRACTING	\$341,191.00	\$511,786.00	\$852,977.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.71.12 - CAPITAL COST OF 3RD PARTY CONTRACTING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	3RD PARTY CONTRACTS	0

Extended Budget Description

Paratransit service under turnkey contract.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5307 - Urbanized Area Formula Grants (2013 and forward)	5307-2A	20507	\$341,191
Local			\$511,786
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$852,977

Milestone Name	Est. Completion Date	Description
ADA Paratransit Service	2/1/2021	Service provided under 3rd party contract to provide ADA paratransit services.
Project Administration	2/1/2021	The Transit Coordinator will provide oversight of the ADA Paratransit Program which is operated under a turnkey contract.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
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OTHER CAPITAL ITEMS (BUS) (117-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	0	\$341,191.00	\$852,977.00
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Project Title: Fixed Route Bus Service

Project Number	Temporary Project Number	Date Created	Start Date	End Date
6631-2020-1-P2	6631-2020-1-P2	11/22/2019	2/1/2021	2/1/2021

Project Description

This project will provide the needed funding for the fixed route bus service in the City of Round Rock. The service also provides regional connectivity with express/commuter connections to Capital Metro Transit Centers in Austin. The routes were established in August 2017 based on the recommendations in the Transit Master Plan. The ridership for these routes has seen an increase of 31% from 2018.

Project Benefits

The bus service is designed to meet the transportation needs for the residents of Round Rock, to access employment, educational facilities, medical services along with providing commuter and express service to Austin. The City of Round Rock is located approximately 20 miles north of downtown Austin.

Additional Information

To continue to improve mobility for persons living in Round Rock and improving regional transit between Round Rock and the City of Austin.

Location Description

City of Round Rock is located approximately 20 miles north of Austin.

Project Location (Urbanized Areas)

UZA Code	Area Name
480000	Texas
480790	Austin, TX

Congressional District Information

State	District	Representative
Texas	17	Bill Flores
Texas	31	John R Carter

Program Plan Information

STIP/TIP

Date: 11/22/2019

Description: Page 922

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5307 - Urbanized Area Formula Grants (2013 and forward)	5307-2A	20507	\$326,400
Local			\$326,400
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$652,800

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
6631-2020-1-P2	646-00 (646-) JARC PROJECTS	\$326,400.00	\$326,400.00	\$652,800.00	1
6631-2020-1-P2	30.09.05 JOB ACCESS AND REVERSE COMMUTE OPERATING ASSISTANCE	\$326,400.00	\$326,400.00	\$652,800.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.05 - JOB ACCESS AND REVERSE COMMUTE OPERATING ASSISTANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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JARC PROJECTS (646-00)	30.09.05	JOB ACCESS AND REVERSE COMMUTE OPERATING ASSISTANCE	OPERATING ASSISTANCE	1
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Extended Budget Description

The funding under this budget line item will provide support for the local fixed route and commuter bus service in Round Rock. This line item is continuing the activity of FY18 funded project.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5307 - Urbanized Area Formula Grants (2013 and forward)	5307-2A	20507	\$326,400
Local			\$326,400
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$652,800

Milestone Name	Est. Completion Date	Description
Fixed Route Service	2/1/2021	Local fixed route service provides service within Round Rock making connections to educational institutes and employment center. The local routes also connect to commuter routes and express routes connecting to Capital Metro stations for rail and other bus service. Capital Metro will provide full turnkey services which includes providing the buses, drivers, maintenance and data collection.
Fixed Route	2/1/2021	Local fixed route service provides service within Round Rock making connections to educational institutes and employment center. The local routes also connect to commuter routes and express routes connecting to Capital Metro stations for rail and other bus service. Capital Metro will provide full turnkey services which includes providing the buses, drivers, maintenance and data collection.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment

and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

There are no review comments to display at this time.

Part 6: Agreement

UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT (FTA G-26, October 1, 2019)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL

ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Round Rock, City Of

Recipient ID: 6631

DUNS No: 102740792

Application Information

Federal Award Identification Number: 6631-2020-1

Application Name: FY 19 Section 5307 Round Rock Transit

Application Start Date: The start date will be set to the date of the award

Application End Date: 2/28/2021

Application Executive Summary: The City of Round Rock is applying for \$667,591 for FY 2019 FTA Section 5307 funds. This project will continue to fund the fixed route and paratransit service, serving the citizens of Round Rock, with an emphasis on providing service to persons with disabilities and low income individuals with access to employment, education, medical and other needed services. The fixed route will continue to provide service for commuter and express service connecting with Capital Metro for service into Austin. The City of Round Rock's general fund will be used to match the grant.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is suballocated these apportioned funds and can apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$1,505,777.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$667,591.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$838,186.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$667,591.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$838,186.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5307 - Urbanized Area Formula Grants (2013 and forward)	5307-2A	20507	\$667,591
Local			\$838,186
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,505,777

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Round Rock, City Of