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FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	6631-2020-2
Temporary Application Number	6631-2020-2
Application Name	FY 2020 CARES Act Funds
Application Status	In-Progress
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2021
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Round Rock, City of						
Recipient ID	Recipient OST Type		Recipient Alias		Recipient DUNS	
6631	City		CITY OF ROUND ROCK 1027407		102740792	
Location Type		Address	City		State	Zip
Headquarters		221 E Main St	Round F	Rock	ТХ	78664
Physical Addres	s	221 E Main St	Round F	Rock	ТΧ	78664
Mailing Address	6	221 E. MAIN STRE	EET ROUND	ROCK	ТХ	78664

Union Information

Union Name	NO UNION
Address 1	
Address 2	
City	
State	
Zipcode	

Contact Name	NA NA
Telephone	
Fax	
E-mail	
Website	

Part 2: Application Information

Title: FY 2020 CARES Act Funds					
FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
6631-2020- 2	In-Progress	Grant	4/28/2020	4/28/2020	No

Application Executive Summary

The City of Round Rock is applying for \$2,156,247 in FY 2020 CARES Act funding. The project will providing funding for the operations of the commuter & fixed routes, bus shelters and the ADA paratransit service for the citizens of Round Rock, with an emphasis on access to employment centers, educational facilities and other needed community resources. The grant will also provide service to persons with disabilities as required by the Americans with Disability Act of 1990 (ADA).

Frequency of Milestone Progress Reports (MPR)

No Selection Made

Frequency of Federal Financial Reports (FFR)

No Selection Made

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is suballocated these apportioned funds and can apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No Selection Made

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name Last Name Title E-mail Address Phone

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5307 - Urbanized Area Formula Grants (CARES Act)	5307-6	20507	\$2,156,247
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,156,247

Application Budget

Project Number		Bud	get Item	FTA Amount	Non- FTA Amount	Total Eligible Amount	Quantity
6631-2020-2- P1	646- 00 (646-)	JARC PR	OJECTS	\$1,020,000.00	\$0.00	\$1,020,000.00	0
6631-2020-2- P1		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,020,000.00	\$0.00	\$1,020,000.00	0
6631-2020-2- P2	117- 00 (117-)	OTHER ((BUS)	CAPITAL ITEMS	\$1,094,000.00	\$0.00	\$1,094,000.00	0
6631-2020-2- P2		11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	\$997,000.00	\$0.00	\$997,000.00	0
6631-2020-2- P2		11.79.00	PROJECT ADMINISTRATION	\$97,000.00	\$0.00	\$97,000.00	0
6631-2020-2- P4	140- 20 (140-)		IS, STOPS, ALS, INTERMODAL	\$42,247.00	\$0.00	\$42,247.00	3
6631-2020-2- P4		14.02.20	STATIONS, STOPS, TERMINALS, INTERMODAL	\$42,247.00	\$0.00	\$42,247.00	3

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Fixed Route Bus Service

Project Number	Temporary Project Number	Date Created	Start Date	End Date
6631-2020-2-P1	6631-2020-2-P1	4/30/2020	3/1/2020	9/30/2021

Project Description

Project Description

This project will provide the needed funding for the fixed route bus service in the City of Round Rock. The service also provides regional connectivity with express/commuter connections to Capital Metro Transit Centers in Austin. The routes were established in August 2017 based on the recommendations in the Transit Master Plan. The ridership for these routes has seen an increase of 31% from 2018. and was up an additional 10% in 2019. However due to the Coronavirus we have seen a dramatic decrease in ridership.

Project Benefits

Project Benefits

The bus service is designed to meet the transportation needs for the residents of Round Rock, to access employment, educational facilities, medical services along with providing commuter and express service to Austin. The City of Round Rock is located approximately 20 miles north of downtown Austin.

Additional Information

Additional Information

To continue to improve mobility for persons living in Round Rock and improving regional transit between Round Rock and the City of Austin.

Location Description

City of Round Rock is located approximately 20 miles north of Austin.

Security

No -- our organization will NOT expend at least 1% of the 5307 funds in this application for securityrelated projects

Project Location (Urbanized Areas)

UZA Code	Area Name
480000	Texas
480790	Austin, TX

Congressional District Information

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Texas	17	Bill Flores
Texas	31	John R Carter

Program Plan Information

STIP/TIP Date: N/A

Description: N/A

UPWP Date: N/A Description: N/A

Long Range Plan

Date: N/A Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5307 - Urbanized Area Formula Grants (CARES Act)	5307-6	20507	\$1,020,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,020,000

Project Budget

Project Number		Budget I	tem	FTA Amount	Non- FTA Amount	Total Eligible Amount	Quantity
6631-2020-2- P1	646- 00 (646-)	JARC PRO	JECTS	\$1,020,000.00	\$0.00	\$1,020,000.00	0
6631-2020-2- P1		30.09.08	EMER RELIEF - OPERATING	\$1,020,000.00	\$0.00	\$1,020,000.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
JARC PROJECTS (646-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

The funds for this ALI will support the fixed route bus service. The routes will provide employees, employers, and students a new transportation option and/or give alternatives to driving. This ALI is for the maintenance of an activity previously funded as JARC.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5307 - Urbanized Area Formula Grants (CARES Act)	5307-6	20507	\$1,020,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,020,000

Milestone Name	Est. Completion Date	Description
Start Date	3/1/2020	Project will begin.
Fixed Route Service	9/30/2021	Routes 50 and 51 offer service through lower income areas of Round Rock, major employers and higher education campuses. The routes are operated under an Interlocal Agreement with Capital Metro. Capital Metro will provide full turnkey services which includes providing the buses, drivers, maintenance, reports and data on the service. Route 52 is a reverse commute route beginning at Capital Metro's Tech Ridge Park & Ride. It operates 2 morning and 2 evening routes. The route will serve employers and the Art Institute in the

	southwest quadrant of Round Rock. In addition, it will serve the Transit Center to connect with the other Round Rock Routes. Route 980 is a commuter route directly into downtown Austin. Capital Metro will provide full turnkey services which includes providing the buses, drivers, maintenance, reports and data on the service.
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Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
JARC PROJECTS (646-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$1,020,000.00	\$1,020,000.00

Project Title: ADA Paratransit Service					
Project Number	Temporary Project Number	Date Created	Start Date	End Date	
6631-2020-2-P2	6631-2020-2-P2	4/30/2020	3/1/2020	9/30/2021	

Project Description

The ADA Complimentary Paratransit service is provided to eligible persons who, because of a disability, are unable to use the fixed routes. The service will operate on the same dates and times as the fixed routes which are operated by Capital Metro within the City of Round Rock. The City of Round Rock does not provided the service directly but will contract with a service provider to operate under a full turnkey contract. The City of Round Rock will also offer reasonable modifications to ensure our program is accessible to individuals with disabilities.

Project Benefits

The benefits of the paratransit service is to provide transportation to persons with disabilities so that may live independently within our community.

Additional Information

None provided.

Location Description

The City of Round Rock is located approximately 20 miles north of downtown Austin.

Security

No -- our organization will NOT expend at least 1% of the 5307 funds in this application for securityrelated projects

Project Location (Urbanized Areas)

UZA Code	Area Name
480000	Texas
480790	Austin, TX

Congressional District Information

State	District	Representative
Texas	17	Bill Flores
Texas	31	John R Carter

Program Plan Information

STIP/TIP

Date: N/A Description: N/A

UPWP Date: N/A

Description: N/A

Long Range Plan

Date: N/A Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5307 - Urbanized Area Formula Grants (CARES Act)	5307-6	20507	\$1,094,000
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind	\$0
Other Federal	\$0
Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$1,094,000

Project Budget

Project Number		Bud	get Item	FTA Amount	Non- FTA Amount	Total Eligible Amount	Quantity
6631-2020-2- P2	117- 00 (117-)	OTHER ((BUS)	CAPITAL ITEMS	\$1,094,000.00	\$0.00	\$1,094,000.00	0
6631-2020-2- P2		11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	\$997,000.00	\$0.00	\$997,000.00	0
6631-2020-2- P2		11.79.00	PROJECT ADMINISTRATION	\$97,000.00	\$0.00	\$97,000.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

This budget line item will provide funding for the Transit Coordinator salary and associated benefits to administer and manage the associated grants.

Will 3rd Party contractors be used to fulfill this activity line item? No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5307 - Urbanized Area Formula Grants (CARES Act)	5307-6	20507	\$97,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$97,000

Milestone Name	Est. Completion Date	Description
Start Date	3/1/2020	Project will start.
Project Administration	9/30/2021	The Transit Coordinator will provide contract oversight for the ADA paratransit service and other operational services.

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	3RD PARTY CONTRACTS	0

Extended Budget Description

This line item will continue to provide the ADA Paratransit Services through a turnkey key contract. The contractor will provide vehicles, bus operators, fuel and other operational related expenses. The contractor will also provide for the reservation and scheduling of services for the City of Round Rock.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5307 - Urbanized Area Formula Grants (CARES Act)	5307-6	20507	\$997,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$997,000

Milestone Name	Est. Completion Date	Description
Start Date	3/1/2020	Project will start
ADA Paratransit Service	9/30/2021	To provide quality and efficient paratransit service to passengers according to the Americans with Disabilities Act of 1990 (ADA).

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: Bus Shelters Project				
Project Number	Temporary Project Number	Date Created	Start Date	End Date
6631-2020-2-P4	6631-2020-2-P4	5/4/2020	3/1/2020	9/30/2021

Project Description

This project will provide the needed funding to purchase and install four bus shelters for the City of Round Rock fixed route service. As part of this project, the City will improve all locations to ensure ADA compliance. Bus stops are a key to increasing bus ridership as they are the front door to riding the bus, they are where transit riders wait for service. Bus stops with shelters are important drivers of bus ridership and customer satisfaction.

Project Benefits

Studies have found that stops with shelters, benches and sidewalk connections increased ridership than at stops without them. Stops with shelters also helped to decrease the demand for paratransit service within a quarter mile radius of stops with such amenities. Many elderly and persons with disabilities cannot tolerate the Texas sun and heat, which may even exacerbate their disabilities.

Additional Information

The Round Rock Transportation Department will be responsible for installing the shelters, signage, benches and trash cans. They will also be responsible for the maintenance of the shelters and will document the physical conditions at and around each stop to determine accessibility.

Location Description

The City of Round Rock is in Williamson County, encompassing a land area of 34.23 sq. miles and approximately 15 miles north of Austin. This project will concentrate on installing four shelters at the most frequently used stops which may include but not limited to, HEB plus (2 stops), Walmart Supercenter and MHMR.

Security

No -- our organization will NOT expend at least 1% of the 5307 funds in this application for securityrelated projects

Project Location (Urbanized Areas)

UZA Code	Area Name
480000	Texas
480790	Austin, TX

Congressional District Information

State	District	Representative	
Texas	17	Bill Flores	
Texas	31	John R Carter	

Program Plan Information

STIP/TIP Date: N/A

Description: N/A

UPWP Date: N/A Description: N/A

Long Range Plan

Date: N/A Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5307 - Urbanized Area Formula Grants (CARES Act)	5307-6	20507	\$42,247
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$42,247

Project Budget

Project Number Budget Item FTA FTA Eligible Quar Amount Amount Amount
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6631-2020-2-P4	140-20 (140-)	STATIONS, S TERMINALS, INTERMODA		\$42,247.00	\$0.00	\$42,247.00	3
6631-2020-2-P4		14.02.20	STATIONS, STOPS, TERMINALS, INTERMODAL	\$42,247.00	\$0.00	\$42,247.00	3

Project Budget Activity Line Items

Budget Activity Line Item: 14.02.20 - STATIONS, STOPS, TERMINALS, INTERMODAL				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATIONS, STOPS, TERMINALS, INTERMODAL (140-20)	14.02.20	STATIONS, STOPS, TERMINALS, INTERMODAL	STATIONS, STOPS, TERMINALS, INTERMODAL	3

Extended Budget Description

The proposed budget includes the purchase of the shelter and standard amenities such as benches, trash cans and route information signage. The budget also includes the construction costs and any additional site preparation, such as concrete or curb cuts. The City will review each site location to ensure connectivity and ADA accessibility and will make any needed improvements.

Will 3rd Party contractors be used to fulfill this activity line item? No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5307 - Urbanized Area Formula Grants (CARES Act)	5307-6	20507	\$42,247
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$42,247

Milestone Name	Est. Completion Date	Description
Start Date	3/1/2020	Project will start.
Solicit bids from qualified vendors	12/31/2020	The City shall solicit proposals from qualified vendors. Manufacturers, suppliers, consultants and other vendors wishing to furnish materials, equipment supplies or services to the City may register to receive bid and proposal opportunities. The City reserves the

		right to approve or disapprove all subcontractors prior to any work being performed.
Select Vendor & Start Installation	9/30/2021	Select contractor based upon the specifications contained in the solicitation, provide notice to proceed and begin installation of shelters.

Project Environmental Findings

Finding: Class II(e) – Categorical Exclusions (Cross Agency CE Options)

Class Level Description

Cross Agency CE Options as per 771.118(e) - Any action qualifying as a CE under 771.116 or 771.117 may be approved by FTA when the applicable requirements of those sections have been met. FTA may consult with FHWA or FRA to ensure the CE is applicable to the proposed action.

Categorical Exclusion Description

Installation of fencing, signs, pavement markings, small passenger shelters, traffic signals, and railroad warning devices where no substantial land acquisition or traffic disruption will occur.

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

There are no review comments to display at this time.

Part 6: Agreement

UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT (FTA G-26, October 1, 2019)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement: (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, http://www.transit.dot.gov,

(2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and

(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA'S AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Round Rock, City of

Recipient ID: 6631

DUNS No: 102740792

Application Information

Federal Award Identification Number: 6631-2020-2

Application Name: FY 2020 CARES Act Funds

Application Start Date: N/A

Original Award End Date: 9/30/2021

Current Award End Date: N/A

<u>Application Executive Summary:</u> The City of Round Rock is applying for \$2,156,247 in FY 2020 CARES Act funding. The project will providing funding for the operations of the commuter & fixed routes, bus shelters and the ADA paratransit service for the citizens of Round Rock, with an emphasis on access to employment centers, educational facilities and other needed community resources. The grant will also provide service to persons with disabilities as required by the Americans with Disability Act of 1990 (ADA).

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

<u>Suballocation Funds:</u> Recipient organization is suballocated these apportioned funds and can apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$2,156,247.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$2,156,247.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$2,156,247.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5307 - Urbanized Area Formula Grants (CARES Act)	5307-6	20507	\$2,156,247
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,156,247

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION U.S. DEPARTMENT OF TRANSPORTATION Contact Info: Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Round Rock, City of