

EXHIBIT "A"

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The City of Round Rock is a federal entitlement community under the U.S. Department of Housing and Urban Development's (HUD) Community Development Block Grant (CDBG) Program. As a HUD entitlement community, the City is required to prepare an Annual Action Plan in order to implement any federal programs that fund housing, community development, and economic development within the community. The City of Round Rock CDBG 2020-2021 Annual Action Plan covers the period from October 1, 2020 to September 30, 2021.

This CDBG 2020 Action Plan is the second annual action plan in the City of Round Rock Five Year Consolidated Plan (2019-2023). The City of Round Rock has been awarded \$675,500 in CDBG funds and in this program year the city is proposing to allocate \$135,100 (20%) for the administration and oversight of the program and \$101,325 (15%) to the following public services:

- Round Rock Area Serving Center: Food Pantry Program \$25,000
- Round Rock Area Serving Center: Housing Assistance Program \$25,000
- CASA of Williamson County: Child Advocacy Program \$25,000
- Sacred Heart Community Clinic: Expanded Access of Primary Medical Care \$16,325
- Opportunities for Williamson & Burnet Counties: Meals on Wheels \$10,000

In addition to funding program administration and public services, the City is proposing to fund the following projects:

- City of Round Rock Minor Home Repair: \$194,075
- City of Round Rock Transportation Department/Bus Stops at Mays Street:\$130,000
- City of Round Rock Planning Department/Code Enforcement: \$115,000

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The City of Round Rock CDBG 2020 Annual Action Plan provides a framework to address the needs of the city for the next year using the \$675,500 in Community Development Block Grant funds. The three overarching objectives guiding the proposed activities are:

- Providing Decent Affordable Housing
- Creating Suitable Living Environments
- Creating Economic Opportunities

Outcomes show how programs and activities benefit a community or the people served. The three outcomes that will illustrate the benefits of each activity funded by the CDBG program are:

- Improve Availability/Accessibility
- Improve Affordability
- Improve Sustainability

All projects funded in this action plan will support at least one objective and one outcome. The City's framework for realizing the objectives and outcomes include the following goals:

- Provide Decent, Affordable Housing
- Provide a Suitable Living Environment

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The following is project activity summary of the CDBG Program Year 2018 from the most recent CAPER submitted to HUD and also projects that have been completed after the last CAPER was submitted that include CDBG Program Year 2019 activities:

- Round Rock Area Serving Center Food Pantry Program expended all 2018 CDBG funds in the amount of \$25,000 and assisted 860 households with food from their food pantry.
- Round Rock Area Serving Center Housing Assistance Program expended all 2018 CDBG funds in the amount of \$25,000 and assisted 254 households with rent or mortgage assistance.
- CASA Child Advocacy Program expended all 2018 CDBG funds in the amount of \$25,000 and assisted 91 abused or neglected children in court.
- Council on At-Risk Youth expended all 2018 CDBG funds in the amount of \$13,500 and assisted 151 at-risk youth with counseling.
- Sacred Heart Community Clinic expended all 2018 CDBG funds in the amount of \$12,500 and assisted 312 low income residents with health services.

- Sacred Heart Community Clinic expended all 2019 CDBG funds in the amount of \$12,500 and assisted 105 low income residents with health services.
- Frontier Park improvements were completed and all 2017 & 2018 funds were expended in the amount of \$302,973.04
- The Austin Street Sidewalk project was completed in program year 2018 and a total of \$187,207 was expended.
- CDBG 2016 Greenhill Sidewalk Project was completed in 2019 and \$120,973 was expended this program year.
- A total of seven homes participated in Minor Home Repair and were completed in program year 2019. \$18,647.80 was expended during this program year.
- Program Administration was completed and the full 20% was expended in the amount of \$134,879.
- Hope Alliance Domestic Violence Shelter ADA Playground and Shade Structure was completed during the 2019 program year and expended \$32,700.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The City of Round Rock's goal for citizen participation is to encourage a broad participation of City residents, social service agencies and city departments in the planning and implementation of public hearings.

For the development of the City of Round Rock CDBG 2020-2021 Annual Action Plan, two public hearings were held. The first public hearing was a "Needs Assessment" public hearing that was held on April 9, 2020. The second public hearing was held on July 9, 2020. No comments were received. The notices for these public hearings were advertised in the Round Rock Leader newspaper in English and Spanish as well as posted at the following locations:

- City Hall bulletin
- City website and CDBG webpage
- City Hall Kiosk on first floor
- Kiosk at the Alan R. Baca Senior Center
- Round Rock Housing Authority
- Round Rock Library

In addition to the public hearing notice, an Executive Summary were made available as well.

The City of Round Rock always wants to continue to make efforts to broaden public participation during the development of the plan. This year with the mandatory shut-downs of city buildings and neighborhood facilities due to COVID-19, citizen participation was limited. Once offices and facilities opened up, citizen participation was encouraged but because of the continued anxiety about COVID-19 many residents continued to stay home and stay safe.

In order to broaden citizen participation in the future, Round Rock did amend their Citizen Participation Plan to include policies for citizen participation during emergencies and disasters.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

Unfortunately, there were no comments received during the development of the program year.

6. Summary of comments or views not accepted and the reasons for not accepting them

The City of Round Rock accepts and considers any comments that are received.

7. Summary

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
Lead Agency	ROUND ROCK	
CDBG Administrator	ROUND ROCK	Elizabeth Alvarado
HOME Administrator		

Table 1 – Responsible Agencies

Narrative (optional)

The City of Round Rock Office of Community Development is the lead agency for the preparation of the CDBG 2020-2021 Annual Action Plan and administration of the CDBG Program. Annual funding requests generally open in February with applications due in Mid-March. Funding decisions are made in April or May. This CDBG 2020-2021 program year is the first year that applications for funding were available to fill out and submit online.

Consolidated Plan Public Contact Information

Elizabeth Alvarado, CDBG Coordinator

221 East Main Street

Round Rock, TX 78664

512-341-3328

ealvarado@roundrocktexas.gov

AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

The City of Round Rock has developed an outreach effort to encourage input from a large cross section of residents and stakeholders. The outreach effort included two public hearings before city council, published and posted meeting notices, social media notifications, emails and flyers.

In addition to reaching out during the development of the plan, City of Round Rock CDBG staff continues to maintain a strong networking relationship with public and assisted housing providers and private and governmental health, mental health and service agencies throughout the program year.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

In order to enhance coordination and form effective relationships between public and assisted housing providers, private and governmental health, mental health and service agencies, the City of Round Rock actively consults with a variety of non-profits, social service providers, neighborhoods and citizens, and other governmental agencies. CDBG staff attend monthly Williamson County Non-Profit Networking Meetings the first Tuesday of every month at the Alan R. Baca Senior Center. Local non-profits come together to network and also to promote meetings, public hearings and events. A variety of non-profits attend the monthly networking meetings including non-profits that provide housing, mental health, health, transportation, affordable childcare and other services to low income, disabled and elderly residents of Round Rock.

CDBG staff attends the Round Rock Housing Authority (RRHA) monthly board meetings as well as meets regularly with the RRHA staff to provide technical assistance on the CDBG grant and to work together on the Analysis of Impediments to Fair Housing Regional Working Group goals and action items.

CDBG staff along with other local non-profits and social service agencies met regularly to discuss the needs of homeless and those at-risk of becoming homeless. The goals of this group is to eventually form a homeless coalition and also try to find resources to fill the gaps within the community regarding homeless and at-risk homeless. among the agencies that meet each month include food pantries, mental health agencies, neighboring local units of government, housing agencies, local and neighboring housing authorities, local not for profit health clinics, and Texas Homeless Network staff.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

Currently at this time there are no agencies receiving Continuum of Care (COC) grant funding in Round Rock or Williamson County. As per the THN, they have not had participation in the Texas Balance of State COC (TX BOS COC) from homeless service providers in Williamson County for some time. Currently there is not an active local homeless coalition in the area so the Round Rock CDBG staff with the help of the Round Rock Housing Authority director has started meeting quarterly to discuss the needs of the homeless and those in danger of becoming homeless.

The City of Round Rock is making an effort to address the needs of homeless persons and persons at risk of homelessness by funding the following with either CDBG or General Funds:

- Round Rock Area Serving Center Food Pantry that assists those that are homeless and at-risk of becoming homeless with \$25,000 of CDBG 2020 funds.
- Round Rock Area Serving Center Rent/Mortgage Assistance for those that are at-risk of becoming homeless with \$25,000 of CDBG 2020 funds.
- Round Rock Area Serving Center Rent/Mortgage/Food Pantry for those that are at-risk of becoming homeless and that need assistance with food during the COVID-19 Pandemic with City of Round Rock General Funds in the amount of \$45,000.
- Hope Alliance Domestic Violence Shelter with \$32,700 in General Funds to assist victims of domestic violence that are homeless.
- Texas Baptist Children's Home with \$10,000 in general funds to assist single mothers and their children in transitional housing.
- Sacred Heart Community Clinic with \$16,325 in CDBG 2020 funds to assist and provide medical services to low income and those that are homeless or at-risk of becoming homeless.

City of Round Rock CDBG staff networks with the Round Rock ISD Families in Transition Coordinator. The Families in Transition Program assists homeless families with children, families with children that are living with friends or relatives as well as unaccompanied youth. The role of the coordinator is to ensure that any student lacking a fixed, adequate and regular nighttime residence is provided with resources. Because there are no homeless shelters in Round Rock or Williamson County (except for the domestic violence shelter) homeless youth and unaccompanied youth are bused to shelters in Austin. According to the RRISD, a third of homeless students are unaccompanied youth.

Goodwill of Round Rock and Central Texas provides job rehabilitation and placement for homeless veterans as well as assistance with rent and job training. Currently due to COVID-19 the Round Rock location is closed but are taking intake applications for serves over the phone. The City CDBG staff will continue to network with the Goodwill to stay up to date on services that are being provided to homeless veterans.

The CDBG staff maintains a strong relationship with staff at the Bluebonnet Trails & Community Services (BBT) Round Rock location. BBT provides an array of services to the low income and homeless and at-

risk homeless that include a supportive housing and transitional housing program and behavior health services.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

At this time the City CDBG staff is not consulting with any nor are there any Continuum of Care recipients that serve this jurisdiction. As stated above, the City of Round Rock staff has started networking and forming a relationship with the Texas Homeless Network staff to stay informed of what is happening in the TX BOS COC regarding homelessness as well as encouraging homeless providers in Williamson County to join the effort to end homelessness.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	ROUND ROCK HOUSING AUTHORITY
	Agency/Group/Organization Type	PHA Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Public Housing Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff networks regularly with RRHA director and also attends monthly RRHA board meetings.
2	Agency/Group/Organization	ROUND ROCK AREA SERVING CENTER
	Agency/Group/Organization Type	Services - Housing Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Non Profit
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff regularly networks with RRASC director and provides technical assistance when needed because RRASC is a CDBG sub-recipient.
3	Agency/Group/Organization	Sacred Heart Community Clinic
	Agency/Group/Organization Type	Services-Health Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Non Profit
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff networks regularly with SHCC clinic staff and provides technical assistance as needed since the SHCC is a CDBG sub-recipient.

4	Agency/Group/Organization	CASA of Williamson County
	Agency/Group/Organization Type	Services-Children Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Non Profit
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff networks regularly with CASA staff and provides technical assistance as needed since CASA is a CDBG funded sub-recipient.
5	Agency/Group/Organization	Council for At-Risk Youth
	Agency/Group/Organization Type	Services-Children Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Non Profit
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff regularly networks with Council staff and provides technical assistance because Council is a CDBG funded sub-recipient.
6	Agency/Group/Organization	WILLIAMSON BURNET COUNTIES OPPORTUNITIES (WBCO)
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Non Profit
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff regularly networks with WBCO director and staff and provides technical assistance to WBCO because WBCO Meals on Wheels is a CDBG sub-recipient.

7	Agency/Group/Organization	Williamson County, Housing and Urban Development
	Agency/Group/Organization Type	Other government - County
	What section of the Plan was addressed by Consultation?	Local Government
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff regularly networks with County CDBG staff and collaborate on the Central Texas Regional AI Working Group.
8	Agency/Group/Organization	Texas Homeless Network
	Agency/Group/Organization Type	Services-homeless Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff regularly networks with THN staff on homeless issues and is working with THN to form a homeless coalition in Williamson County.
9	Agency/Group/Organization	Senior Access
	Agency/Group/Organization Type	Services-Elderly Persons Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Non Profit

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff networks regularly with Senior Access director to stay updated on the services that Senior Access is providing for seniors in Round Rock. Senior Access is funded with general funds to provide seniors with door to door transportation to appointments and to run errands.
10	Agency/Group/Organization	FOUNDATION COMMUNITIES, INC (AUSTIN)
	Agency/Group/Organization Type	Services - Housing Services-Health Services-Education Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Non Profit
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff networks regularly with FC director to stay informed on the services that they are providing for low income Round Rock residents. FC is funded with general funds to provide free tax preparation to Round Rock residents.
11	Agency/Group/Organization	BLUEBONNET TRAILS COMMUNITY MENTAL HEALTH AND MENTAL RETARDATION
	Agency/Group/Organization Type	Services-Persons with Disabilities Services-Health Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Non Profit
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff networks regularly with BBT staff to stay updated on what services BBT is providing to the low income residents of RR.

12	Agency/Group/Organization	Literacy Council of Williamson County
	Agency/Group/Organization Type	Services-Education Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Non Profit
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	
13	Agency/Group/Organization	Texas Baptist Children's Home
	Agency/Group/Organization Type	Services - Housing Services-Children Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Non Profit
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff regularly networks with TBCH director and staff to stay updated on the services that TBCH provides to low income residents of RR. TBCH is funded with general funds to pay partial salaries for counselor and educators for the Trust Based Relational Intervention Program.
14	Agency/Group/Organization	WILLIAMSON COUNTY CRISIS CENTER D/B/A HOPE ALLIANCE
	Agency/Group/Organization Type	Services-Victims of Domestic Violence Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Non Profit

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff regularly networks with Hope Alliance director and staff to stay updated on the services that HA is providing in RR. Hope Alliance is funded with general funds to provide services of domestic violence and sexual assault.
15	Agency/Group/Organization	WILLIAMSON COUNTY AND CITIES HEALTH DISTRICT
	Agency/Group/Organization Type	Services-Health Neighborhood Organization
	What section of the Plan was addressed by Consultation?	County Agency
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff regularly networks with Wilco Health and cities to stay informed on the services that they are providing to low income residents in Round Rock.
16	Agency/Group/Organization	Habitat for Humanity of Williamson County
	Agency/Group/Organization Type	Services - Housing Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Non Profit
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff regularly networks with Habitat for Humanity director to stay informed on the services that are being provided to the low income residents of Round Rock.
17	Agency/Group/Organization	City of Round Rock
	Agency/Group/Organization Type	Other government - Local Grantee Department
	What section of the Plan was addressed by Consultation?	City government departments

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff regularly networks and meets with city departments (transportation, parks, neighborhood services, planning, code enforcement) to see what are the needs of low income residents. City departments are often funded with CDBG funds to carry out projects in the low income neighborhoods.
18	Agency/Group/Organization	Williamson County Childrens Advocacy Center
	Agency/Group/Organization Type	Services-Children Services - Victims Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Non Profit
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff networks with WilCo Children's Advocacy Center to stay informed on the services they are providing residents of RR. Wilco Children's Advocacy Center is funded with general funds to provide services to abused children in Round Rock.
19	Agency/Group/Organization	YMCA
	Agency/Group/Organization Type	Services-Children Services-Health Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Non Profit
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff networks with YMCA staff to keep informed of the services that YMCA is providing to the low income residents. YMCA is funded with general funds to provide childcare to low income residents of RR.

20	Agency/Group/Organization	AUSTIN TENANTS COUNCIL
	Agency/Group/Organization Type	Service-Fair Housing Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Non Profit
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff regularly networks with ATC staff to stay updated on the services they are providing Round Rock residents. The ATC also puts on a Fair Housing Workshop for the city of RR.
21	Agency/Group/Organization	Goodwill of Central Texas
	Agency/Group/Organization Type	Services-homeless Services-Employment Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Homelessness Needs - Veterans
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff networks with Goodwill of Round Rock staff to stay informed on the services that they are providing to RR residents.
22	Agency/Group/Organization	ARCIL, INC.
	Agency/Group/Organization Type	Services-Persons with Disabilities Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Non Profit

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG staff regularly networks with ARCIL to stay informed on the services they provide for the low income residents in Round Rock. ARCIL is invited to participate every year in Fair Housing Workshop and also to the needs assessment public hearing during the development of the action plan.
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Identify any Agency Types not consulted and provide rationale for not consulting

A wide array of agencies were invited to consult in the development of the Annual Action Plan. No individual agency was intentionally omitted.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	N/A	

Table 3 – Other local / regional / federal planning efforts

Narrative (optional)

CDBG staff does not network with any corrections programs or institutions other than the Council for At-Risk Youth. CDBG funded the Council for At-Risk youth in program year 2019 to provide counseling to at-risk youth in the ISD from grades 5-12. Other than that agency the CDBG staff does not network with any other corrections program or institution but the City of Round Rock HR department does participate in the Jails to Jobs Program. This program is literally transforming lives by breaking the cycle of youth recidivism. The Jails to Jobs Program empowers juvenile offenders to find purpose, personal growth and achievement through an employment-based mentoring and job skills program, in order to reduce recidivism in the justice system. The City of Round Rock guarantees graduates of this program a job.

Currently the CDBG staff does not have a working relationship with business or civic leaders but does work with the Realtor Association of Round Rock every April for Fair Housing Month and to invite them to the Fair Housing Workshop hosted by CDBG staff and the Austin Tenant's

Council. Austin Tenant's Council provides Fair Housing Education and Counseling, assists with filing fair housing discrimination complaints as well as tenant/landlord issues and mediation. The CDBG staff has a strong working relationship with Austin Tenant's Council.

The City of Round Rock does not receive Emergency Solutions Grant (ESG) nor does Williamson County have agencies participating or actively applying for the COC grant. The city has started to work with Texas Homeless Network and non-profit agencies in the Round Rock/Williamson County area to try and start a conversation regarding the homeless persons and resources available to address these issues.

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

In an effort to promote citizen participation during the development of the CDBG 2020 Action Plan, public hearing notices in English and Spanish were made available at the following locations and agencies:

- Publication in the Round Rock Leader
- City News section of the city website as well as in the CDBG webpage
- Public hearing notices and executive summary in the kiosk at senior center
- Public Hearing notices posted in the city hall bulletin
- Public hearing notices and Executive Summary posted at RRHA, RRASC, and library
- Public notices on Nextdoor

The public participation process was developed to help the City of Round Rock identify priority needs in the community and set goals that will help address affordable housing needs and to better provide social services to the low to moderate income household and persons. Two public hearings were held before city council during the development of the plan. Residents were given at least 14 day notice of a public hearing and at least 30 days for the comment period. Copies of the public notices and proof of publications from the Round Rock Leader.

The projects and activities funded in this action plan all tie back to the high priority needs and goals in the CDBG Five Year Consolidated Plan (2019-2023). During the development of the five year consolidated plan the City CDBG staff had a goal and plan for citizen participation to ensure a broad participation of residents, housing, economic and social service providers as well as city departments and local housing authority. The City held stakeholder and resident meetings in addition to public hearings. This process helped the City develop the consolidated plan to assist for planning and developing the action plans for the next five years. Should goals or high priority needs change, the city will conduct a substantial amendment to add goals.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Hearing	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	no response	no comments received	N/A	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Newspaper Ad	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	no response	no comments received	N/A	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
3	Internet Outreach	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	no response	no comments received	N/A	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
4	posting at public locations	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	no response	no comments received	N/A	

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

The City of Round Rock has been awarded \$675,500 in CDBG grant funds for program year 2020-2021. The CDBG 2020 is the second program year in the CDBG Five Year Consolidated Plan. The expected resources are the last of the funds expected for the remainder of the CDBG Five Year Consolidated Plan (2019-2023).

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	675,500	0	0	675,500	2,026,500	This CDBG program year is the second of the 5 yr con plan.

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

In an effort to leverage funds, the City has combined the CDBG and General Funds to assist the social services funding process. By combining these two processes, the City can make sure to fund agencies providing high priority needs with General Funds that could not be funded with CDBG funds due to the 15% funding cap. This process will also help prevent duplication of services. The process of funding social services agencies is as follows: A team, consisting of council members, Neighborhood Services staff and CDBG staff, review agency applications as well as conduct a risk analysis using set criteria and performance measures. Funding recommendations are presented to the City Council through the budget process. Awarded agencies are contracted to deliver the specific services to the residents of Round Rock.

There are no federal or local matching requirements for the CDBG grant.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

There are no projects in this action plan that are on publicly owned land or property located within the jurisdiction and funded with CDBG funds.

Discussion

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Public Services for Low- and Moderate-Income Popul	2019	2024	Non-Housing Community Development Public services	Citywide	Non-housing community development	CDBG: \$101,325	Public service activities for Low/Moderate Income Housing Benefit: 1400 Households Assisted
2	Public Facility and Infrastructure Improvements	2019	2024	Non-Housing Community Development	Qualified Census Tracts	Non-housing community development	CDBG: \$130,000	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 2000 Households Assisted
3	Increase Access to Affordable Housing	2019	2024	Affordable Housing	Citywide	Affordable Housing	CDBG: \$194,075	Homeowner Housing Rehabilitated: 8 Household Housing Unit
4	Program Administration	2019	2024	Administration	Citywide	Non-housing community development	CDBG: \$135,100	N/A
5	Code Enforcement	2019	2024	Code Enforcement	Qualified Census Tracts	Non-housing community development	\$115,000	Code enforcement activities for low to moderate income households benefitting to be determined based on the areas served

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	Public Services for Low- and Moderate-Income Population
	Goal Description	Public Services: Round Rock Area Serving Center Housing Assistance \$25,000, Round Rock Area Serving Center Food Pantry \$25,000, CASA \$25,000, Meals on Wheels \$10,000, Sacred Heart Community Clinic \$16,325
2	Goal Name	Public Facility and Infrastructure Improvements
	Goal Description	Bus Stops on Mays Street. Project includes the purchase of five bus shelters and standard amenities such as benches, trash cans and route information signage. Included also are construction costs and any additional site preparation.
3	Goal Name	Increase Access to Affordable Housing
	Goal Description	Funds will be used to rehabilitate 8 homes citywide for low income residents up to \$25,000 a home.
4	Goal Name	Program Administration
	Goal Description	Funds will be used for the oversight and administration of the CDBG program.
5	Goal Name	Code Enforcement
	Goal Description	Funds will be used to pay for 1.5 code enforcement officers

Projects

AP-35 Projects – 91.220(d)

Introduction

The City of Round Rock is receiving \$675,500 in CDBG 2020 Program Year funds. Funds will be used for public services, program administration, minor home repair, code enforcement and public facility and improvements.

Projects

#	Project Name
1	Public Services Round Rock Area Serving Center Housing Assistance
2	Program Administration
3	Minor Home Repair
4	Bus Stops of Mays
5	Code Enforcement

Table 7 - Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

Allocation priorities were based upon the Needs Assessment undertaken as part of the planning process and demand for projects and services in the past. The City identified Mays Street as an area that needed improved bus stop accessibility and the need for additional transportation options for traditionally transportation disadvantaged populations. This includes economically disadvantaged people, older adults and people with disabilities, limited English speaking persons and those with limited access to vehicles. Unfortunately, the need for infrastructure far exceeds the amount of CDBG funding available. The primary obstacle to addressing underserved needs is the limited resources available to address such needs throughout the City. The Public Services fund of 15% is also oversubscribed at far beyond what is available. The City encourages CDBG applicants to seek other resources from other public and private entities to leverage the limited amount of CDBG funds available.

AP-38 Project Summary
Project Summary Information

1	Project Name	Public Services Round Rock Area Serving Center Housing Assistance
	Target Area	Citywide
	Goals Supported	Public Services for Low- and Moderate-Income Popul
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$101,325
	Description	Funding RRASC Housing Assistance Program in the amount of \$25,000 will assist 250 residents with rent/mortgage assistance.
	Target Date	9/30/2021
	Estimate the number and type of families that will benefit from the proposed activities	<p>it is estimated that 1400 low income households will benefit from this activity.</p> <p>Round Rock Area Serving Center Housing Assistance \$25,000/250 low income beneficiaries</p> <p>Round Rock Area Serving Center Food Pantry \$25,000/850 low income beneficiaries</p> <p>CASA \$25,000/100 low income beneficiaries</p> <p>Meals on Wheels \$10,000/100 low income Beneficiaries</p> <p>Sacred Heart Community Clinic \$16,325/100 low income beneficiaries</p>
	Location Description	<p>All public services will be provided to persons residing citywide.</p> <p>Round Rock Area Serving Center is located 1099 East Main Street Round Rock, TX 78664</p> <p>Meals on Wheels 301 West Bagdad Ave. #2 Round Rock, TX 78664</p> <p>CASA of Williamson County 2100 Scenic Drive #110 Georgetown, TX 78626</p> <p>Sacred Heart Community Clinic 620 Round Rock West Drive Round Rock, TX 78681</p>

	Planned Activities	<p>Round Rock Area Serving Center Housing Assistance \$25,000 will provide rent/mortgage assistance to low income residents. Funds will be used to pay rent/mortgage.</p> <p>Round Rock Area Serving Center Food Pantry \$25,000 will provide food to low income residents. Funds will be used for the purchase of food.</p> <p>CASA Child Advocacy Program \$25,000 will provide advocacy for children in court. Funds will be used to pay salary for Volunteer/Recruiter Coordinator.</p> <p>Sacred Heart Community Clinic \$16,325 will provide health services to low income residents. Funds will be used to pay salary for health provider.</p> <p>Meals on Wheels Senior Services Program \$10,000 will provide meals to homebound seniors and seniors at the Alan R. Baca Senior Center. Funds will be used to pay for salary of food chef.</p>
2	Project Name	Program Administration
	Target Area	Citywide
	Goals Supported	Program Administration
	Needs Addressed	Non-housing community development
	Funding	CDBG: \$135,100
	Description	Program Administration \$135,100 will be used for the administration and oversight of the CDBG program.
	Target Date	9/30/2021
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	<p>Round Rock City Hall</p> <p>221 East Main Street</p> <p>Round Rock, TX 78664</p>
	Planned Activities	Funds will be used to pay CDBG Coordinator salary, supplies, equipment, travel, mileage, registrations and dues, training, legal fees, legal notices in the newspaper.
	Project Name	Minor Home Repair

3	Target Area	Citywide
	Goals Supported	Increase Access to Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$194,075
	Description	Minor Home Repair \$197,075
	Target Date	9/30/2021
	Estimate the number and type of families that will benefit from the proposed activities	City of Round Rock estimates that 8 low income families will benefit from this program.
	Location Description	this program is citywide
	Planned Activities	Funding of the home repair program allows for rehab of 8 homes up to \$25,000 per home. Repairs include roof, siding, plumbing, electrical, windows, fence, ada improvements and other minor repairs.
4	Project Name	Bus Stops of Mays
	Target Area	Qualified Census Tracts
	Goals Supported	Public Facility and Infrastructure Improvements
	Needs Addressed	Non-housing community development
	Funding	CDBG: \$130,000
	Description	Bus stops on Mays \$130,000 will include the purchase and installation of 5 bus stops. All construction is included.
	Target Date	9/30/2021
	Estimate the number and type of families that will benefit from the proposed activities	City of Round Rock estimates that up to 6000 primarily low to moderate income residents that ride the bus each month will benefit from this activity.
	Location Description	<p>This project will be at the following locations in Round Rock:</p> <p>Mays & Short Trail</p> <p>Mays & Tower</p> <p>Mays & Mays Crossing</p> <p>Mays at Shipleys Donuts</p>

	Planned Activities	Funding will pay for the purchase of five ADA accessible bus shelters, benches & trash cans. The bus stops will be placed along Mays Street. This route serves neighborhoods and destinations including the Round Rock Housing Authority Cushing location.
5	Project Name	Code Enforcement
	Target Area	Qualified Census Tracts
	Goals Supported	Code Enforcement
	Needs Addressed	Suitable Living Environment
	Funding	CDBG: \$115,000
	Description	Funding will pay for 1.5 code enforcement officers.
	Target Date	9/30/2021
	Estimate the number and type of families that will benefit from the proposed activities	Households to benefit is to be determined based on the areas served.
	Location Description	Qualified Census Tracts

	Planned Activities	Funding will pay for 1.5 code enforcement positions that will inspect for code violations and enforcement of codes in deteriorating or deteriorated areas when such enforcement together with public or private improvements, rehabilitation, or services to be provided may be expected to arrest the decline of the area.
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AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

Most of the CDBG assistance will be distributed citywide (64%) with the following projects:

Public Services: \$101,325 (15%)

Program Administration \$135,100 (20%)

Minor Home Repair Program \$194,075 (29%)

The remaining 36% will be distributed to the following projects in the qualified census tracts:

\$130,000 or 19% of the grant funds will be distributed in a qualified census tract (207.01) for Bus Stops project.

Code Enforcement \$115,000 (17%)

Geographic Distribution

Target Area	Percentage of Funds
Qualified Census Tracts	36
Citywide	64

Table 8 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

The rationale for allocating investments geographically either citywide or qualified census tracts tie back to the CDBG 2019-2023 Consolidated Plan and the Analysis of Impediments to Fair Housing. Public

services and home repair were high priority needs in the consolidated plan as well as facility improvements. Bus stops at Mays Street will assist persons with disabilities.

Discussion

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

The City of Round Rock is funding the Minor Home Repair Program with \$194,075 in CDBG 2020 funds. The city is proposing to make minor home improvements to 8 low-moderate income households.

One Year Goals for the Number of Households to be Supported	
Homeless	0
Non-Homeless	0
Special-Needs	0
Total	0

Table 9 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	0
Rehab of Existing Units	8
Acquisition of Existing Units	0
Total	8

Table 10 - One Year Goals for Affordable Housing by Support Type

Discussion

AP-60 Public Housing – 91.220(h)

Introduction

The Round Rock Housing Authority was established in 1966, with the first development built in 1972. Today, the Round Rock Housing Authority owns 100 units and administers 94 Section 8 Housing Choice Vouchers. Approximately 200 persons are assisted through these programs. The City of Round Rock will act as a resource for the Round Rock Housing Authority to assist the public housing authority with capacity building as well as programming that is associated with CDBG funds.

The RRHA is a five-member board, including a resident board member, and oversees the Round Rock Housing Authority. The board is appointed by the City of Round Rock Mayor. The City is invested in ensuring that selections made are in the best interest of the Round Rock Housing Authority. Each board member appointed by CORR Mayor possess management qualities that will ensure that best business practices are deployed.

Actions planned during the next year to address the needs to public housing

To address and improve the needs of public housing and resident initiatives, the Round Rock Housing Authority (RRHA) will continue to provide youth services at the Westwood location. The Boys to Men group, headed by Robert Hall, is a program which focuses on developing strong character skills for young children and youth. It is housed at the Westwood apartments owned by RRHA. Many of the young people who participate in the program do not have strong role models at home or come from blended families and single parent households. The young people in Boys to Men benefit from having someone they can confide in and interact with on a regular basis. Some of the activities include team sports, day trips to parks and lessons on the importance of being good citizens. Boys to Men relies primarily on donations and volunteers.

Another program that the RRHA provides its residents to meet their needs for self-sufficiency is the Family Self Sufficiency Program (FSS). This program provides affordable housing expertise, homeownership grant resources and programming initiatives to promote self-sufficiency for the program participants. The FSS program serves families in the Housing Choice Voucher (HCV) program the Public Housing Programs. Past and present participants have completed the following goals:

- Obtained and maintained employment
- Ability to pursue higher education
- Ability to maintain first time businesses with supportive mentorship and education

The unique value of the FSS Program is that clients can receive supportive case management to reach their individual goals towards self-sufficiency.

In addition to this, the RRHA continues to partner with several local agencies, faith-based organizations,

the City of Round Rock and the school district in order to bring resources to the residents of the housing authority.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

Currently there are no public housing homeownership initiatives in place either under the public housing program or the Section 8 program. The City of Round Rock plans to encourage Affordable Housing Roundtables that are conducted by the RRHA and include affordable housing builders, housing counseling nonprofits and banking institutions.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

The Round Rock Housing Authority is not designated as troubled housing authority by the U.S. Department of Housing and Urban Development.

Discussion

The Round Rock Housing Authority receives an annual Capital Fund Grant from The U. S. Department of Housing and Urban Development (HUD). The Round Rock Housing Authority is using these grant funds for the following Administrative and Operations expenses:

- Staff Salaries, Fringe Benefits, Travel and Training
- Office Supplies
- Postage
- Memberships
- Maintenance Expenses
- Utilities & Telephone
- Insurance
- Accounting Fees
- Legal Expenses

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

The City of Round Rock and Williamson County are part of the Texas Balance of State Continuum of Care (BoS CoC). The BoS CoC is part of the Texas Homeless Network (THN), a nonprofit membership-based organization helping Texas communities to prevent and end homelessness. THN is a 501(c)(3) organization that is partially funded through Texas Department of Housing and Community Affairs and Texas Department of State Health Services.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

At this time in the City there are no agencies receiving Continuum of Care (COC) grant funding. The City of Round Rock CDBG staff has reached out to the Texas Homeless Network (THN) to stay informed on the homeless population issues and efforts to end homelessness in Central Texas.

In an effort to reduce and end homelessness the City of Round Rock has developed the following one-year goals:

1. City of Round Rock CDBG staff will continue to consult with the Texas Homeless Network/TX BoS CoC to discuss the next steps to actively participate in the effort to end homelessness in Williamson County. Reach out to Williamson County CDBG staff and partner with them in this effort. Reach out to non-profit agencies that provide services to homeless persons in Williamson County and encourage them to participate in coming together to discuss the homeless issues in the area. Set up a meeting that includes the homeless providers in Williamson County and a presentation by the Texas Homeless Network on the steps and process to plan a "Point in Time" count in January 2021, discuss forming a Local Homeless Coalition in Williamson County as well as provide information on the COC grant. Continue networking with the Round Rock ISD homeless liaison. Continue funding the Round Rock Area Serving Center with CDBG 2020 funds to assist with rent/mortgage and food.

Addressing the emergency shelter and transitional housing needs of homeless persons

The following agencies provide temporary shelter, transitional housing and other services and are being funded with 2020 CDBG or general fund dollars:

- The Williamson County Crisis Center (Hope Alliance) offers emergency shelter for victims of

- domestic violence and sexual assault. (\$35,000 from 2020 General Fund Social Services Grant)
- Round Rock Area Serving Center provides emergency shelter, food and clothing vouchers as well as mortgage and utility assistance in an effort to address/prevent homelessness. (\$50,000 with 2020 CDBG)
- Texas Baptist Children's Home provides a variety of residential and nonresidential services for children and families in need—these include housing for children and single-mother families as well as outreach and prevention services. (\$10,000 2020 General Fund Social Service Grant)

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The City of Round Rock is making an effort to address the needs of homeless persons and persons at risk of homelessness by funding the following with either CDBG or General Funds:

- Round Rock Area Serving Center Food Pantry that assists those that are homeless and at-risk of becoming homeless with \$25,000 of CDBG 2020 funds.
- Round Rock Area Serving Center Rent/Mortgage Assistance for those that are at-risk of becoming homeless with \$25,000 of CDBG 2020 funds.
- Round Rock Area Serving Center Rent/Mortgage/Food Pantry for those that are at-risk of becoming homeless and that need assistance with food during the COVID-19 Pandemic with City of Round Rock General Funds in the amount of \$45,000.
- Hope Alliance Domestic Violence Shelter with \$32,700 in General Funds to assist victims of domestic violence that are homeless.
- Texas Baptist Children's Home with \$10,000 in general funds to assist single mothers and their children in transitional housing.
- Sacred Heart Community Clinic with \$16,325 in CDBG 2020 funds to assist and provide medical services to low income and those that are homeless or at-risk of becoming homeless.

City of Round Rock CDBG staff networks with the Round Rock ISD Families in Transition Coordinator. The Families in Transition Program assists homeless families with children, families with children that are living with friends or relatives as well as unaccompanied youth. The role of the coordinator is to ensure that any student lacking a fixed, adequate and regular nighttime residence is provided with resources. Because there are no homeless shelters in Round Rock or Williamson County (except for the domestic violence shelter) homeless youth and unaccompanied youth are bused to shelters in Austin. According to the RRISD, a third of homeless students are unaccompanied youth.

Goodwill of Round Rock and Central Texas provides job rehabilitation and placement for homeless

veterans as well as assistance with rent and job training. Currently due to COVID-19 the Round Rock location is closed but are taking intake applications for serves over the phone. The City CDBG staff will continue to network with the Goodwill to stay up to date on services that are being provided to homeless veterans.

The CDBG staff maintains a strong relationship with staff at the Bluebonnet Trails & Community Services (BBT) Round Rock location. BBT provides an array of services to the low income and homeless and at-risk homeless that include a supportive housing and transitional housing program and behavior health services to veterans.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

In an effort to help low-income individuals and families avoid becoming homeless, the City of Round Rock will continue to fund the Round Rock Area Serving Center with CDBG 2020 funds for the following activities:

- Food Pantry Program in the amount of \$25,000
- Housing Assistance Program (help with rent/mortgage) in the amount of \$25,000

Funding these two programs will help those at-risk of becoming homeless not have to choose between food/rent and other necessities.

CDBG staff does not network with any other corrections program or institution but the City of Round Rock HR department does participate in the Jails to Jobs Program. This program is literally transforming lives by breaking the cycle of youth recidivism. The Jails to Jobs Program empowers juvenile offenders to find purpose, personal growth and achievement through an employment-based mentoring and job skills program, in order to reduce recidivism in the justice system. The City of Round Rock guarantees graduates of this program a job.

The CDBG staff maintains a strong relationship with staff at the Bluebonnet Trails & Community Services (BBT) Round Rock location. BBT provides an array of services to the low income and homeless and at-risk homeless that include a supportive housing and transitional housing program and behavior health

services to veterans those recently released from mental health facilities.

BBT helps veterans with the tools they need to successfully integrate back into the community and transition from military life to civilian life again.

Discussion

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

In an effort to remove barriers to affordable housing the Round Rock City Council unanimously approved a resolution of support that gave develops a green light to seek low-income housing tax credits from the Texas Department of Housing and Community Affairs to help finance the project that includes approximately 200 units of income restricted senior housing. Plans for the development call for a mixture of studios and one and two bedroom units. Building height is anticipated to be three stories.

Council has also approved several Planned Unit Developments that allow for detached single family housing units on condominium lots. By providing this housing alternative to standard single family home on fee simple lots, developers are able to offer quality housing units at a lower price points due to reduced infrastructure and public improvement costs. These types of single family condominium developments are being recommended for infill or remnant tracts where larger scale residential developments would not be feasible.

In addition, the city has the following actions planned to continue to address the barriers to affordable housing in the next program year:

- City of Round Rock will fund Round Rock Area Serving Center's Housing Assistance Program with program year 2020 CDBG funds, which provides housing and emergency shelter needs, helps elderly maintain independence, and helps families avoid eviction and homelessness.
- The City of Round Rock will fund with program year 2020 CDBG funds minor home rehabilitation for up to 8 homes in Round Rock.
- City of Round Rock CDBG Staff will promote and direct residents to TDHCA's down-payment assistance program;
- City of Round Rock CDBG Staff will participate and work with the Central Texas Regional Fair Housing Group to work with foundations and private partners to create the multifamily rehabilitation and accessibility improvement program; and
- City of Round Rock CDBG staff will participate on the affirmative marketing program and guiding principles for developers of rental housing along with the Central Texas Regional Fair Housing

Group.

Discussion:

AP-85 Other Actions – 91.220(k)

Introduction:

This section of the Consolidated Plan outlines actions planned to address obstacles to meeting underserved needs in Round Rock.

Actions planned to address obstacles to meeting underserved needs

Despite efforts, there remain a number of significant obstacles to meeting underserved needs. The following obstacles to meeting these needs in Round Rock are population growth; high cost of housing; cut backs in state and federal funding for basic needs and the need for transportation to existing services and childcare exceed available resources to meet these needs. To address the population growth and the high cost of housing, the Round Rock Area Serving Center provides rent/mortgage, food pantry and utility assistance. The City funded the RRASC for these programs with CDBG program year 20 funds totaling \$50,000.00. The City also funded the Sacred Heart Community Clinic with \$16,325 in CDBG funds for program year 20. Sacred Heart Community Clinic provides dental services, acute care, chronic care and provides medications as well to the very low-income uninsured residents.

The following programs also help address the cutbacks in state and federal funding and the need for childcare and transportation to existing services:

Senior Access provides door to door transportation to seniors to doctors' appointments, pharmacies, grocery stores, to pay bills, and to social service agencies for services. Senior Access is being funded in 2020 with City General Fund Social Services Funds in the amount of \$35,000.

Foundation Community provides free tax preparation to low- to moderate-income families. Foundation Communities Tax Preparation Program is being funded in 2020 with City General Fund Social Service Funds in the amount of \$15,000.

In order to meet transportation demand, the City developed a fix route bus service that was designed and focused on access to employment services to meet the needs of the low-income and minority populations to jobs, education and employment. This service offers ADA paratransit services as well for anyone with a disability that prevents them from being able to ride any fixed route vehicle, disembark from any fixed route vehicle or travel independently all or some of the time on any fixed route vehicle.

Council has also passed an ordinance that allows Round Rock Transit to sell discount bus passes to nonprofit and government agencies for the purpose of distributing the passes to low-income persons and families that meet the participation requirements.

The City also provides residents with the Guide to Affordable Housing in the Greater Austin Area that is provide by the Austin Tenant's Council. This guide is a tool for people seeking affordable rental housing in the Austin area that includes Round Rock. The guide contains general information on housing

programs funded on the local, county, state and federal levels, as well as specific information about apartment complexes participating in these programs. It contains lists and explanations of rental housing with rent restrictions, income-based rents and tenant income limits.

The City's General Fund provides funding of \$35,000 to Hope Alliance, an organization that meets short housing needs for survivors of domestic violence. The City's General Fund also provides \$10,000 in funding for the Texas Baptist Children's Home for an interventional specialist to help residents in a holistic approach.

Actions planned to foster and maintain affordable housing

The City of Round Rock will continue to support the following programs in program year 20 that support affordable housing. These include:

- The housing assistance program through the Round Rock Area Serving Center to assist residents with rent or mortgage at up to \$100 a household; and
- The minor home repair program for low-income homeowners in Round Rock.

Round Rock Area Serving Center Housing Assistance Program will be funded with CDBG 2020 funds in the amount of \$25,000 and the Minor Home Repair Program will be funded with 2020 CDBG funds in the amount of \$194,075.

Actions planned to reduce lead-based paint hazards

The majority of residential housing development in Round Rock occurred after the use of lead-based paint was banned; however, some areas of the community may have some homes with lead-based paint.

With the implementation of the Minor Home Repair Program, the program policy and procedures manual addresses compliance with the Residential Lead Based Paint Hazard Reduction Act of 1992 (Title X) and subsequent changes in September 1999. The procedures include:

1. Notification
2. Identification
3. Treatment (if necessary)

The City of Round Rock will continue to fund the Minor Home Repair Program with 2020 CDBG funds. Applicants who are eligible for the Minor Home Repair program are provided LBP information at the

time of intake and will be made aware of possible dangers of LBP.

Actions planned to reduce the number of poverty-level families

During the 2020 CDBG program year the City of Round Rock will take the following actions to reduce the number of poverty level families:

- The City will continue to fund the Minor Home Repair Program with 2020 CDBG funds to provide minor home repair for the low and very low-income residents in Round Rock. This program proposes to assist an estimated total of 8 households with repairs to include fence, plumbing, electrical, weatherization, and ADA improvements.
- Round Rock Area Serving Center will continue to be funded with CDBG program year 2020 funds to provide rent and mortgage assistance and access to their food pantry. These programs collectively propose to assist 1,200 households.
- Sacred Heart Community Clinic will be funded with \$16,325 in CDBG 2020 funds to assist poverty level families with health and dental care and medications at no cost to them. This program proposes to assist 100 households.
- The Meals on Wheels of Williamson and Burnet Counties program will be funded with \$10,000 in 2020 CDBG funds to prepare lunch meals for seniors at Baca Center and for homebound seniors.
- The Foundation Communities Free Tax Preparation Program will continue to be funded with 2020 General Fund Social Service Funds in the amount of \$15,000 that will provide poverty level families free tax preparation. This program proposes to assist 1200 households.
- The City will continue to fund the YMCA After-School Scholarship Program with 2020 General Fund Social Service Funds in the amount of \$5,000 that will assist very low-income working families with no cost after school care. This program proposes to assist 7,000 residents.
- The Literacy Council will continue to be funded in 2020 with City of Round Rock General Fund Social Service Grant fund in the amount of \$10,000 that will provide self-sufficiency education (ESL, Basic Literacy, and GED tutoring). This program proposes to assist 275 individuals.

Actions planned to develop institutional structure

In order to bridge the gap, the City relies on a network of public sector, private sector, and nonprofit organizations to implement the Strategic Plan, particularly to address homelessness and special needs. The City Council evaluates their Strategic Plan and Annual Budget from a citywide perspective. During these discussions' resources are provided to fund programs not specifically covered by CDBG but will provide more funds toward homelessness and special needs programs.

The City continues to actively consult with a variety of nonprofits, social service providers, neighborhoods and citizens, and other governmental agencies. CDBG staff attend the monthly Williamson County Nonprofit Networking meetings the first Tuesday of every month at the

Alan R. Baca Senior Center. Local nonprofits come together to network and also to promote meetings, public hearings and events. A variety of nonprofits attend the monthly networking meetings including nonprofits that provide housing, mental health, health, transportation, affordable childcare and other services to low-income, disabled and elderly residents of Round Rock.

CDBG staff attends the Round Rock Housing Authority (RRHA) monthly board meetings as well as meets regularly with the RRHA staff.

In order to address gaps in institutional structure around homelessness, Round Rock CDBG staff has consulted with the Texas Homeless Network/TX BoS CoC and discussed the next steps to actively participate in the effort to end homelessness in Williamson County. In an effort to meet these goals, Round Rock CDBG staff has started work on the following:

- Reach out to Williamson County CDBG staff and partner with them in this effort
- Reach out to nonprofit agencies that provide services to homeless person in Williamson County and encourage them to participate in coming together to discuss the homeless issues in the area.
- Continue meeting with these non-profits in the 2020 program year and include the Texas Homeless Network (THN) which will guide us and help us with the steps and process to plan a "Point-in-Time" count, to discuss in forming a Local Homeless Coalition in Williamson County, as well as provide information on the COC grant.

Actions planned to enhance coordination between public and private housing and social service agencies

In an effort to enhance coordination between public and private housing and social service agencies, the City of Round Rock will continue to fund the Round Rock Area Serving Center/Volunteer Center with \$35,000 in 2020 General Fund Social Service funds that will help pay for the Volunteer Center Coordinator salary. The Volunteer Coordinator not only supplies volunteers and manages volunteers' opportunities but also continues to coordinate and host the Williamson County Monthly Networking Meetings. These meetings are held the first Tuesday of every month at the Alan R. Baca Senior Center. At this monthly networking meeting, nonprofit and social service agencies come together to network, promote events and listen to guest speakers. Up to 30 agencies attend the monthly meetings and have access the groups list serv and be able to share information on the upcoming events, public hearing and fundraisers. Collaboration and coordination between agencies is important to ensure that the needs in the community are being addressed.

As noted above, the City CDBG staff also attend the monthly Williamson County Nonprofit Networking meetings the first Tuesday of every month at the Alan R. Baca Senior Center and attends the Round Rock Housing Authority (RRHA) monthly board meetings as well as meets regularly with the RRHA

staff.

Discussion:

The City of Round Rock, Office of Community Development is responsible for the administration of the City's programs funded with CDBG funds. This office has the primary responsibility for managing and implementing the City's affordable housing and infrastructure program, the Consolidated and Annual Plans and related documents.

The City of Round Rock has developed a monitoring system to ensure that the activities carried out in the Plan are done so in a timely manner in accordance with the federal monitoring requirements of [24 CFR 570.501 (v)] and [24 CFR 85.40] and all other applicable laws, regulations, policies and sound management and accounting practices.

Sub-recipients are monitored to ensure that they have implemented and administered their CDBG funded activities according to applicable federal requirements. Particular attention is paid to compliance with management systems, procurement practices and compliance with civil rights requirements.

When applicable, sub-recipients are required to submit copies of paid receipts, timesheets, income documentation, client data and self-certification forms with their monthly requests. This information is used to determine the number of unduplicated beneficiaries. Monthly reports are submitted by each sub-recipient enabling staff to monitor the progress of each activity, provide technical assistance or consultation when needed and to ensure that all objectives are met.

The City of Round Rock conducts monitoring of sub-recipients every program year during the summer. Particular attention is paid to compliance with eligibility and national objective requirements. The HUD monitoring checklist is used during these on-site monitoring visits. The monitoring visits are conducted by the CDBG Program Coordinator. Technical assistance is provided for sub-recipients at the beginning of the program year and anytime during the program year upon request.

Throughout the year, staff conducts mini desk reviews of all sub-recipients. Sub-recipients are required to submit monthly progress reports and reimbursement requests by the 15th of every month. This allows the City to monitor the sub-recipient's progress and spending on a monthly basis.

The City of Round Rock Office of Community Development maintains an open door policy for all agencies and frequent unofficial visits are made at participating agencies throughout the year.

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(l)(1,2,4)

Introduction:

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.220(l)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	0

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	100.00%

During the City of Round Rock CDBG 2020 Program Year we do not anticipate receiving any program income nor do we currently have any program income that needs to be reprogrammed. City of Round Rock does not have any of the following"

proceeds from Section 108 loans, grant funds returned to the line of credit, income from float-funded activities.

100% of CDBG funds will be used for activities that benefit persons of low and moderate income.

Attachments

Citizen Participation Comments

COMMENTS RECEIVED

No comments were received during the development of the CD8G 2020 Annual Action Plan.

Grantee Unique Appendices



PROOF OF PUBLICATION STATE OF TEXAS

PUBLIC NOTICE

Before me, the undersigned authority, a Notary Public in and for the County of Williamson, State of Texas, on this day personally appeared Jason Gallaris, Advertising Agent of the Round Rock Leader, which is a newspaper of general circulation published in the county of Williamson in the state of Texas, who being duly sworn by me, states that the attached advertisement was published at the lowest published rate for Classified advertising in said newspaper on the following date(s), to wit, and that the attached is a true copy of said advertisement: the following date(s), Aviso de 30 días de período de comentarios y la audiencia pública CDBG Plan Anual de Acción del año fiscal 2020-2021 Para recibir la concesión de subvenciones. first date of Publication 06/06/2020, last date of Publication 06/06/2020, web and print: times Published 1, Legal ads 6 C.

ROUND ROCK, CITY OF
221 E MAIN ST
ROUND ROCK, TX 78664-5271

Invoice/Order Number:	0000575787
Ad Cost:	\$239.94
Paid:	\$239.94
Balance Due:	\$0.00

Signed

A handwritten signature in black ink, appearing to read 'Jason Gallaris', written over a horizontal line.

(Legal Advertising Agent)

Sworn or affirmed to and subscribed before me, this 9th day of June, 2020 in testimony whereof, I have hereunto set my hand and affixed my official seal, the day and year aforesaid.

Signed

A handwritten signature in black ink, appearing to read 'John W. [unclear]', written over a horizontal line.

(Notary)

Please see back following page(s).



Page 1 of 2

ROUND ROCK, CITY OF
221 E MAIN ST
ROUND ROCK, TX 78664-6271

Invoice/Order Number:	0000475767
Ad. Cost:	\$239.94
Paid:	\$239.94
Balance Due:	\$3.00

Aviso de 20 días de período de comentarios y la audiencia pública
COBS Plan Annual de Acción del año fiscal 2020-2021

Para recibir la consideración en subvenciones del Departamento de Vivienda y Desarrollo Urbano (HUD), la Ciudad de Round Rock debe presentar una plan de acción anual de HUD, que describe las necesidades de la comunidad, recursos, prioridades y actividades propuestas en materia de vivienda, comunidad, el desarrollo, e desarrollo económico y los servicios públicos. El plan se debe al Departamento de Vivienda y Desarrollo Urbano de F.U.U., el 15 de Agosto de 2020. En el año fiscal 2020-2021, se espera que la Ciudad de Round Rock va a recibir aproximadamente \$ 675,500 a través del programa de Desarrollo de la Comunidad (COBG). El Plan de Acción Anual Consolidado estará disponible para su revisión y comentarios por escrito a partir del 8 de Junio de, el año 2020 hasta el 9 de Julio de, 2020 a las 5 pm en la oficina de desarrollo de la comunidad en la dirección indicada más abajo, en la Biblioteca Pública de Round Rock en 216 East Main St. en el Centro de Mayores Alan R. Baga en el 301 W. Magdalen Ave., Autoridad de Vivienda de Round Rock 1905 a Lanza Lane, y en el sitio de la ciudad en www.roundrocktx.gov. Una audiencia pública se llevará a cabo para recibir comentarios. Todas las personas interesadas en viviendas a participar en la audiencia pública el jueves, 9 de Julio, 2020 a las 5pm en el City Council Chambers 221 East Main Street Round Rock, TX 78664. Los siguientes son los proyectos propuestos:

Instalaciones públicas y mejoras: Puentes de autobús en la calle May, Servicios Públicos: Despensa de Alimentos, servicios para Personas Mayores, Asistencia de Alquiler/Hipoteca, Servicios de Salud, Servicios para Niños Multitables Y Desatendidos, Asistencia de Vivienda: Reparaciones Menores de viviendas.

Esta audiencia es patrocinado por la Oficina de Desarrollo de la Comunidad. Para obtener más información relativa a la audiencia, por favor, póngase en contacto con la oficina de Desarrollo de la Comunidad, de lunes a viernes 08 a.m.-05:00 p.m. a 241 a 322A (voz) o 711 (TDD).

La Ciudad de Round Rock está comprometida con el cumplimiento de los Americanos con Discapacidades (ADA) y la Sección 504 de la Ley de Rehabilitación de 1973, según enmendada, modificaciones razonables e igual acceso a comunicaciones disponibles bajo petición. Por favor, llame al 214-5441 (voz) o 711 (TDD) para obtener ayuda. Para un intérprete de lenguaje de signos, por favor llame al 241-3228. Los Acurado el mismo 2d horas de instalación.

Round Rock no discrimina sobre la base de la discapacidad en la admisión o acceso a, o tratamiento o empleo en sus programas y actividades. El Departamento de Recursos Humanos de la ciudad ha sido designada como ADA / Sección 504 Oficina de la Ciudad. Esta oficina está ubicada en 221 East Main Street, primer piso. Si usted tiene alguna pregunta o queja con respecto a su ADA / Sección 504 derechos, por favor, llame a la Ciudad de la Oficina de Recursos Humanos de Round Rock en 214-5443 (voz).

Este publicación está disponible en formatos alternativos. Por favor, llame al 214-3228 (voz) o 711 (TDD) para obtener ayuda.

0000475767-01



**PROOF OF
PUBLICATION
STATE OF TEXAS**

PUBLIC NOTICE

Before me, the undersigned authority, a Notary Public in and for the County of Williamson, State of Texas, on this day personally appeared Jason Gallanis, Advertising Agent of the Round Rock Leader, which is a newspaper of general circulation published in the county of Williamson in the state of Texas, who being duly sworn by me, states that the attached advertisement was published at the lowest published rate for Classified advertising in said newspaper on the following date(s), to wit, and that the attached is a true copy of said advertisement: the following date(s), Notice of 30 Day Comment Period and Public Hearing Draft Consolidated Annual Action Plan CDBG Fiscal Year 2020-2021. In order to receive certain grants from th, first date of Publication 06/06/2020 last date of Publication 06/06/2020. web and print times Published 1, Legal ads 6 C.

ROUND ROCK, CITY OF
221 E MAIN ST
ROUND ROCK, TX 78664-5271

Invoice/Order Number:	0000575/84
Ad Cost:	\$216.72
Paid:	\$216.72
Balance Due:	\$0.00

Signed

(Legal Advertising Agent)

Sworn or affirmed to, and subscribed before me, this 9th day of June 2020 in Testimony whereof I have hereunto set my hand and affixed my official seal, the day and year aforesaid.

Signed

(Notary)



Please see the following page(s):

Page 1 of 2

ROUND ROCK, CITY OF
221 E. MAIN ST
ROUND ROCK, TX 78664-5277

Invoice/Order Number:	0000575724
Ad Code:	\$216.72
Pub:	\$216.72
Balance Due:	\$0.00

**Notice of 30 Day Comment Period and
Public Hearing Draft Consolidated
Annual Action Plan CDBG Fiscal Year
2020-2021**

In order to receive certain grants from the U.S. Department of Housing and Urban Development (HUD), the City of Round Rock must submit a Consolidated Annual Action Plan to HUD which describes community needs, resources, priorities and proposed activities with regard to housing, community development, economic development and public services. The plan is due to the U.S. Department of Housing and Urban Development on August 15, 2021. In fiscal year 2020-2021, the City of Round Rock anticipates to receive approximately \$625,500 through the Community Development Block Grant (CDBG) program.

The Draft Annual Action Plan will be available for public review and written comment beginning June 2, 2020 until July 2, 2020 at 5 p.m. at the Office of Community Development, at the address below, at the Round Rock Public Library at 216 East Main St., at the Main St. Senior Center at 331 W. Baghdad Ave., Round Rock Housing Authority 1505 Lurke Lane, and on the City website at www.roundrocktx.us/cdbg. A public hearing will be held to receive comments. All interested persons are invited to attend the public hearing on Thursday, July 2, 2020 at 6 p.m. at City Council Chambers 221 East Main Street Round Rock, TX 78664. The following are the proposed projects:

Public Facilities and Improvements: Bus Stops on Mays Street
Public Services: Food Pantry, Senior Services, Health Services, Rent/Mortgage Assistance, Abused & Neglected Children
Housing Assistance: Minor Home Repair

This hearing is sponsored by the Office of Community Development. For more information concerning the hearing, please contact the Community Development Office, Monday through Friday between 8:00 a.m. and 5:00 p.m. at 341-3328 (voice) or 711 (TDD).

The City of Round Rock is committed to compliance with the Americans with Disabilities Act (ADA) and Section 504 of the Rehabilitation Act of 1973, as amended. Reasonable modifications and equal access to communications will be provided upon request. Please call 214-5431 (voice) or 711 (TDD) for assistance. For a sign language interpreter, please call Lic. Alvarado at 341-3328 at least 24 hours in advance.

The City does not discriminate based on disability in the admission or access to, or treatment or employment in, its programs and activities. The City Human Resources Department has been designated as the City's ADA/Section 504 Office. This office is located at 221 East Main Street, 1st Floor. If you have any questions or complaints regarding your ADA/Section 504 rights, please call the City of Round Rock Human Resources Office at 318-5543 (voice).

This publication is available in alternative formats. Please call 214-5431 (voice) or 711 (TDD) for assistance.

0026275724-01

Grantee SF-424's and Certification(s)

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.

Signature of Authorized Official

Date

Title

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) _____ [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws -- It will comply with applicable laws.

Signature of Authorized Official

Date

Title

OPTIONAL Community Development Block Grant Certification

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Signature of Authorized Official

Date

Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

Signature of Authorized Official

Date

Title

Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

Major rehabilitation/conversion/renovation – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

Matching Funds – The recipient will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

Discharge Policy – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Signature of Authorized Official

Date

Title

Housing Opportunities for Persons With AIDS Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

Signature of Authorized Official

Date

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.